



Hochschule
München (HM)
University of
Applied Sciences

Laboratory for
Mechatronic
and Renewable
Energy Systems
(LMRES)

A generic approach to modern electrical drives

Nonlinear Modelling, Dynamic Simulation, High-Performance Control and
Optimal Operation

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30.09.2024 – IEEE-PEMC 2024 Tutorial, Pilsen, Czech Republic



Outline

- 1 Introduction
- 2 Motivation
- 3 Preliminaries
- 4 Nonlinear modelling
- 5 Dynamic simulation
- 6 High-performance control
- 7 Optimal operation
- 8 Conclusion

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1 Introduction

- HM research environment
- LMRES research activities
- LMRES research interests
- LMRES selected research results

Introduction: HM research environment

HM (www.hm.edu), ISES (ises.hm.edu) and LMRES (lmres.ee.hm.edu)



HM – Munich University of Applied Sciences

- 14 departments
- >18.000 students
- ~480 professors
- 3 campuses

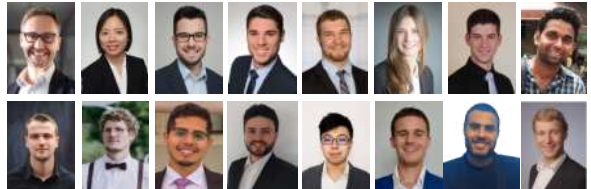
 **ISES is part of the Promotionszentrum “Energietechnik” with the right to award doctorates (since July 16th, 2024)!**

ISES – Institute for Sustainable Energy Systems

- 8 research labs (8 professors)
- 34 PhD candidates

LMRES – Laboratory for Mechatronics and Renewable Energy Systems

- International team
- 15 PhD candidates (status 2024)
- >150 publications / >8.3 Mio. € raised



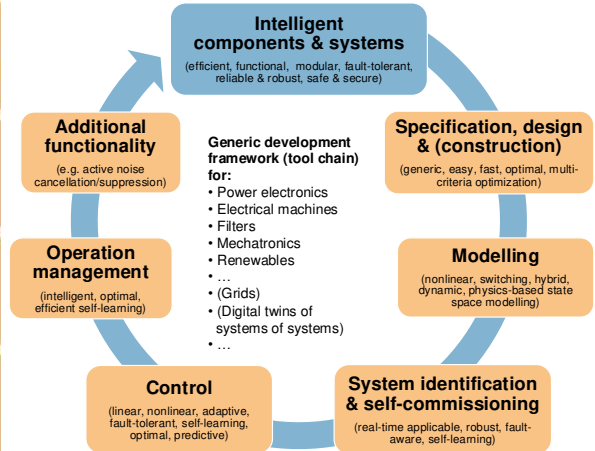
Outline

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Introduction: LMRES research activities

Research projects and research goals (funding: > 8.3 million €; e.g. DAAD, Bavaria, BMBF, BMWi, DFG, EU)



Outline

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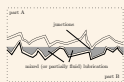
- HM research environment
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Introduction: LMRES research interests

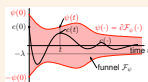
Interdisciplinary expertise & publications



System identification
[9–26]



Friction
[27–29]



Non-identifier based adaptive control [14, 27, 30–46]



Paper coating machines
[4–8]



Supervision & teaching (Award)
[157–163]



Mechatronics & robotics
[27, 28, 40, 46–58]



Electro-active polymers
[1–3]

Mechatronic and renewable energy systems



Power electronics
[19–21, 46, 58–88]



Wind energy, renewables & smart grid [13–15, 44, 46, 57, 58, 60, 61, 67, 68, 70–72, 74, 79, 85, 93–95, 98, 100, 107, 112, 120, 132, 136, 139–156]



Electrical machines & drives [13, 15, 17, 23–27, 30, 32, 33, 45, 46, 51, 55, 57, 69, 77, 78, 80, 89–138]

Outline

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Introduction: LMRES selected research results

Electrical/industrial/traction drives (reliability) [115]

6746

IEEE TRANSACTIONS ON INDUSTRIAL ELECTRONICS, VOL. 66, NO. 9, SEPTEMBER 2019



Postfault Full Torque–Speed Exploitation of Dual Three-Phase IPMSM Drives

Hisham M. Eldeeb , Ayman S. Abdel-Khalik , Senior Member, IEEE,
and Christoph Michael Hackl , Senior Member, IEEE

Abstract—This paper exploits the torque–speed operating limits of a dual three-phase interior permanent magnet synchronous machine (ADT-IPMSM) during postfault operation for different neutral configurations. To achieve the maximum permissible torque–speed limits, the study proposes software and hardware modifications to the latest fault-tolerant techniques using: an offline optimization that takes into account simultaneously the voltage and current constraints during postfault operation and a simple hardware addition that modifies the neutral points configuration to either isolated (1N) or connected (2N) based on the operating torque and/or speed. Compared to the literature, the proposed study considers the field-weakening operation, extending the permissible achievable speeds. A 2.5-kW ADT-IPMSM prototype validates the theoretical findings.

Index Terms—Dual three phase, fault-tolerance, interior permanent magnet synchronous machine (IPMSM), post-fault control.

NOMENCLATURE

Notation
 $\mathbb{R}, \mathbb{N}$ Set of real and natural numbers.
 $n, m \in \mathbb{N}$ Number of rows and columns.
 $\zeta \in \mathbb{R}$ Real scalar.
 $\zeta \in \mathbb{R}^n$ Real vector (bold), expressed as $\zeta = (\zeta_1, \zeta_2, \dots, \zeta_n)^T$.
 $\|\zeta\|$ Euclidean norm of ζ .
 $\|\zeta\|_1$ The maximum sum of ζ is $\|\zeta\|_1$.

