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Transient response shaping and its application to nonlinear PI-based current control systems

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- 1 Introduction
- 2 Transient response shaping
- 3 Application
- 4 Conclusion

Introduction

Research environment: HM (www.hm.edu), ISES (ises.hm.edu) and LMRES (lmres.ee.hm.edu)



HM – Munich University of Applied Sciences

- 14 departments
- >18.000 students
- ~480 professors
- 3 campuses

ISES – Institute for Sustainable Energy Systems

- 8 research labs (8 professors)
- 45 PhD candidates

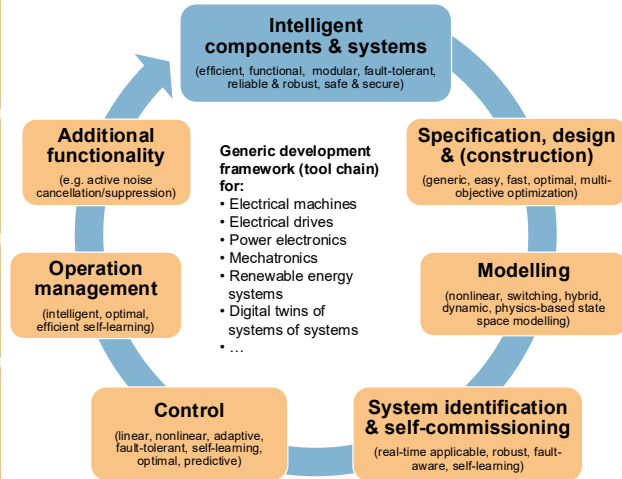
LMRES – Laboratory for Mechatronics and Renewable Energy Systems

- International team
- 15 PhD candidates
- >150 publications / >10.7 Mio. € raised



Introduction

LMRES – Research projects and research goals (funding: > 9.2 million €; e.g. DAAD, Bavaria, BMBF, BMWi, DFG, EU)

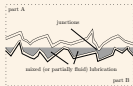


Introduction

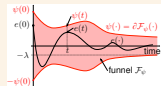
LMRES – Interdisciplinary expertise & publications (> 150 peer-reviewed contributions)



System identification
[9–26]



Friction
[27–29]



Non-identifier based adaptive control
[14, 27, 30–46]



Paper coating machines
[4–8]



Supervision & teaching (Award)
[159–165]



Mechatronics & robotics
[27, 28, 40, 46–58]



Electro-active polymers
[1–3]

Mechatronic and renewable energy systems



Power electronics
[19–21, 46, 58–88]



Wind energy, renewables & smart grid [13–15, 44, 46, 57, 58, 60, 61, 67, 68, 70–72, 74, 79, 85, 93–95, 98, 100, 107, 112, 120, 132, 136, 141–158]



Electrical machines & drives [13, 15, 17, 23–27, 30, 32, 33, 45, 46, 51, 55, 57, 69, 77, 78, 80, 89–140]

Transient response shaping and its application to nonlinear PI-based current control systems

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Abstract—A novel and modular approach for transient response shaping (TRS) of a broad class of nonlinear input/output linearizable systems controlled by nonlinear PI controllers with anti-windup is introduced. It allows to shape the transient response of the closed-loop system to match a prescribed linear reference system (e.g., first-, second-, or n th-order lag system). The derived transient response shaping networks (TRSs) are simple to tune and guarantee prescribed overshoot, rise time, and settling time. Besides, the TRSNs can be easily and seamlessly integrated into existing PI-based control structures without the need of retuning of the PI controllers, emphasizing the modularity of the proposed approach. Several high-fidelity simulation results, including, e.g., high-performance current control of a nonlinear interior permanent-magnet synchronous machine drive, demonstrate effectiveness, functionality and benefits of the proposed TRS approach compared to classical approaches.

