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Laboratory for
Mechatronic
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Energy Systems
(LMRES)

Frequency-adaptive pole placement for parallelized proportional, integral and resonant control systems

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Outline

- 1 Introduction
- 2 Frequency-adaptive pole placement for parallelized systems
- 3 Implementation and simulative validation
- 4 Conclusion

Introduction

Research environment: HM (www.hm.edu), ISES (ises.hm.edu) and LMRES (lmres.ee.hm.edu)



HM – Munich University of Applied Sciences

- 14 departments
- >18.000 students
- ~480 professors
- 3 campuses

ISES – Institute for Sustainable Energy Systems

- 8 research labs (8 professors)
- 45 PhD candidates

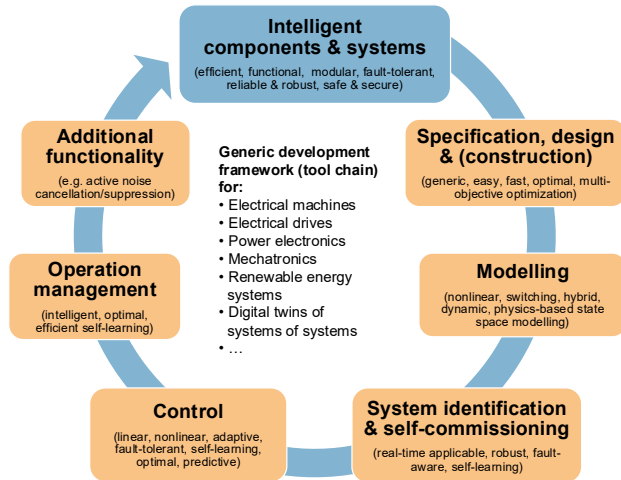
LMRES – Laboratory for Mechatronics and Renewable Energy Systems

- International team
- 15 PhD candidates
- >150 publications / >10.7 Mio. € raised



Introduction

LMRES – Research projects and research goals (funding: > 9.2 million €; e.g. DAAD, Bavaria, BMBF, BMWi, DFG, EU)

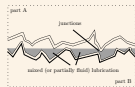


Introduction

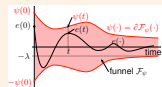
LMRES – Interdisciplinary expertise & publications (> 150 peer-reviewed contributions)



System identification
[9–26]



Friction
[27–29]



Non-identifier based adaptive control
[14, 27, 30–46]



Paper coating machines
[4–8]



Supervision & teaching (Award)
[159–165]



Mechatronics & robotics
[27, 28, 40, 46–58]



Electro-active polymers
[1–3]

Mechatronic and renewable energy systems



Power electronics
[19–21, 46, 58–88]



Wind energy, renewables & smart grid [13–15, 44, 46, 57, 58, 60, 61, 67, 68, 70–72, 74, 79, 85, 93–95, 98, 100, 107, 112, 120, 132, 136, 141–158]



Electrical machines & drives [13, 15, 17, 23–27, 30, 32, 33, 45, 46, 51, 55, 57, 69, 77, 78, 80, 89–140]

Introduction

Frequency-adaptive pole placement [166]

Frequency-adaptive pole placement for parallelized proportional, integral and resonant control systems

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Abstract—A novel and generic frequency-adaptive pole placement (FAPP) algorithm for parallelized proportional, integral and resonant (PIR) control systems is proposed. It allows to place arbitrarily many closed-loop poles at desired locations in the complex plane (usually negative complex half-plane) with individual damping and settling time characteristics. The closed-loop system with parallelized PIR controllers is then capable of tracking any linear combination of constant and sinusoidal reference signals asymptotically. The proposed FAPP algorithm achieves a better damped closed-loop system behaviour than Matlab's place command. Moreover, even when place fails to place the poles at the desired locations in the complex plane, the proposed FAPP will do the job. Additionally, the PIR controller is equipped with a simple anti-windup strategy and an effective reference feedforward control. The proposed approach is illustrated and validated by several simulation examples including multi-harmonic current control of a nonlinear electrical drive.