Subscripts and superscripts

$\top$ Transpose operator applied to vector or matrix.
 $\square$ Phasor description of a variable at *steady state*.
 $\square_s$ Subscript “s” denotes referencing to the stator.
 $\square^\Lambda$ Superscripts “ Λ ” and “ λ ” are arbitrary variables representing the coordinates of a subspace ($\Lambda \in \{dq, XY, 0^+0^-\}$, $\lambda \in \{dq, XY, 0^+0^-\}$, and $\Lambda \neq \lambda$).

General

$T_{VSD} \in \mathbb{R}^{6 \times 6}$ Vector space decomposition matrix.
 $T_k(\phi) \in \mathbb{R}^{2 \times 2}$ Park’s transformation with angle $\phi \in \mathbb{R}$.
 $T_k \in \mathbb{R}^{3 \times 2}$ Optimization matrix.
 k_1^*, k_2^* Scalar optimization parameters in T_k of the $\Gamma \in \{X, Y\}$ coordinate.
 $J \in \mathbb{R}^{2 \times 2}$ Rotation matrix.
 u Electrical voltage (V).
 i Electrical current (A).
 ψ Flux linkage (Wb).
 $\zeta_a^{b_1 \dots b_n} \in \mathbb{R}^6$ Stator space vector expressed in the $(a_1 b_1 c_1 - a_2 b_2 c_2)$ frame, where $\zeta \in \{u, \psi, i\}$.
 u_{dc} DC-link voltage (V).
 m_e Electromechanical torque (N-m).
 $m_{e,max}$ Maximum torque for a given neutral point configuration (N-m).
 m_{load} Load torque (N-m).
 $\bullet$ Bold face numbers.

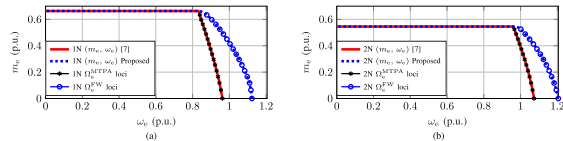


Fig. 1. Postfault comparison of the permissible (m_e, ω_e) characteristics between the presented algorithm in [7] and proposed optimum C with respect to the (a) 1N and (b) 2N neutral configurations, showing the enhancement in terms of extending the permissible

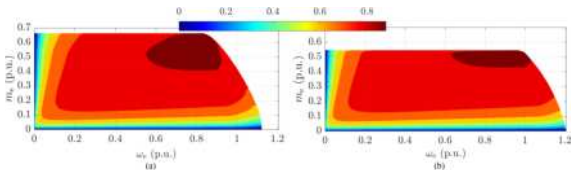


Fig. 2. Postfault efficiency maps of (a) 1N and (b) 2N connections within the (m_e, ω_e) range of the proposed optimization in Section III-C

Introduction: LMRES selected research results

Wind energy systems & power electronics (reliability; VDE Award) [78]

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IEEE TRANSACTIONS ON POWER ELECTRONICS, VOL. 34, NO. 3, MARCH 2019

Modeling and Control of Permanent-Magnet Synchronous Generators Under Open-Switch Converter Faults

Christoph M. Hackl , Senior Member, IEEE, Urs Pecha , and Korbinian Schechner 

Abstract—The mathematical modeling of open-switch faults in two-level machine-side converters and the fault-tolerant current control of isotropic permanent-magnet synchronous generators are discussed in this paper. The proposed converter model is generic for any open-switch fault and independent of the operation mode of the electrical machine. The proposed fault-tolerant current control system gives improved control performance and reduced torque ripple under open-switch faults by modifying the antiwindup strategy, adapting the space-vector modulation scheme, and by injecting additional reference currents. The theoretical derivations of model and control are validated by comparative simulation and measurement results.

Index Terms—Antiwindup (AW), current control, d -current injection, fault tolerance, field-oriented control (FOC), flat-top modulation, open-switch fault, permanent-magnet synchronous generator (PMSG), wind turbine systems.

Notation: $\mathbb{N}, \mathbb{R}$: natural and real numbers. $x := (x_1, \dots, x_n)^T \in \mathbb{R}^n$: column vector, $n \in \mathbb{N}$ where “ n ” and “ $:=$ ” mean “transposed” and “is defined as.” $I_n := \text{diag}(1, \dots, 1) \in \mathbb{R}^{n \times n}$: identity matrix. $O_{n \times p} \in \mathbb{R}^{n \times p}$: zero matrix, $n, p \in \mathbb{N}$. $x \in \mathbb{R}^n$ (in X): physical quantity x where each of the n elements has SI unit X . $\text{mod}(x, y)$: remainder of the division x/y , $x \in \mathbb{R}$, $y \in \mathbb{R} \setminus \{0\}$. $\text{atan2}: \mathbb{R}^2 \rightarrow [-\pi, \pi)$, $(x, y) \rightarrow \text{atan2}(y, x)$: extension of the inverse tangent function to whole circle. $T_{\frac{\pi}{2}} := \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & -j \\ j & 1 \end{bmatrix}$ and $T^{-1} :=$

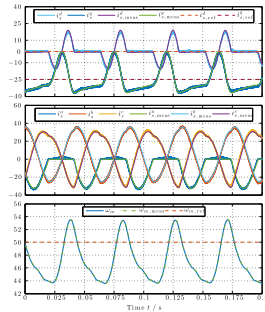
$T_p(\phi) := \begin{bmatrix} \cos(\phi) & -\sin(\phi) \\ \sin(\phi) & \cos(\phi) \end{bmatrix} =: T_p(-\phi)^{-1}$: Park transformation matrix. $J = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$: rotation matrix.