Index Terms—Transient response shaping, input/output linearization control, nonlinear PI-based current control

NOTATION

$\mathbb{N}, \mathbb{R}$: natural and real numbers. For the following, let $n \in \mathbb{N}$, $x := (x_1, \dots, x_n)^\top \in \mathbb{R}^n$: column vector (where “ $\top$ ” means “is defined as” and means “transposed”), $\mathbf{0}_n := (0, 0, \dots, 0)^\top \in \mathbb{R}^n$: zero vector, $\|x\| := \sqrt{x^\top x}$: Euclidean norm of x , $A \in \mathbb{R}^{n \times m}$: real (non-square) matrix, $O_{n \times m} \in \mathbb{R}^{n \times m}$: zero (non-square) matrix, $I_n := \text{diag}(1, \dots, 1) \in \mathbb{R}^{n \times n}$: identity matrix, $J := \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$: rotation matrix rotating a vector by $\frac{\pi}{2}$ (counterclockwise), $a \stackrel{\Delta}{=} b$: a should equal b .

1. INTRODUCTION

Almost all industrial applications require active control of certain quantities [1]. For electrical drives [2, 3], typical control problems are current control, speed control or, for certain applications, encoderless control with phase-locked loop (PLL). Mostly, PI controllers are adopted and must be tuned properly. Tuning is achieved by a variety of methods

A. Problem statement (motivating example)



Figure 1: Block diagram of a simple, linear closed-loop system.

In Fig. 1, the block diagram of a linear closed-loop system is illustrated. A proportional-integral (PI) controller

$$F_{PI}(s) = \frac{u_{PI}(s)}{w_{PI}(s) - y(s)} = k_p + \frac{k_i}{s} = \frac{k_p s + k_i}{s} \quad (1)$$

drives a simple integrator-like system

$$F_I(s) = \frac{y(s)}{u(s)} = \frac{1}{s}. \quad (2)$$

A disturbance d may also be present, i.e. $u(s) = u_{PI}(s) - d(s)$. One obtains the second-order closed-loop transfer function

$$F_{cl}(s) = \frac{y(s)}{w_{PI}(s)} = \frac{\frac{k_p s + k_i}{s} \cdot \frac{1}{s}}{1 + \frac{k_p s + k_i}{s} \cdot \frac{1}{s}} = \frac{1 + \frac{k_i}{k_p} s}{1 + \frac{k_p + k_i}{k_p} s + \frac{k_i}{k_p} s^2}. \quad (3)$$

With a proper tuning of the controller's parameters $k_p > 0$ and $k_i > 0$ (e.g. by pole placement [1, Section 10-2]), the transient response of (3) will be as fast as desired (neglecting input saturation). However, due to the proportional-derivative (PD) term $1 + \frac{k_i}{k_p} s$ in the numerator, it will exhibit significant overshoot (see [—] in Fig. 5). The undesirable overshoot can be compensated for by a prefiler of the form [2, Section 6.6]

$$F_{pre}(s) = \frac{w_{PI}(s)}{u_{PI}(s)} = \frac{1}{1 + \frac{k_i}{k_p} s}. \quad (4)$$

Nevertheless, this would heavily decelerate (see [—] in Fig. 5) the resulting (filtered) transient response given by

(C₂) PI controller (1) with prefiler (4) (see [—] in Fig. 5)
(C₃) PI controller (1) with TRSN₁ (8) (see [—] in Fig. 5)
(C₄) PI controller (1) with TRSN₂ (12) (see [—] in Fig. 5)
(C₅) PI controller (1) with TRSN₃ (16) (see [—] in Fig. 5)

All PI controllers have identical tuning with $k_p = 200$ and $k_i = 10000$ (obtained by pole placement). The prefiler is designed accordingly. All transient response shaping networks TRSN₁, TRSN₂ and TRSN₃ are tuned by $T_1 = \frac{1}{\omega_0} = 0.02$ s; whereas, for TRSN₁, $T_2^* = 0.005$ s and $k_2 = 0.02$ were chosen. For TRSN₂, $D^* = 0.9$, $\omega_0^* = 500 \text{ rad/s}$, $k_2 = 0.02778$ and $T_2 = 0.00111$ s were selected. Finally, TRSN₃ was designed for $n = 4$ with $k_n = 0.042226$, $A_{n, \text{ols}} = \begin{bmatrix} 0 & 1 & 0 & 0 \\ -2000000000 & -2000000000 & 0 & 0 \end{bmatrix}$ and $C_{n, \text{ols}} = \begin{bmatrix} 1 & 0 & 0 & 0 \end{bmatrix}$. Intentionally, TRSN₃ has a very aggressive (rather unrealistic) tuning to show what control performance is achievable with transient response shaping. All three controllers (C₂) [—], (C₄) [—] and (C₅) [—] with TRS exactly follow without overshoot their desired reference dynamics F_{ols}^* , F_{ols}^{**} and F_{ols}^{***} (s), respectively (see [—] in Fig. 5 after the reference step at $t = 0.05$ s). (C₅) [—] has the best tracking performance and the best disturbance rejection capability but requires the most aggressive control action.