Index Terms—frequency-adaptive pole placement, proportional, integral and resonant control

NOTATION

$\mathbb{N}, \mathbb{R}, \mathbb{C}, \mathbb{Q}$: natural, real, complex and rational numbers.
 $\mathbb{R}_{\geq 0} := [0, \infty)$: positive real numbers with zero. $\mathbb{C}_{<0}$: negative complex half-plane, i.e., all $z \in \mathbb{C}_{<0}$ have negative real parts $\Re\{z\} < 0$. For the following, let $n \in \mathbb{N}$.
 $x := (x_1, \dots, x_n)^T \in \mathbb{R}^n$: column vector (where $\cdot^T$ means "is defined as" and $\cdot^T$ means "transposed"). $\mathbf{0}_n := (0, 0, \dots, 0)^T \in \mathbb{R}^n$: zero vector. $\|x\| := \sqrt{x^T x}$: Euclidean norm of x . $A \in \mathbb{R}^{n \times m}$: real (non-square) matrix. $O_{n \times m} \in \mathbb{R}^{n \times m}$: zero (non-square) matrix. $I_n := \text{diag}\{1, \dots, 1\} \in \mathbb{R}^{n \times n}$: identity matrix. $J := \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$: rotation matrix rotating a vector by $\frac{\pi}{2}$ (counterclockwise).

A. Motivation

Several applications require active control of constant and

reference signal containing not only constant terms but also multiple sinusoidal components. After Clarke transformation with Clarke transformation factor $\kappa \in \{\frac{2}{3}, \sqrt{\frac{2}{3}}\}$ (allowing for amplitude or power invariant transformation [12, Section 14.2.4]), such a time-varying reference signal $y_{ref}(t) := y_{ref}^{\alpha\beta}(t)$ in the (α, β) -reference frame¹ is given by

$$y_{ref}(t) = \underbrace{\begin{pmatrix} A_{dc}^{\alpha\beta}(t) \\ A_{ac}^{\alpha\beta}(t) \end{pmatrix}}_{=: y_{dc,ref}^{\alpha\beta}(t) \text{ [dc part]}} + \underbrace{\sum_{\nu \in \mathbb{H}} A_{\nu}(t) \begin{pmatrix} \cos(\phi_{\nu}(t) + \varphi_{\nu}) \\ \sin(\phi_{\nu}(t) + \varphi_{\nu}) \end{pmatrix}}_{=: y_{ac,ref}^{\alpha\beta}(t) \text{ [ac part (harmonics)]}}. \quad (1)$$

It consists of desired dc reference $y_{dc,ref}^{\alpha\beta}(t)$ with possibly time-varying individual dc components $A_{dc}^{\alpha\beta}(t)$ and $A_{ac}^{\alpha\beta}(t)$ and desired sinusoidal reference $y_{ac,ref}^{\alpha\beta}(t) = \sum_{\nu \in \mathbb{H}} y_{\nu,ref}^{\alpha\beta}(t)$ with a possibly time-varying amplitude $A_{\nu}(t)$, time-varying angle

$$\phi_{\nu}(t) := \int_0^t \nu \omega_{\nu}(\tau) d\tau \text{ with harmonic order } \nu \in \mathbb{H} \subset \mathbb{Q},$$

which depends on the time-varying fundamental angular frequency $\omega_{\nu}(t)$, and constant phase offset φ_{ν} , respectively for each harmonic order $\nu \in \mathbb{H}$. The set $\mathbb{H}$ of harmonic orders may contain any rational numbers $\nu \in \mathbb{Q}$ including e.g. positive ($\nu_{+} > 0$) and negative ($\nu_{-} < 0$) sequence harmonic orders.

The internal model principle [13], well known in control theory, states that for reference (or disturbance) signals, a parallelization of proportional, integral and (multiple) resonant controllers (PIR_{II} controller) is necessary to achieve asymptotic tracking by reduplicating the constant and sinusoidal components of all harmonic orders $\nu \in \mathbb{H}$ of the reference signal in (1). However, the tuning of the parallel PIR_{II} controller

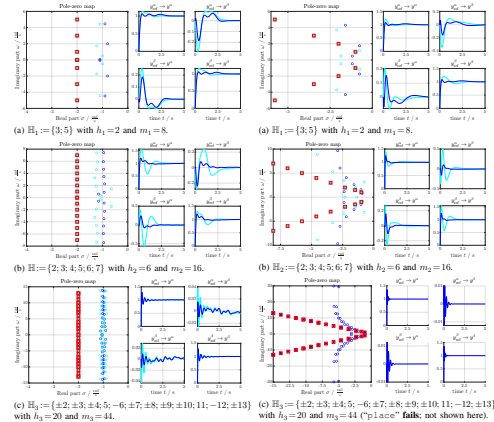


Figure 2: Illustrative simulation results for different harmonic set $\mathbb{H}$ (see subplots (a), (b) & (c)) and tuning according to (ESG₁) with place (—) and FAPP (—) poles [x], zero [o] & desired poles [•] (double pole on real axis in each subplot (a), (b) & (c)).