I. INTRODUCTION

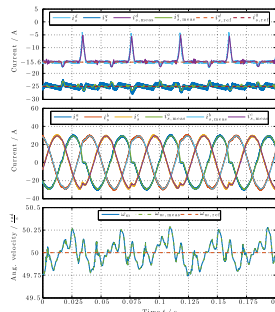
OPEN-SWITCH faults in converters for electric drives have gained increasing attention in the last years. An open-switch fault can be caused by thermic cycling, driver failures, or by a rupture of the insulated-gate bipolar transistor (IGBT) that is induced by a short-circuit fault [1]. Unlike a short-circuit fault, an open-switch fault does usually not trigger a system shutdown, but degrades the system performance and can cause—without proper counteractions—secondary faults in other components (see [2] and [3]). Open-switch faults are therefore a crucial kind of faults in converters and should be considered in the design of a robust and fault-tolerant (hence more reliable) electrical drive system.

This far, especially, the detection of faults in the converter and the identification of the faulty switch have been the focus of research. Various detection methods have already been presented [1]–[9]. Therefore, fault detection is *not* the topic of this paper.

The focus of this paper is on a fault-tolerant modification of the control system such that, even in the presence of an open-switch fault in the machine-side converter, a continuous



(a)



(b)

13. Comparison of simulation and measurement results for standard control system and fault-tolerant control system with extended AW, modifi u (flat-top modulation), and optimally injected d -current. (a) Experiment (E₁): Comparison of simulation and measurement results for standard control system: $\text{THD}_{i_d} = 41.8\%$ (simulation) versus $\text{THD}_{i_d, \text{meas}} = 45.4\%$ (measurement). (b) Experiment (E₂): Comparison of simulation and measurement results

Introduction: LMRES selected research results

Traction drives & power electronics (efficiency; Cooperation with BMW) [128]

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IEEE TRANSACTIONS ON INDUSTRIAL ELECTRONICS, VOL. 68, NO. 5, MAY 2021



Synchronous Optimal Pulsewidth Modulation for Synchronous Machines With Highly Operating Point Dependent Magnetic Anisotropy

Athina Birda , Joerg Reuss , and Christoph M. Hackl , Senior Member, IEEE

Abstract—The performance of synchronous optimal pulsewidth modulation is investigated for the control of an automotive low voltage electrical drive system, which consists of a two-level voltage source inverter and an interior permanent magnet synchronous motor. The machine magnetic anisotropy varies due to magnetic saturation and cross-saturation effects and depends on the motor operating point. The main objective of this article is to investigate the influence of the varying magnetic anisotropy on the optimized half-wave symmetric inverter pulse patterns. For this purpose, the optimized inverter switching angles are derived by minimizing the current harmonic distortion of an isotropic and anisotropic permanent magnet synchronous motor. Their performance is evaluated and compared by experimental results.

Index Terms—Electric vehicle, magnetic anisotropy, permanent magnet synchronous motor (PMSM), synchronous optimal pulsewidth modulation (SOPWM).

I. INTRODUCTION

AS PART of the global effort to reduce the CO₂ emissions, the interest of the automotive industry over the last years focused on the concept of electric mobility. Still, the limited operating range and increased price of electric vehicles remain the most important obstacles for their wide spread. The efficiency of the electrical drive system and, by that, the operating range of electric vehicles can be improved by optimizing the inverter

compromising the motor current quality. To this end, the optimized inverter pulse patterns are determined, which minimize the harmonic distortion of the phase currents. The optimization procedure is conducted offline and the resulting optimized switching angles are stored in lookup tables (LUTs). Since the switching frequency f_{sw} is synchronized with the fundamental stator frequency f_r , the pulse number

$$q := f_{sw} / f_r \quad (1)$$

is always an integer [1].

SOPWM is primarily employed in medium voltage high power induction motor drive applications, where the reduction of switching losses is of utmost importance [1], [2]. Moreover, it is a common modulation strategy for operating electric rail traction converters adopted by GE [3] and SIEMENS [4]. On the contrary, little research has been conducted when the SOPWM strategy is employed for the control of synchronous motor drives [5]–[13]. Especially, SOPWM has not yet been explored for automotive low-voltage interior permanent magnet synchronous motor (IPMSM) drives with highly operating point (OP) dependent magnetic anisotropy. This is the main motivation of this article.

In [5]–[7], the current harmonic content of an isotropic permanent magnet synchronous motor (PMSM) drive is min-

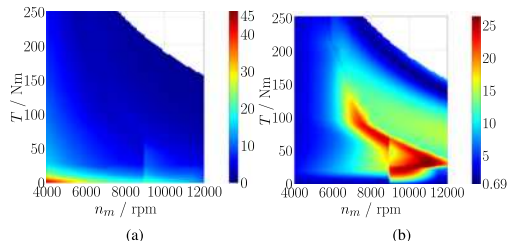


Fig. 9. Numerical performance evaluation of the optimization results. (a) $I_{s,THD}(\alpha_{aniso})/\%$. (b) $I_{s,THD,dev}/\%$.

Introduction: LMRES selected research results

Electrical machines (optimal feedforward torque control (OFTC); operation management) [105]

INTERNATIONAL JOURNAL OF CONTROL, 2007
https://doi.org/10.1080/00207179.2017.1338359



OPEN ACCESS Check for updates

A unified theory for optimal feedforward torque control of anisotropic synchronous machines

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^aResearch Group 'Control of Renewable Energy Systems (CRES)', Munich School of Engineering (MSE), Technical University of Munich (TUM), Munich, Germany; ^bInstitute of Automotive Technology (FTM), Technical University of Munich (TUM), Munich, Germany

ABSTRACT

A unified theory for optimal feedforward torque control of anisotropic synchronous machines with non-negligible stator resistance and mutual inductance is presented which allows to analytically compute (1) the optimal direct and quadrature reference currents for all operating strategies, such as maximum torque per current (MTPC), maximum current, field weakening, maximum torque per voltage (MTPV) or maximum torque per flux (MTPF), and (2) the transition points indicating when to switch between the operating strategies due to speed, voltage or current constraints. The analytical solutions allow for an (almost) instantaneous selection and computation of actual operation strategy and corresponding reference currents. Numerical methods (approximating these solutions only) are no longer required. The unified theory is based on one simple idea: all optimisation problems, their respective constraints and the computation of the intersection point(s) of voltage ellipse, current circle or torque, MTPC, MTPV, MTPF hyperbolas are reformulated implicitly as *quadratics* which allows to invoke the Lagrangian formalism and to find the roots of fourth-order polynomials analytically. The proposed theory is suitable for any anisotropic synchronous machine. Implementation and measurement results illustrate effectiveness and applicability of the theoretical findings in real world.