Controllers (C₁) [—] and (C₂) [—] without TRS have the worst control performance and the worst (actually identical) disturbance rejection capability. While (C₁) [—] overshoots significantly, (C₂) [—] has the slowest transient response due to the prefiler. (C₄) [—] with TRSN₂ provides the best compromise between required control action, transient response and disturbance rejection capability.

E. Controller design with transient response shaping

For $n > m \in \mathbb{N}$, a nonlinear system class described by the multi-input $u \in \mathbb{R}^m$ multi-output $y \in \mathbb{R}^n$ (MIMO) dynamics

$$\frac{dy}{dt} = \begin{pmatrix} f_1(x) \\ f_2(x) \end{pmatrix} + \begin{pmatrix} G_1(x) \\ O_{(n-m) \times m} \end{pmatrix} u + \begin{pmatrix} d_1(t) \\ d_2(t) \end{pmatrix} \quad (18)$$

$$y = h(x)$$

is considered, where $f_1: \mathbb{R}^n \rightarrow \mathbb{R}^m$, $f_2: \mathbb{R}^n \rightarrow \mathbb{R}^{n-m}$, $h: \mathbb{R}^n \rightarrow \mathbb{R}^n$, $G_1: \mathbb{R}^n \rightarrow \mathbb{R}^{m \times m}$ with $\det G_1(x) \neq 0$ and

$$\left[\frac{dh}{dt} h(x) \right]^\top = \begin{bmatrix} \frac{dh_1}{dt} h(x) \\ \frac{dh_2}{dt} h(x) \end{bmatrix} = \begin{bmatrix} O_{m \times (n-m)} \\ C_1(x) \end{bmatrix} O_{m \times (n-m)}$$

with $\det C_1(x) \neq 0$ for all $x \in \mathbb{R}^n$ (i.e. $G_1(x)$ and $C_1(x)$

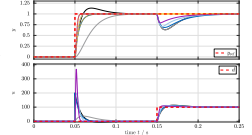


Figure 5: Step and disturbance response for five controller implementations: (C₁) [—], (C₂) [—], (C₃) [—], (C₄) [—] and (C₅) [—].

Step 3: Implementation of the desired transient response shaping network (see Section II-E3).

1) Input/Output (I/O) linearization [12, Section 5.2]: If disturbances d_1 and states x are available for feedback, system (18) can be (partially) linearized by feedback of the form

$$u = -G_1(x)^{-1} (f_1(x) + d_1(t) + C_1(x)^{-1} v), \quad (19)$$

which leads to the integrator-like output system dynamics

$$\frac{dv}{dt} = \left[\frac{dh}{dt} h_1(x) \right]^\top \frac{1}{\det C_1} C_1(x) \left[f_1(x) + G_1(x) \cdot (-G_1(x)^{-1}) (f_1(x) + d_1(t) + C_1(x)^{-1} v) + d_2(t) \right] = v, \quad (20)$$

with auxiliary input vector $v \in \mathbb{R}^n$. This represents a MIMO extension of the scalar integrator as introduced in Fig. 1.