respectively. Pole placement with place (from Matlab) and FAPP are performed according to the Eigenvalue Selection Guidelines (ESG₁) and (ESG₂) (recall Section II-D3) and

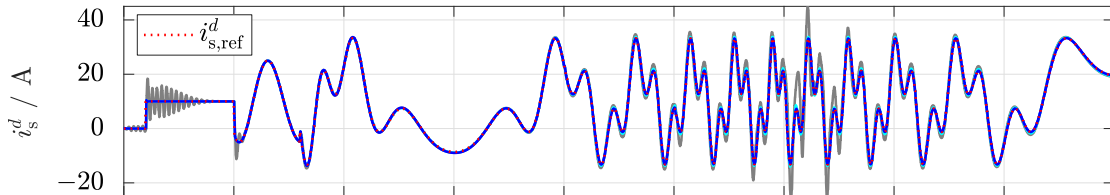
Figure 3: Illustrative simulation results for different harmonic set $\mathbb{H}$ (see subplots (a), (b) & (c)) and tuning according to (ESG₂) with place (—) and FAPP (—) poles [x], zero [o] & desired poles [•] (double pole on real axis in each subplot (a), (b) & (c)).

when compared with the desired Eigenvalues highlighted by red squares [•]. The respective step responses are also similar; however, the closed-loop systems tuned by place (see cyan lines [—]) exhibit more oscillations and are less well damped

Introduction

Motivation and problem statement

Reference signal with constant and (multiple) sinusoidal components



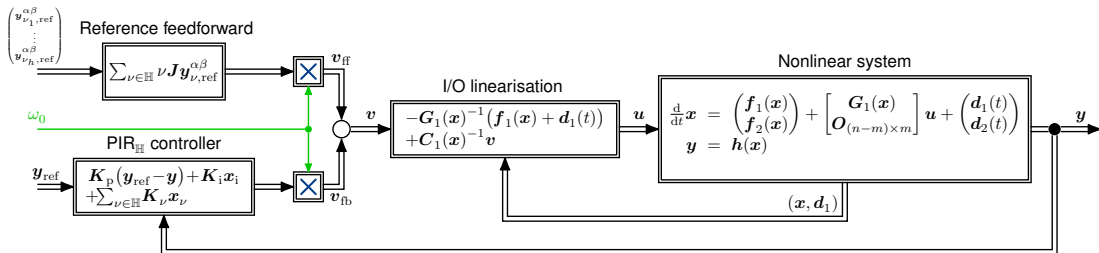
Can we achieve fast and accurate tracking ...

- with (almost) no overshoot ?
- with (asymptotically) vanishing tracking error ?
- while considering multiple harmonics and dc-offsets ?
- by which controller structure ?
- by which tuning even if the frequency is varying ?

Introduction

Proposed solution

Proposed controller structure



for **frequency-adaptive pole placement for parallelized proportional, integral and resonant control systems**, which is conducted in four steps:

- Step 1: Input/output (I/O) linearization;
- Step 2: Implementation of parallelized PIR_H controller;
- Step 3: Frequency-adaptive pole placement; and
- Step 4: Reference feedforward control.

Frequency-adaptive pole placement for parallelized systems

Step 1: I/O linearization

Considered system class with multi-input $u \in \mathbb{R}^m$ multi-output $y \in \mathbb{R}^m$ (MIMO) dynamics

$$\left. \begin{aligned} \frac{d}{dt}x &= \begin{pmatrix} f_1(x) \\ f_2(x) \end{pmatrix} + \begin{bmatrix} G_1(x) \\ O_{(n-m) \times m} \end{bmatrix} u + \begin{pmatrix} d_1(t) \\ d_2(t) \end{pmatrix} \\ y &= h(x) \end{aligned} \right\} \quad (1)$$