ARTICLE HISTORY

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KEYWORDS

Maximum torque per ampere (MTPA); maximum torque per current (MTPC); maximum torque per voltage (MTPV); maximum torque per flux (MTPF); maximum current (MC); field weakening (FW); analytical solution; optimal feedforward torque control; efficiency; copper losses; anisotropy; synchronous machine; interior permanent-magnet synchronous machine; reluctance synchronous machine; permanent-magnet-assisted



PEMC 2024 Session: TT01 - Power Electronics and Drives in Transportation

01 October 2024 @ 11:20-11:40 (PEMC24-000037)

N. Monzen and C.M. Hackl, "Optimal reference voltage saturation for nonlinear current control of synchronous machine drives"

$\mathbf{a}^T$: zero vector. $\mathbf{a}^T \mathbf{b} = a_1 b_1 + \dots + a_n b_n$; scalar product

of the vectors $\mathbf{a} := (a_1, \dots, a_n)^T$ and $\mathbf{b} := (b_1, \dots, b_n)^T$.

A generic approach to modern electrical drives

Prof. Dr.-Ing. habil. Christoph M. Hackl

1. Introduction

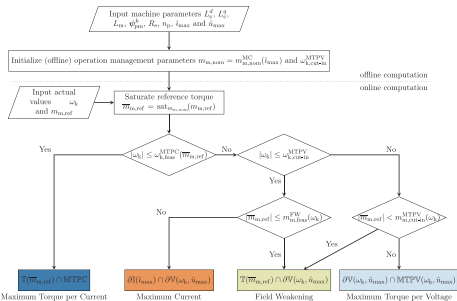


Figure 5. Illustration of operation management: (a) How chart illustrating the decision tree for the selection of the optimal operation strategy, (b) machine map with threshold speed $\omega_m^{\text{MTPC}}(m_{m,\text{ref}})$ (see thick dashed line) for $\omega_k = \omega_m^{\text{MTPV}}, k_{c,i\text{max}}$ and $m_{m,\text{ref}} \leq m_{m,\text{ref}}^{\text{FW}}$, and (c) current locus for $\omega_k = \omega_m^{\text{MTPV}}, k_{c,i\text{max}}$ and $m_{m,\text{ref}} \leq m_{m,\text{ref}}^{\text{FW}}$.

Version from 2024/10/08

Introduction: LMRES selected research results

Electrical drives & renewables (operation management; Geothermal Energy) [23]



IEEE TRANSACTIONS ON INDUSTRIAL ELECTRONICS, VOL. 70, NO. 1, JANUARY 2023

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Nonlinear Modeling, Identification, and Optimal Feedforward Torque Control of Induction Machines Using Steady-State Machine Maps

Julian Kullick and Christoph M. Hackl, Senior Member, IEEE

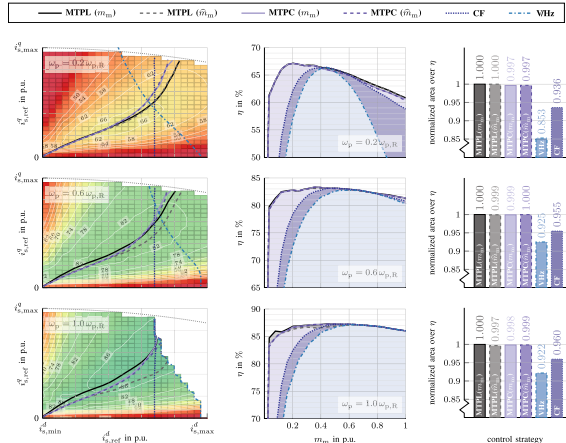
Abstract—A novel but simple machine map-based modeling, identification, and optimal feedforward torque control (OFTC) approach for induction machines (IMs) is presented. It is based on, first, a generic, nonlinear transformer-like machine model considering nonlinear flux linkages (with magnetic saturation and cross coupling) and iron losses in the stator laminations in a novel, arbitrarily rotating but unique, robust, and reproducible (d, q) -reference frame; second, a holistic machine identification procedure, which evaluates steady-state measurements over a grid of (d, q) stator currents and produces temperature and frequency dependent machine maps, for example, flux linkages, torque, iron resistance, and efficiency; and third, a numerical offline optimization and extraction of different OFTC look-up tables (LUTs) for optimal current reference generation depending on reference torque and electrical frequency (and temperature). During the identification, stator winding temperature and electrical stator frequency of the IM are kept constant by an intelligent temperature and the speed control system. The presented measurement results for a squirrel-cage IM confirm that compared to constant flux operation or scalar V/Hz control, efficiency can be increased particularly in part-load operation by up to 7% by Maximum Torque Per Losses minimizing copper and iron losses.