2) Implementation of PI controller with anti-windup: The MIMO PI controller has m additional integral states $x_i \in \mathbb{R}^m$ resulting in the dynamics and feedback vector

$$\left. \begin{aligned} \dot{x}_{pi} &= -K_p(y - y_{ref}) - K_i x_i \\ \frac{dx_i}{dt} &= f_{aw}(u, u_{\max}) - K_i x_i \end{aligned} \right\} \quad (21)$$

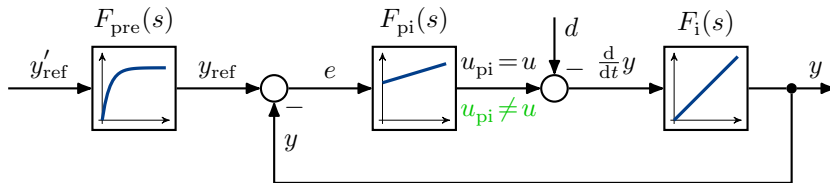
$$f_{aw}(u, u_{\max}) := \begin{cases} 1, & \|u\| \leq u_{\max} \\ 0, & \|u\| > u_{\max} \end{cases}$$

is an anti-windup decision function, which enables integration of the integral states x_i if the requested control action u is feasible and disables integration if the maximum amplitude $u_{\max}$ of the control action is exceeded [5, Section 10.4.1]. Hence, (21) mitigates windup problems by conditional integration if the control action is constrained by $\|u\| \leq u_{\max}$. The gain matrices $K_p \in \mathbb{R}^{m \times m}$ and $K_i \in \mathbb{R}^{m \times m}$ may be

Introduction

Motivation and problem statement

Block diagram of simple closed-loop system (e.g., current/speed control or phase-locked loop)



Transfer function

$$F_{cl}(s) := \frac{y(s)}{y_{ref}(s)} = \frac{\frac{k_p s + k_i}{s} \cdot \frac{1}{s}}{1 + \frac{k_p s + k_i}{s} \cdot \frac{1}{s}} = \frac{1 + \frac{k_p}{k_i} s}{1 + \frac{k_p}{k_i} s + \frac{1}{k_i} s^2} \quad (1)$$

with prefilter $\Rightarrow$ slow transient response / no transient response shaping possible $\Rightarrow$ alternative?

$$F'_{cl}(s) := \frac{y(s)}{y'_{ref}(s)} := \underbrace{\frac{1}{1 + \frac{k_p}{k_i} s}}_{=: F_{pre}(s)} \cdot F_{cl}(s) = \frac{1}{1 + \frac{k_p}{k_i} s + \frac{1}{k_i} s^2} \quad (2)$$

Transient response shaping (TRS)

TRS with desired first-order lag system (fols) behavior

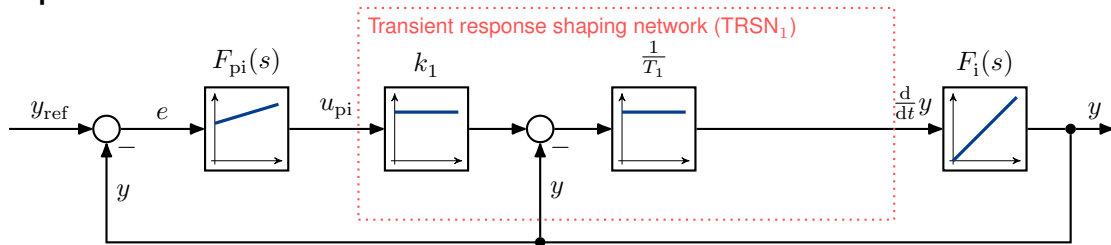
Transient response shaping network (TRSN₁)

$$F_{\text{fols}}(s) = \frac{u(s)}{u_{\text{pi}}(s)} = \frac{k_1}{1+sT_1} \quad \text{with } T_1 \stackrel{!}{=} \frac{k_p}{k_i}, \quad k_1 \stackrel{!}{=} \frac{1}{k_i T_1^*} \quad \text{and } T_1^* > 0 \text{ (arbitrary)}$$

achieves desired closed-loop behavior $F_{\text{fols}}^*(s) := \frac{y(s)}{y_{\text{ref}}(s)} := \frac{1}{1+sT_1^*} \stackrel{!}{=} F_{\text{cl-fols}}(s)$, since

$$F_{\text{cl-fols}}(s) = \frac{y(s)}{y_{\text{ref}}(s)} = \frac{F_{\text{pi}}(s)F_{\text{fols}}(s)}{1 + F_{\text{pi}}(s)F_{\text{fols}}(s)} = \frac{k_i \frac{1+k_p/k_i s}{s} \frac{k_1}{1+sT_1}}{1+k_i \frac{1+k_p/k_i s}{s} \frac{k_1}{1+sT_1}} = \frac{1}{1+s\frac{1}{k_i k_1}}. \quad (3)$$