where $f_1: \mathbb{R}^n \rightarrow \mathbb{R}^m$, $f_2: \mathbb{R}^n \rightarrow \mathbb{R}^{n-m}$, $h: \mathbb{R}^n \rightarrow \mathbb{R}^m$, $G_1: \mathbb{R}^n \rightarrow \mathbb{R}^{m \times m}$ with $\det G_1(x) \neq 0$ and

$$\left[\frac{d}{dx} h(x) \right]^\top = \left[\underbrace{\frac{d}{d(x_1, \dots, x_m)} h(x)}_{=: C_1(x) \in \mathbb{R}^{m \times m}}, \quad O_{m \times (n-m)} \right]$$

with $\det C_1(x) \neq 0$ for all $x \in \mathbb{R}^n$ (i.e., $G_1(x)$ and $C_1(x)$ are invertible).

Step 1: Input/output (I/O) linearization

$$u = -G_1(x)^{-1} (f_1(x) + d_1(t) + C_1(x)^{-1} v), \quad (2)$$

gives $\frac{d}{dt} y \stackrel{(1),(2)}{=} C_1(x) \left[f_1(x) + G_1(x) \cdot \left(-G_1(x)^{-1} (f_1(x) + d_1(t) + C_1(x)^{-1} v) \right) + d_1(t) \right] = v$.

Frequency-adaptive pole placement for parallelized systems

Step 2: Implementation of parallelized $\text{PIR}_{\mathbb{H}}$ controller

Properties of controller:

- Let $m=2 \rightarrow$ 2-input, 2-output system of form (1)
- Choose set $\mathbb{H}$ of harmonic orders with cardinality $|\mathbb{H}| =: h \in \mathbb{N}$
- Choose the $\text{PIR}_{\mathbb{H}}$ controller's feedback vector $\mathbf{v}_{\text{fb}} \in \mathbb{R}^{2h+2}$ with $2h+2$ states
 $\mathbf{x}_{\text{pir}} := (\mathbf{x}_i^\top, \mathbf{x}_{\nu_1}^\top, \dots, \mathbf{x}_{\nu_h}^\top)^\top \in \mathbb{R}^{2h+2}$, including integral states $\mathbf{x}_i \in \mathbb{R}^2$ and h resonant double states $\mathbf{x}_\nu \in \mathbb{R}^2$ for all $\nu \in \mathbb{H}$.

Hence, the **parallelized $\text{PIR}_{\mathbb{H}}$ controller** is given by

$$\left. \begin{aligned} \mathbf{v}_{\text{fb}} &= \omega_0 \left[\mathbf{K}_p (\mathbf{y}_{\text{ref}} - \mathbf{y}) + \mathbf{K}_i \mathbf{x}_i + \sum_{\nu \in \mathbb{H}} \mathbf{K}_\nu \mathbf{x}_\nu \right] \\ &\quad \underbrace{\begin{matrix} =: \mathbf{A}_{\text{pir}} \\ \begin{bmatrix} \mathbf{O} & \mathbf{O} & \cdots & \mathbf{O} \\ \mathbf{O} & \nu_1 \mathbf{J} & \ddots & \vdots \\ \vdots & \ddots & \ddots & \mathbf{O} \\ \mathbf{O} & \cdots & \mathbf{O} & \nu_h \mathbf{J} \end{bmatrix} \end{matrix}}_{=: \mathbf{f}_{\text{pir}}(\mathbf{x}_{\text{pir}}, \mathbf{y}_{\text{ref}} - \mathbf{y}, \omega_0, \omega_i, \omega_f, \dots, \omega_{\nu_h})} \mathbf{x}_{\text{pir}} + \omega_0 \underbrace{\begin{matrix} =: \mathbf{B}_{\text{pir}} \\ \begin{bmatrix} \mathbf{I}_2 \\ \mathbf{I}_2 \\ \vdots \\ \mathbf{I}_2 \end{bmatrix} \end{matrix}}_{=: \mathbf{B}_{\text{pir}}} (\mathbf{y}_{\text{ref}} - \mathbf{y}) \end{aligned} \right\} \quad (3)$$

Remark: Note that all gain matrices $\mathbf{K}_p$, $\mathbf{K}_i$ and $\mathbf{K}_{\nu_1}, \dots, \mathbf{K}_{\nu_h}$ are element of $\mathbb{R}^{2 \times 2}$ and may be scaled by some angular frequency $\omega_0 \geq 1$. Anti-windup should also be implemented.