Index Terms—Efficiency, flux linkages, induction machine (IM), iron resistance, machine identification, MTDA

$\|x\| := \sqrt{x^T x} = \sqrt{x_1^2 + \dots + x_n^2}$: Euclidean norm of x ; $X \in \mathbb{R}^{n \times n}$: matrix (n rows & columns); X^{-1} , X^{-T} : inverse, inverse transpose of X (if exist), resp.; $I_n := \text{diag}(1, \dots, 1) \in \mathbb{R}^{n \times n}$: identity matrix; $0_n := (0, \dots, 0)^T \in \mathbb{R}^n$: zero vector; $x^{dq} := (x^d, x^q)^T \in \mathbb{R}^2$: stator or rotor (iron) current, voltage, flux linkage vectors, i.e., $x \in \{i_{s/r}^{dq}, u_{s/r}^{dq}, \psi_{s/r}^{dq}, \delta\}$; $X^{dq} := \begin{bmatrix} X^d & X^{dq} \\ X^{qd} & X^q \end{bmatrix} \in \mathbb{R}^{2 \times 2}$: stator/rotor (iron) resistance matrix, i.e., $R_{s/r}^{dq}$; $\vartheta_{s/r}$: stator/rotor temperature; x_{ref} : reference value of, e.g., temperature, electrical frequency, and currents, i.e., $x \in \{\vartheta_{s,ref}, \omega_{p,ref}, i_{s,ref}^d, i_{s,ref}^q, \delta\}$; $T_{s/r}$: rotor/filter time constant; n_p : pole pair number; m_m : machine/load torque; Θ_m : machine inertia; ω_m : mechanical angular velocity; ϕ_p and $\omega_p = \frac{d}{dt}\phi_p$: Park transformation angle and angular velocity; $J := \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$: rotation matrix (by $\frac{\pi}{2}$).

I. MOTIVATION AND CONTRIBUTIONS

Maximum efficiency operation (or loss minimizing control) of induction machines has been subject to extensive research in



12. Efficiency comparison for $\omega_p \in [0.2, 0.6, 1] \cdot \omega_{p,N}$ (from top to bottom), with efficiency contour plots in current locus (left column), efficiency-over-torque plots (middle column) and overall performance bar plots (right column).

Introduction: LMRES selected research results

Electrical/industrial/traction drives (self-commissioning) [25]



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Analytical Prototype Functions for Flux Linkage Approximation in Synchronous Machines

SHIH-WEI SU¹, CHRISTOPH M. HACKL² (Senior Member, IEEE),
AND RALPH KENNEL¹ (Senior Member, IEEE)

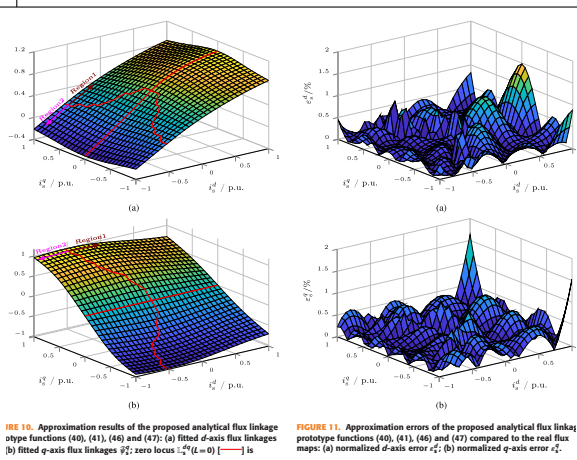
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ABSTRACT Physically motivated and analytical prototype functions are proposed to approximate the nonlinear flux linkages of nonlinear permanent magnet synchronous machines (SMs) in general; and reluctance synchronous machines (RSMs) and interior permanent magnet synchronous machines (IPMSMs) in particular. Such analytical functions obviate the need of huge lookup tables (LUTs) and are beneficial for optimal operation management and nonlinear control of such machines. The proposed flux linkage prototype functions are capable of mimicking the nonlinear self-axis and cross-coupling saturation effects of SMs. Moreover, the differentiable prototype functions allow to easily derive analytical expressions for the differential inductances by simple differentiation of the analytical flux linkage prototype functions. In total, two types of flux linkage prototype functions are developed. The first flux linkage approximation is rather simple and obeys the energy conservation rule for “symmetric” flux linkages of RSMs. With the gained knowledge, the second type of prototype functions is derived in order to achieve approximation flexibility necessary for SMs with permanent (or electrical) excitation with “unsymmetric” flux linkages due to the excitation offset. All proposed flux linkage prototype functions are continuously differentiable, obey the energy conservation rule and, as fitting results show, achieve a (very) high approximation accuracy over the whole operation range.

INDEX TERMS Analytical flux linkage prototype functions, interior permanent magnet synchronous ma-



Introduction: LMRES selected research results

Electrical/industrial/traction drives (Artificial Neural Netwokrs; self-commissioning) [138]

IEEE TRANSACTIONS ON ENERGY CONVERSION, VOL. 38, NO. 3, SEPTEMBER 2023

1767

Simultaneous Identification of Inverter and Machine Nonlinearities for Self-Commissioning of Electrical Synchronous Machine Drives

Simon Wiedemann  and Christoph Michael Hackl , Senior Member, IEEE

Abstract—The proposed identification method allows for a simultaneous estimation of nonlinear output voltage deviations in voltage source inverters (VSIs) and nonlinear synchronous machine models. Based on the identified characteristics with the help of physically inspired structured artificial neural networks (ANNs), an efficient tuning of the current control system can be performed and the nonlinear voltage deviations caused by parasitic effects and dead-time distortions can be accurately compensated for. The identification is performed without position sensor while the rotor is mechanically locked by utilising measured phase currents and reference machine voltages only. Experiments for an interior permanent magnet synchronous machine (IPMSM) and a reluctance synchronous machine (RSM) show that the proposed method is capable of identifying the current dependent self-axis and cross-axis flux linkages, differential inductances and the nonlinear VSI voltage deviations as well as the phase resistance at the same time. The proposed method is fast and generic. Besides the rated machine current, voltage and frequency, no prior system knowledge is required making it applicable for the self-commissioning of any electrical synchronous machine drive.