Implementation



Transient response shaping (TRS)

TRS with desired second-order lag system (sols) behavior

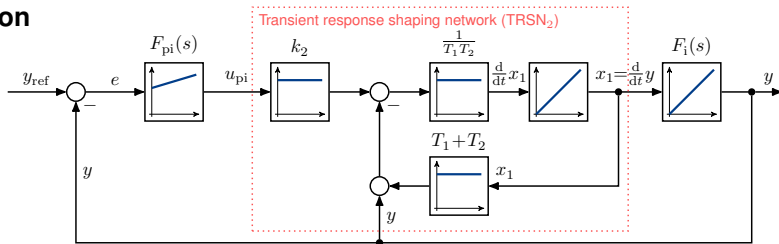
Transient response shaping network (TRSN₂)

$$F_{\text{sols}}(s) = \frac{u(s)}{u_{\text{pi}}(s)} = \frac{k_2}{(1+sT_1)(1+sT_2)} \quad \text{with } T_1 \stackrel{!}{=} \frac{k_p}{k_i}, \quad k_2 \stackrel{!}{=} \frac{\omega_0^*}{2D^*k_i} \quad \text{and} \quad T_2 = \frac{k_1k_2}{(\omega_0^*)^2} \stackrel{!}{=} \frac{1}{2D^*\omega_0^*}$$

achieves desired closed-loop behavior $F_{\text{sols}}^*(s) := \frac{y(s)}{y_{\text{ref}}(s)} := \frac{1}{1+s\frac{2D^*}{\omega_0^*}+s^2\frac{1}{(\omega_0^*)^2}} \stackrel{!}{=} F_{\text{cl-sols}}(s)$, since

$$F_{\text{cl-sols}}(s) = \frac{y(s)}{y_{\text{ref}}(s)} = \frac{F_{\text{pi}}(s)F_{\text{sols}}(s)}{1+F_{\text{pi}}(s)F_{\text{sols}}(s)} = \frac{k_i \frac{1+k_p/k_i s}{s} \frac{k_2}{(1+sT_1)(1+sT_2)}}{1+k_i \frac{1+k_p/k_i s}{s} \frac{k_2}{(1+sT_1)(1+sT_2)}} = \frac{1}{1+s\frac{1}{k_1k_2}+s^2\frac{T_2}{k_1k_2}}. \quad (4)$$

Implementation



Transient response shaping (TRS)

TRS with desired n th-order lag system (nols) behavior

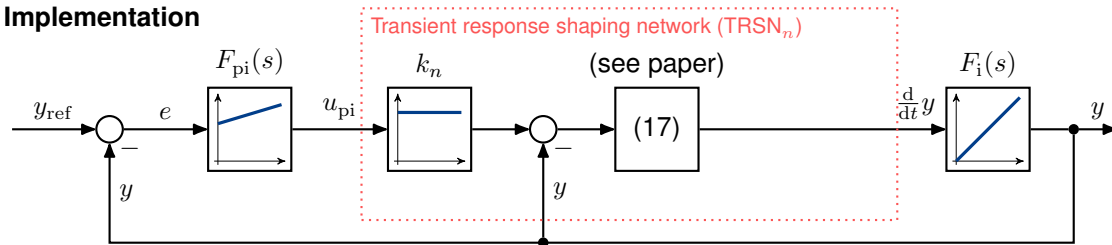
Transient response shaping network (TRSN _{n})