Frequency-adaptive pole placement for parallelized systems

Step 3: Frequency-adaptive pole placement

The **frequency-adaptive tuning rule for the controller gain matrices**

$$\mathbf{K} := [-\mathbf{K}_p, \quad \mathbf{K}_i, \quad \mathbf{K}_{\nu_1}, \quad \dots, \quad \mathbf{K}_{\nu_h}] \in \mathbb{R}^{2 \times m} \quad (4)$$

is obtained as

$$\mathbf{K} = [\Lambda_{\mathbb{R},1}^* \quad \dots \quad \Lambda_{\mathbb{R},l}^*] \begin{bmatrix} \mathbf{I}_2 & \dots & \mathbf{I}_2 \\ \mathbf{T}_{2,1} & \dots & \mathbf{T}_{2,l} \\ \vdots & \ddots & \vdots \\ \mathbf{T}_{l,1} & \dots & \mathbf{T}_{l,l} \end{bmatrix}^{-1} \quad \text{with } \Lambda_{\mathbb{R},k}^* := \begin{bmatrix} -\sigma_k^* & -\omega_k^* \\ \omega_k^* & -\sigma_k^* \end{bmatrix} = -\sigma_k^* \mathbf{I}_2 + \omega_k^* \mathbf{J}, \quad (5)$$

where, for all $i \in \{2, \dots, l\}$ and $j \in \{1, \dots, l\}$,

$$\mathbf{T}_{i,j} = \alpha_1 [\alpha_i \mathbf{J} - \Lambda_{\mathbb{R},j}^*]^{-1}. \quad (6)$$

Remark: The simple definition above is based on the introduction of $\alpha_1 := 1$, $\alpha_2 := 0$, $\alpha_3 := \nu_1$, $\alpha_4 := \nu_2$, $\dots$, $\alpha_l := \nu_h$. All $\mathbf{T}_{i,j}$ depend solely on known quantities such as the harmonic orders $\nu_1 = \alpha_3$, $\nu_2 = \alpha_4$, $\dots$, $\nu_h = \alpha_l$, and real σ_j^ and imaginary ω_j^* parts of the j -th desired pole pair $\lambda_j^* = -\sigma_j^* + j\omega_j^*$ and $\bar{\lambda}_j^* = -\sigma_j^* - j\omega_j^*$, respectively.*

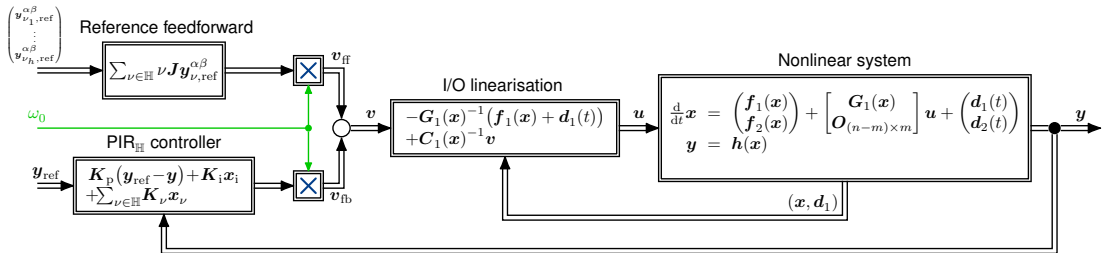
Frequency-adaptive pole placement for parallelized systems

Step 4: Reference feedforward control

Invoking the Internal Model Principle, the desired **reference feedforward control** has the following form

$$v_{\text{ff}} = \sum_{\nu \in \mathbb{H}} \omega_{\nu} J y_{\nu, \text{ref}}^{\alpha\beta} = \omega_0 \sum_{\nu \in \mathbb{H}} \nu J y_{\nu, \text{ref}}^{\alpha\beta}, \quad (7)$$

which only depends on the actual reference components of the respective harmonic order $\nu \in \mathbb{H}$. Combining all four steps gives the **overall control system** (as introduced above):



Implementation and simulative validation

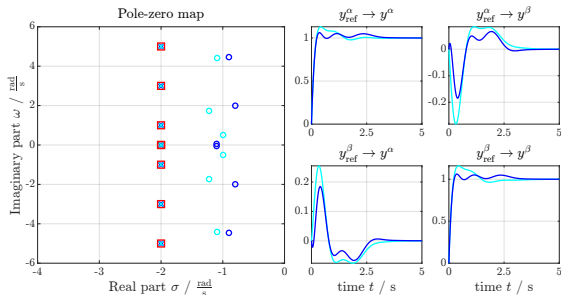
Illustrative examples – Example 1: $\mathbb{H}_1 := \{3; 5\}$ with $h_1 = 2$ and $m_1 = 8$.