Index Terms—Identification, self-commissioning, auto-tuning, synchronous machine, inverter dead-time, machine characterisation, flux linkage map, machine model, artificial neural network, encoders.

NOTATION

$\mathbb{N}$, $\mathbb{R}$: natural, real numbers; $\mathbf{x} := (x_1, \dots, x_n)^T \in \mathbb{R}[n]$; col-

I. INTRODUCTION

MODERN control methods and observer architectures of electrical machines rely mostly on accurate information of drive characteristics. Identified parameters of the linear machine model such as the phase resistances and inductances can be utilised to tune the current controllers [1], [2], [3] or observers and to compute the optimal reference currents for an energy efficient operation [2], [4]. This tuning can be further improved by the identification and utilisation of nonlinear flux linkage maps usually expressed in the synchronously rotating (d, q)-reference frame [2], [4], [5], [6], [7], [8] which allow to compute the differential inductances (in the following, the superscript d or q stands for the d - or q -axis, resp.). The automatic identification and tuning of the machine drive can be considered as self-commissioning/auto-tuning and is usually performed before machine operation via offline identification [1], [2], [9].

Self-commissioning algorithms heavily decrease the time required to characterise and tune an electrical machine drive compared to a manual process which, due to a lack of expertise and time of the control engineers, might lead to imprecise results and poor control dynamics [2] as well as an energy inefficient operation [9]. The importance of an automatic commissioning becomes obvious if one considers that around 53% of the globally generated energy is consumed by electrical machines [10]. Despite several improvements on energy efficient

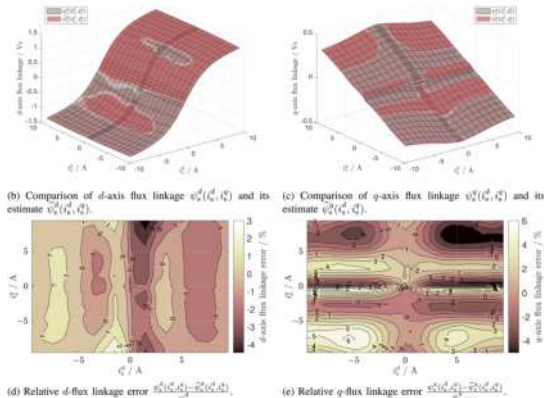


Fig. 9. RSM identification results: (a) Time series; (b) & (c) flux linkages; (e) & (f) relative flux linkage errors.

significantly increased model complexity as for which it is often omitted (such as in (16)). The bias $\hat{h}_{self}^{iq}$ as shown in [138] is -4% to 3% for $\hat{\psi}_q^d(i_d^d, i_q^d)$ and -4% to 6% for $\hat{\psi}_d^d(i_d^d, i_q^d)$. Fig. 1

Introduction: LMRES selected research results

Smart grid/renewables/power systems (grid synchronisation) [22]

Modified Second-Order Generalized Integrators With Modified Frequency Locked Loop for Fast Harmonics Estimation of Distorted Single-Phase Signals

Christoph M. Hackl¹, Senior Member, IEEE, and Markus Landerer

Abstract—This article proposes *modified second-order generalized integrators* (mSOGIs) for a fast estimation of all harmonic components of arbitrarily distorted single-phase signals, such as voltages or currents in power systems. The estimation is based on the internal model principle leading to an overall observer consisting of parallelized mSOGIs. The observer is tuned by pole placement. For a constant fundamental frequency, the observer is capable of estimating all harmonic components with prescribed settling time by choosing the observer poles appropriately. For time-varying fundamental frequencies, the harmonic estimation is combined with a *modified frequency locked loop* (mFLL) with gain normalization, sign-correct antipwindup, and rate limitation. The estimation performances of the proposed parallelized mSOGIs with and without mFLL are illustrated and validated by measurement results. The results are compared to standard approaches such as parallelized standard SOGIs (sSOGIs) and adaptive notch filters (ANFs).

Index Terms—Amplitude estimation, frequency estimation, frequency-locked loop (FLL), phase estimation, second-order generalized integrator (SOGI).

Notation

$\mathbb{N}, \mathbb{R}, \mathbb{C}, \mathbb{Q}$: natural, real, complex and rational numbers. For the following, let $n, m \in \mathbb{N}$. $\mathbf{x} := (x_1, \dots, x_n)^T \in \mathbb{R}^n$: column vector (where $:=$ means “is defined as” and T means “transposed”). $\mathbf{0}_n := (0, 0, \dots, 0)^T \in \mathbb{R}^n$: zero vector. $\|\mathbf{x}\| := \sqrt{\mathbf{x}^T \mathbf{x}}$: Euclidean norm of $\mathbf{x}$. $\mathbf{A} \in \mathbb{R}^{n \times m}$: real (non-square) matrix. $\text{diag}(\mathbf{a}) \in \mathbb{R}^{n \times n}$: diagonal matrix with

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I. INTRODUCTION

A. Motivation and Literature Review

IN VIEW of the increasing number of decentralized generation units with power electronics-based grid connection and the decreasing number of large-scale generators, the overall inertia in the grid is diminishing. This results in faster and more abrupt frequency fluctuations and significant harmonic distortion of physical quantities (such as currents or voltages) of the power system [1]. Fast frequency fluctuations endanger stability of the power grid. Significant harmonic distortions of voltages and currents can degrade power quality and lead to damage or even destruction of grid components. To be capable of taking appropriate countermeasures such as 1) improving system stability and power quality and 2) compensating for such deteriorated operation conditions, it is crucial to detect and estimate fundamental and higher harmonic components of the considered quantities in real time as fast and accurate as possible. Modern power electronic devices (e.g., flexible ac transmission systems or grid-connected converters of decentralized renewable energy systems) can then be used to implement such countermeasures. That is why, grid state estimation became of particular interest to the research community in the past years and has been studied extensively (see e.g., [2]–[24] to name a few).