$$F_{\text{nols}}(s) = \frac{u(s)}{u_{\text{pi}}(s)} = \frac{k_n}{(1+sT_1)(1+sa_1+\dots+s^{n-1}a_{n-1})} \quad \text{with } T_1 \stackrel{!}{=} \frac{k_p}{k_i}, \quad k_n \stackrel{!}{=} \frac{1}{k_i a_1^*} \quad \text{and } \forall l \in \{1, \dots, n-1\}: a_l = \frac{a_{l+1}^*}{a_1^*}$$

achieves desired closed-loop behavior $F_{\text{nols}}^*(s) := \frac{y(s)}{y_{\text{ref}}(s)} := \frac{1}{1+sa_1^*+\dots+s^n a_n^*} \stackrel{!}{=} F_{\text{cl-nols}}(s)$, since

$$F_{\text{cl-nols}}(s) = \frac{y(s)}{y_{\text{ref}}(s)} = \frac{F_{\text{pi}}(s)F_{\text{nols}}(s)}{1+F_{\text{pi}}(s)F_{\text{nols}}(s)} = \frac{k_i \frac{1+k_p/k_i s}{s} \frac{k_n}{(1+sT_1)(1+sa_1+\dots+s^{n-1}a_{n-1})}}{1+k_i \frac{1+k_p/k_i s}{s} \frac{k_n}{(1+sT_1)(1+sa_1+\dots+s^{n-1}a_{n-1})}} = \frac{1}{1+s \frac{1}{k_i k_n} + \dots + s^n \frac{a_{n-1}}{k_i k_n}}.$$

Implementation

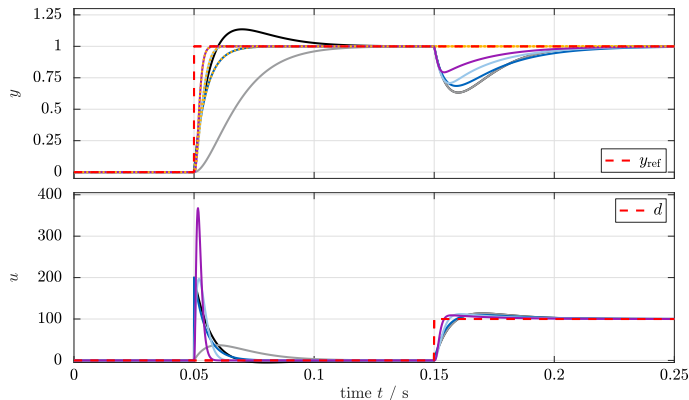


Transient response shaping (TRS)

Illustration of achievable closed-loop control performance (comparative simulation results)

Five different controller implementations:

- (C₁) PI controller (see [—])
- (C₂) PI controller with prefilter (see [—])
- (C₃) PI controller with TRSN₁ (see [—])
- (C₄) PI controller with TRSN₂ (see [—])
- (C₅) PI controller with TRSN_n (see [—])



Application

Controller design with TRS for nonlinear system (in three steps)

Considered system class with multi-input $\mathbf{u} \in \mathbb{R}^m$ multi-output $\mathbf{y} \in \mathbb{R}^m$ (MIMO) dynamics

$$\left. \begin{aligned} \frac{d}{dt} \mathbf{x} &= \begin{pmatrix} \mathbf{f}_1(\mathbf{x}) \\ \mathbf{f}_2(\mathbf{x}) \end{pmatrix} + \begin{bmatrix} \mathbf{G}_1(\mathbf{x}) \\ \mathbf{O}_{(n-m) \times m} \end{bmatrix} \mathbf{u} + \begin{pmatrix} \mathbf{d}_1(t) \\ \mathbf{d}_2(t) \end{pmatrix} \\ \mathbf{y} &= \mathbf{h}(\mathbf{x}) \end{aligned} \right\} \quad (5)$$

where $\mathbf{f}_1: \mathbb{R}^n \rightarrow \mathbb{R}^m$, $\mathbf{f}_2: \mathbb{R}^n \rightarrow \mathbb{R}^{n-m}$, $\mathbf{h}: \mathbb{R}^n \rightarrow \mathbb{R}^m$, $\mathbf{G}_1: \mathbb{R}^n \rightarrow \mathbb{R}^{m \times m}$ with $\det \mathbf{G}_1(\mathbf{x}) \neq 0$ and