Considered simple dynamical system (pure integrator; e.g., after I/O linearization):

$$\frac{d}{dt} \mathbf{y} = \frac{d}{dt} \begin{pmatrix} y^\alpha \\ y^\beta \end{pmatrix} = \mathbf{u} := \begin{pmatrix} u^\alpha \\ u^\beta \end{pmatrix}$$

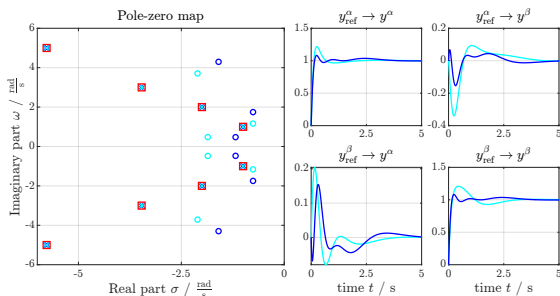
Eigenvalue Selection Guideline 1:

$$\sigma_j^* = \alpha^* \wedge \omega_j^* = \nu_j^* \Rightarrow \lambda_j^* = -\alpha^* \pm j\nu_j^*$$



Eigenvalue Selection Guideline 2:

$$\sigma_j^* = \frac{\nu_j^*}{\sqrt{1-(D^*)^2}} \wedge \omega_j^* = \nu_j^* \Rightarrow \lambda_j^* = -\nu_j^* \left(\frac{1}{\sqrt{1-(D^*)^2}} \pm j \right)$$



Legend: place [—] and FAPP [—]: poles [×], zeros [o]

Implementation and simulative validation

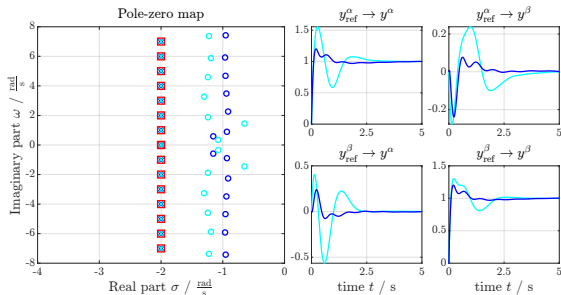
Illustrative examples – Example 2: $\mathbb{H}_2 := \{2; 3; 4; 5; 6; 7\}$ with $h_2 = 6$ and $m_2 = 16$.

Considered simple dynamical system (pure integrator; e.g., after I/O linearization):

$$\frac{d}{dt} \mathbf{y} = \frac{d}{dt} \begin{pmatrix} y^\alpha \\ y^\beta \end{pmatrix} = \mathbf{u} := \begin{pmatrix} u^\alpha \\ u^\beta \end{pmatrix}$$

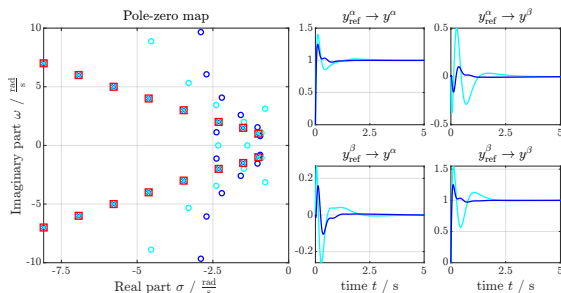
Eigenvalue Selection Guideline 1:

$$\sigma_j^* = \alpha^* \wedge \omega_j^* = \nu_j^* \Rightarrow \lambda_j^* = -\alpha^* \pm j\nu_j^*$$



Eigenvalue Selection Guideline 2:

$$\sigma_j^* = \frac{\nu_j^*}{\sqrt{1-(D^*)^2}} \wedge \omega_j^* = \nu_j^* \Rightarrow \lambda_j^* = -\nu_j^* \left(\frac{1}{\sqrt{1-(D^*)^2}} \pm j \right)$$