It is well known that a signal with significant harmonic

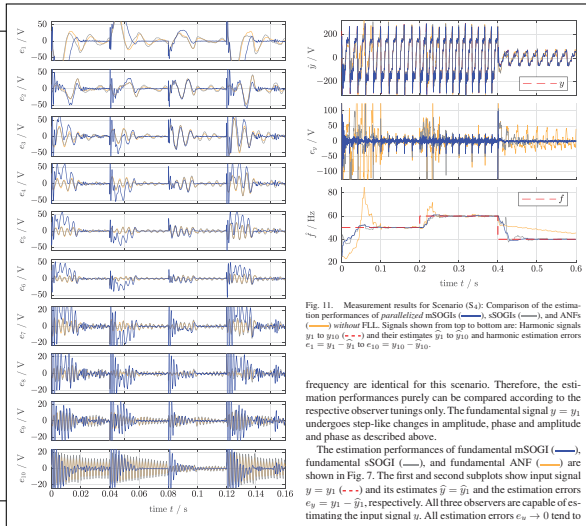


Fig. 11. Measurement results for Scenario (S4): Comparison of the estimation performances of parallelized mSOGIs (—), sSOGIs (---), and ANFs (---) without FLL. Signals shown from top to bottom are: Harmonic signals y_1 to y_{10} (—) and their estimates $\hat{y}_1$ to $\hat{y}_{10}$ and harmonic estimation errors $e_1 = y_1 - \hat{y}_1$ to $e_{10} = y_{10} - \hat{y}_{10}$.

frequency are identical for this scenario. Therefore, the estimation performances purely can be compared according to the respective observer tunings only. The fundamental signal $y = y_1$ undergoes step-like changes in amplitude, phase and amplitude and phase as described above.

The estimation performances of fundamental mSOGI (—), fundamental sSOGI (---), and fundamental ANF (---) are shown in Fig. 7. The first and second subplots show input signal $y = y_1$ (—) and its estimates $\hat{y} = \hat{y}_1$ and the estimation errors $e_y = y_1 - \hat{y}_1$, respectively. All three observers are capable of estimating the input signal y . All estimation errors $e_y \rightarrow 0$ tend to

Outline

- 1 Introduction
- 2 **Motivation**
- 3 Preliminaries
- 4 Nonlinear modelling
- 5 Dynamic simulation
- 6 High-performance control
- 7 Optimal operation
- 8 Conclusion

2 Motivation

- Emerging applications of electrical drives
 - Electrical machines — widely-used, compact and efficient actuators
 - Core components of mechatronic system
 - Motion control via mechatronic actuators
 - Block diagram of mechatronic actuator
 - Overall control system

Motivation

Emerging applications of electrical drives: Electrical drives are **the** mechatronic actuators of the future



(a) Full-electric vehicles (e-mobility).



(b) Mobile (industrial) robots.



(c) Autonomous, full-electric tractor (farming).



(d) Full-electric aircraft.

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Motivation

Electrical machines — widely-used, compact and efficient actuators



www.miele.de



www.farmwood.co.uk



www.abb.com (from video)



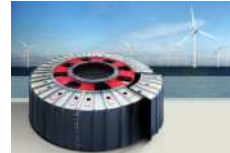
www.siemens.com



www.bmw.de



www.siemens.com



www.siemens.com



www.lilium.com

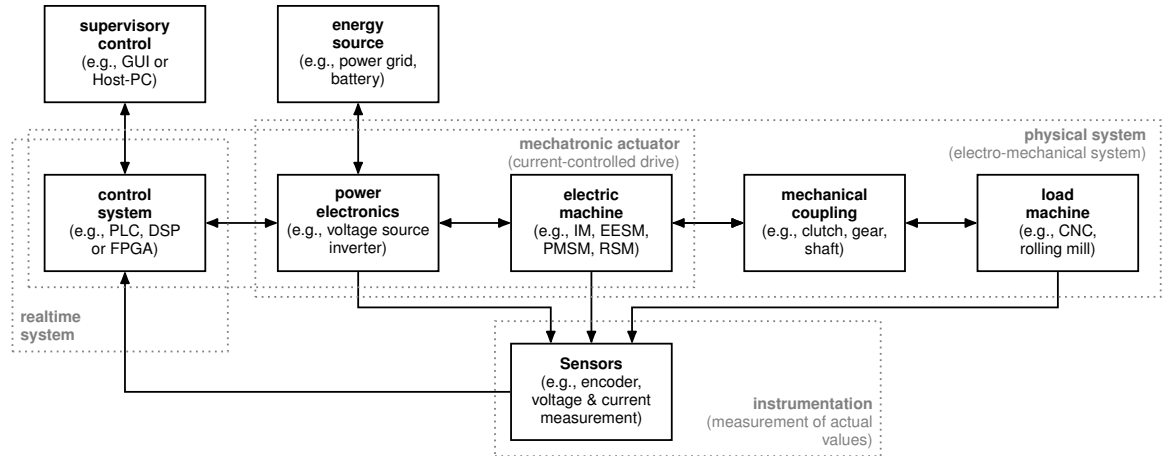
- household and buildings (e.g. washing machines, air conditioning or fans)
- industrial applications (e.g. pumps, milling, grinding)
- traction drives (e.g. electric vehicles, trains, etc.)
- power generation (e.g. conventional power plants or wind turbine systems)
- (more) electric aircrafts
- ...