$$\left[\frac{d}{d\mathbf{x}} \mathbf{h}(\mathbf{x}) \right]^\top = \left[\underbrace{\frac{d}{d(x_1, \dots, x_m)} \mathbf{h}(\mathbf{x})}_{=: \mathbf{C}_1(\mathbf{x}) \in \mathbb{R}^{m \times m}}, \quad \mathbf{O}_{m \times (n-m)} \right]$$

with $\det \mathbf{C}_1(\mathbf{x}) \neq 0$ for all $\mathbf{x} \in \mathbb{R}^n$ (i.e., $\mathbf{G}_1(\mathbf{x})$ and $\mathbf{C}_1(\mathbf{x})$ are invertible).

Controller design with transient response shaping is conducted in three steps:

- Step 1: Input/output (I/O) linearization;
- Step 2: Implementation of PI controller;
- Step 3: Implementation of the desired transient response shaping network.

Application

Controller design steps

Step 1: Input/output (I/O) linearization

$$\mathbf{u} = -\mathbf{G}_1(\mathbf{x})^{-1}(\mathbf{f}_1(\mathbf{x}) + \mathbf{d}_1(t)) + \mathbf{C}_1(\mathbf{x})^{-1}\mathbf{v}, \quad (6)$$

gives $\frac{d}{dt}\mathbf{y} \stackrel{(5),(6)}{=} \mathbf{C}_1(\mathbf{x}) \left[\mathbf{f}_1(\mathbf{x}) + \mathbf{G}_1(\mathbf{x}) \cdot \left(-\mathbf{G}_1(\mathbf{x})^{-1}(\mathbf{f}_1(\mathbf{x}) + \mathbf{d}_1(t)) + \mathbf{C}_1(\mathbf{x})^{-1}\mathbf{v} \right) + \mathbf{d}_1(t) \right] = \mathbf{v}.$

Step 2: Implementation of (MIMO) PI controller with anti-windup (conditional integration)

$$\left. \begin{aligned} \mathbf{v}_{\text{pi}} &= -\mathbf{K}_p(\mathbf{y} - \mathbf{y}_{\text{ref}}) - \mathbf{K}_i\mathbf{x}_i \\ \frac{d}{dt}\mathbf{x}_i &= f_{\text{aw}}(\mathbf{u}, u_{\text{max}})(\mathbf{y} - \mathbf{y}_{\text{ref}}) \text{ where} \\ f_{\text{aw}}(\mathbf{u}, u_{\text{max}}) &:= \begin{cases} 1, & \|\mathbf{u}\| \leq u_{\text{max}} \\ 0, & \|\mathbf{u}\| > u_{\text{max}} \end{cases} \end{aligned} \right\} \quad (7)$$

Step 3: Implementation of the desired transient response shaping network

Since I/O linearization (6) achieves decoupling of each output y_k of $\mathbf{y} \in \mathbb{R}^m$ and each input u_k of $\mathbf{u} \in \mathbb{R}^m$, the introduced transient response shaping networks TRSN_1 , TRSN_2 or TRSN_n can be implemented for each individual subsystem $\frac{d}{dt}y_k = v_k$ where $k \in \{1, \dots, m\}$. The input to each chosen transient response shaping network is the k -th controller output $v_{\text{pi},k}$ of the MIMO PI controller (7) with output vector $\mathbf{v}_{\text{pi}} \in \mathbb{R}^m$.