Legend: place [—] and FAPP [—]: poles [x], zeros [o]

Implementation and simulative validation

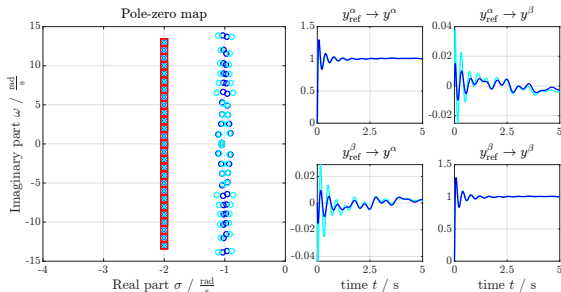
Illustrative examples – Example 3: $\mathbb{H}_3 := \{\pm 2; \pm 3; \pm 4; 5; -6; \pm 7; \pm 8; \pm 9; \pm 10; 11; -12; \pm 13\}$ with $h_3 = 20$ and $m_3 = 44$

Considered simple dynamical system (pure integrator; e.g., after I/O linearization):

$$\frac{d}{dt} \mathbf{y} = \frac{d}{dt} \begin{pmatrix} y^\alpha \\ y^\beta \end{pmatrix} = \mathbf{u} := \begin{pmatrix} u^\alpha \\ u^\beta \end{pmatrix}$$

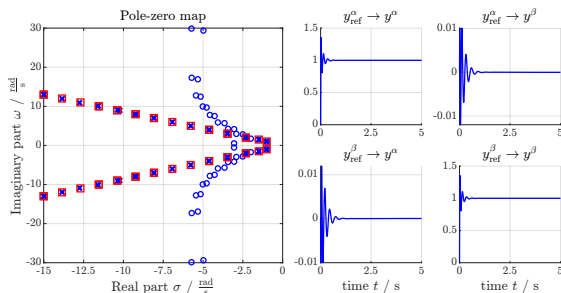
Eigenvalue Selection Guideline 1:

$$\sigma_j^* = \alpha^* \wedge \omega_j^* = \nu_j^* \Rightarrow \lambda_j^* = -\alpha^* \pm j\nu_j^*$$



Eigenvalue Selection Guideline 2: ("place" fails!)

$$\sigma_j^* = \frac{\nu_j^*}{\sqrt{1-(D^*)^2}} \wedge \omega_j^* = \nu_j^* \Rightarrow \lambda_j^* = -\nu_j^* \left(\frac{1}{\sqrt{1-(D^*)^2}} \pm j \right)$$



Legend: place [—] and FAPP [—]: poles [x], zeros [o]

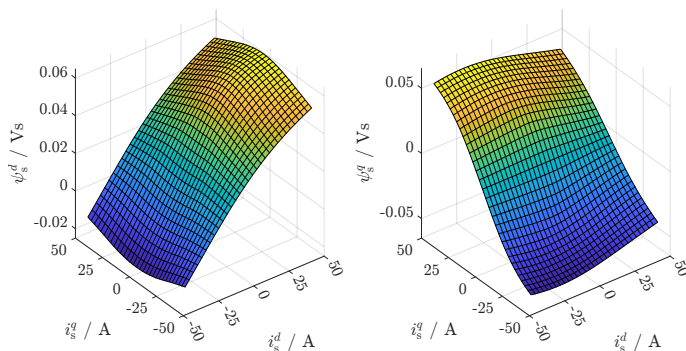
Implementation and simulative validation

Multi-harmonic current control of nonlinear IPMSM – machine model

Nonlinear machine dynamics

$$\left. \begin{aligned} \frac{d}{dt} \mathbf{i}_s^{dq} &= (\mathbf{L}_s^{dq})^{-1} (\mathbf{u}_s^{dq} - \mathbf{R}_s^{dq} \mathbf{i}_s^{dq} - n_p \omega_m \mathbf{J} \psi_s^{dq}) \\ \frac{d}{dt} \omega_m &= \frac{1}{\Theta_m} (m_m - m_l), \\ \frac{d}{dt} \phi_m &= \omega_m. \end{aligned} \right\} \quad (8)$$