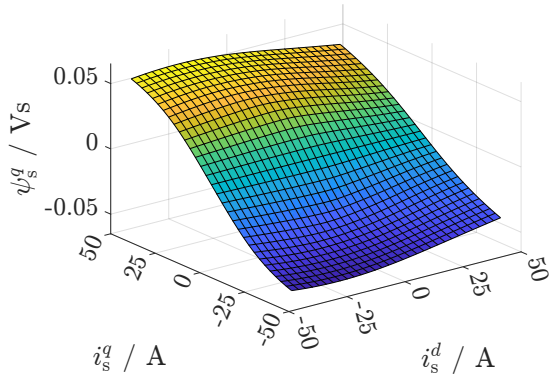
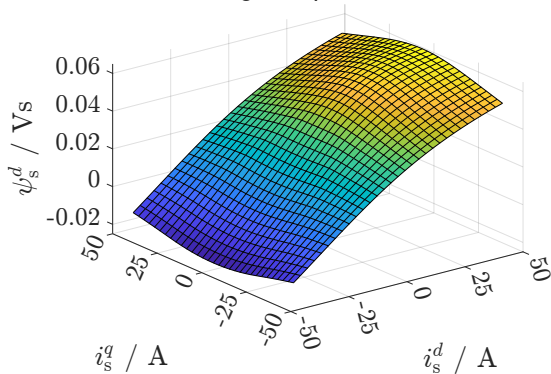
Application

Nonlinear interior permanent-magnet synchronous machine (IPMSM) model

Nonlinear machine dynamics

$$\left. \begin{aligned} \frac{d}{dt} \mathbf{i}_s^{dq} &= (\mathbf{L}_s^{dq})^{-1} (\mathbf{u}_s^{dq} - \mathbf{R}_s^{dq} \mathbf{i}_s^{dq} - n_p \omega_m \mathbf{J} \psi_s^{dq}) \\ \frac{d}{dt} \omega_m &= \frac{1}{\Theta_m} (m_m - m_l), \\ \frac{d}{dt} \phi_m &= \omega_m. \end{aligned} \right\} \quad (8)$$

Nonlinear flux linkage maps



Application

Simulative validation (including VSI with SVM)

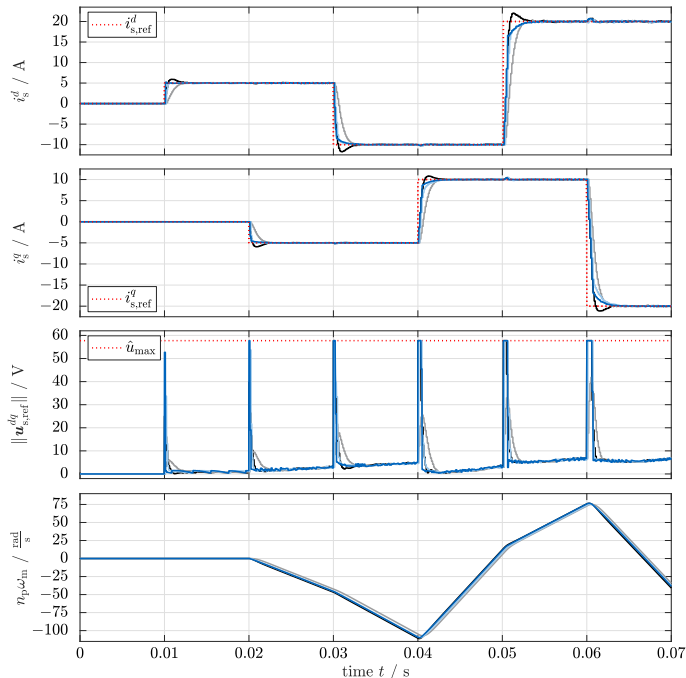
In right figure, the IPMSM time series plots are shown for four different controller implementations with tuning as in Tab. I (see paper):

(C₁) PI controller (see [—])

(C₂) PI controller with prefilter (see [—])

(C₃) PI controller with TRSN₁ (see [—])

(C₄) PI controller with TRSN₂ (see [—])



Conclusion

To take home

- Basic idea of transient response shaping (TRS) was introduced
- Arbitrary transient response shaping possible by implementation of appropriate transient response shaping networks (TRSN)
- Approach is modular and applicable to a wide range of nonlinear systems

Future work

- real-time implementation,
- validation in the laboratory,
- sensitivity and robustness analysis against parameter/model uncertainties,
- impact of not exact state estimation on the required I/O linearization state feedback (if not all states are measured); and
- consideration and compensation of input delays (e.g., due to the voltage source inverter) in the transient response shaping networks.

References I

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