with nonlinear flux linkage maps

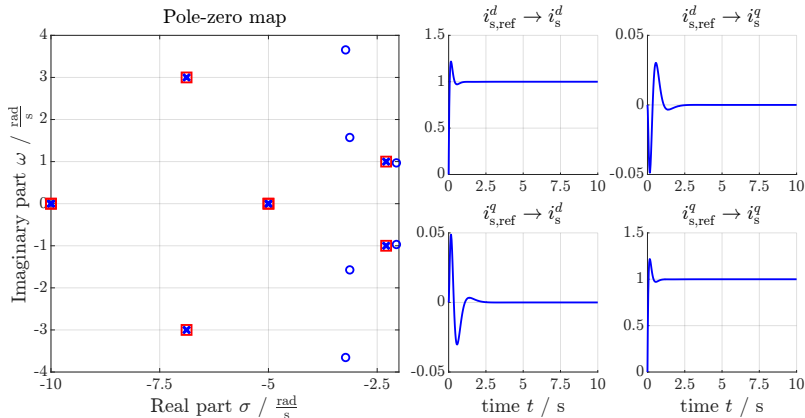


Implementation and simulative validation

Multi-harmonic current control of nonlinear IPMSM

Controller specifications / tuning:

- Desired harmonics $\mathbb{H}_{\text{ipmsm}} := \{1; 3\} \Rightarrow$ number of harmonics $h_{\text{ipmsm}} = 2$ and states $m_{\text{ipmsm}} = 8$;
- Pole placement with (unscaled) desired poles σ_j^* , ω_j^* as in figure below (see [□])
- Varying speeds $\Rightarrow$ frequency adaptation $\alpha_m n_p \omega_{m,R} (\beta_m + \frac{|\omega_m(t)|}{\omega_{m,R}})$ with $\alpha_m = 1$ and $\beta_m = 0.05$



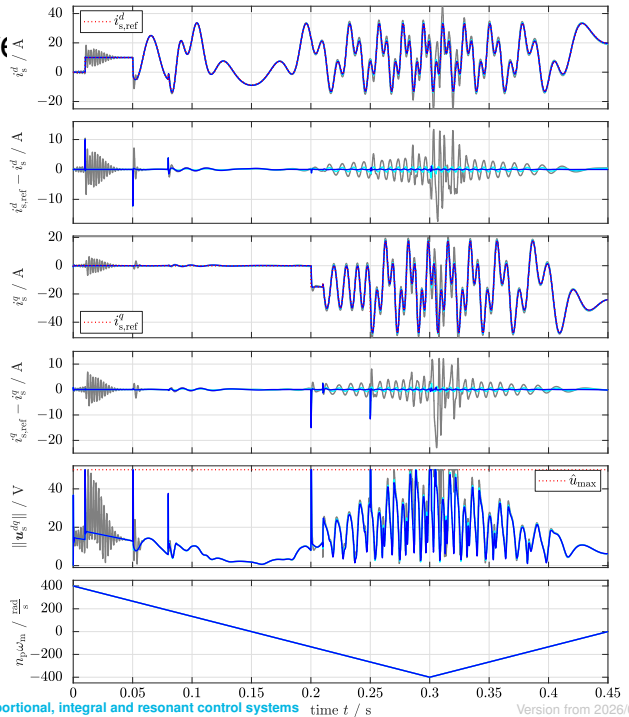
Implementation and simulation

Multi-harmonic current control of nonlinear IPMSM

High-fidelity simulation results:

Three different controller implementations to compare multi-harmonic current control performance for nonlinear IPMSM:

- (C1) PIR_{III} controller *without* reference feedforward control and *without* frequency adaption (w/o RFF & w/o FA) [—];
- (C2) PIR_{III} controller *without* reference feedforward control but *with* frequency adaption (w/o RFF & w/ FA) [—] and [—];
- (C3) PIR_{III} controller *with* reference feedforward control and *with* frequency adaption (w/ RFF & w/ FA) [—] [—]



Conclusion

To take home

- Novel and generic frequency-adaptive pole placement (FAPP) algorithm (beats `place`!)
- Applicable for parallelized proportional, integral and resonant (PIR) control systems has been presented. Additionally, a simple conditional-integration based anti-windup strategy
- Effective reference feedforward control

Future work

- discrete-time and real-time implementation;
- experimental validation in the laboratory;
- sensitivity and robustness analysis / consideration of parameter/model uncertainties; and
- avoidance of the need of matrix inversion (to avoid risk of numerical instability).

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