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Motivation

Core components of mechatronic system

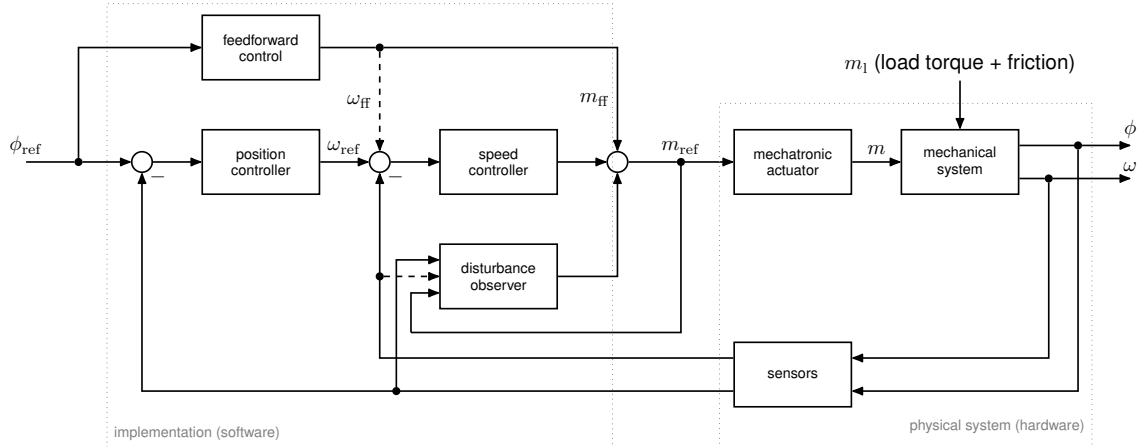


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Motivation

Motion control via mechatronic actuators

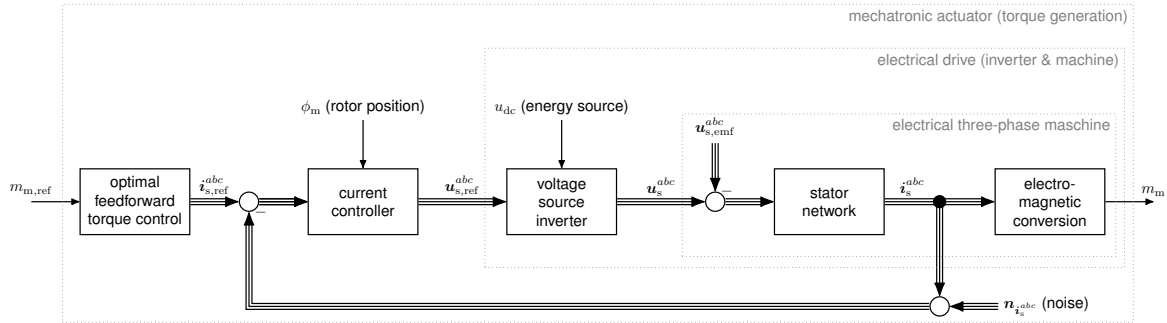


2 Motivation

- Emerging applications of electrical drives
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- **Block diagram of mechatronic actuator**
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Motivation

Block diagram of mechatronic actuator (e.g., with electrical three-phase machine)

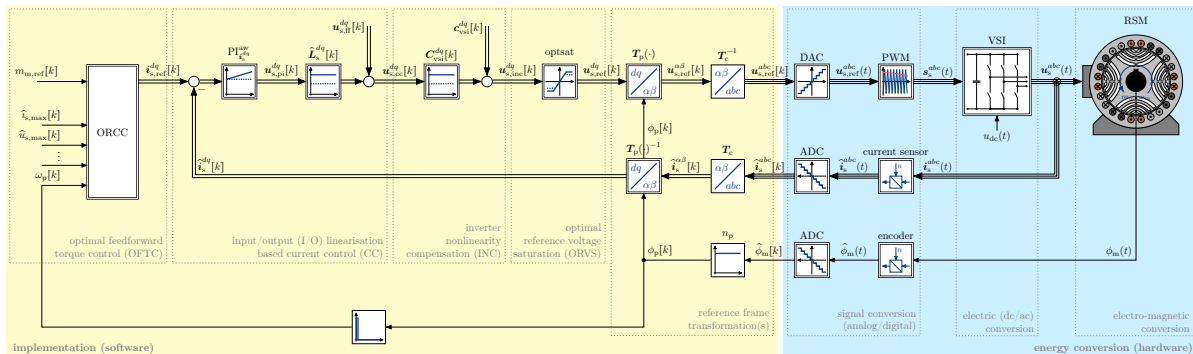


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Motivation

Overall control system (e.g., for Reluctance Synchronous Machine (RSM))



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3 Preliminaries

- Single-/three-phase alternating current (AC) systems
- Three-phase alternating current (AC) systems
- Interconnection of three-phase (AC) systems
- Balanced three-phase (AC) systems
- Space vector theory
- Power definitions

Preliminaries

Single-phase alternating current (AC) systems

Definition (Phase signal ($x \in \{u, i\}$))

$$x: \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}, \quad t \mapsto x(t) := \hat{x}(t) \cos(\phi_x(t))$$

with *amplitude* $\hat{x}(\cdot) \in \mathcal{L}^\infty(\mathbb{R}_{\geq 0}; \mathbb{R})$ and *phase angle* $\phi_x(\cdot) \in \mathcal{C}^{\text{abs}}(\mathbb{R}_{\geq 0}; \mathbb{R})$.

Definition (Sliding average, rectified value, root mean square [sinusoidal signals with $\hat{x}, T > 0$ and $\phi_x = \frac{2\pi}{T}t$])

- *Sliding average:*

$$\forall t \geq T > 0: \overline{X}(t) := \frac{1}{T} \int_{t-T}^t x(\tau) \, d\tau \quad [= 0]$$

- *Rectified value:*

$$\forall t \geq T > 0: \overline{X}_{\text{dc}}(t) := \frac{1}{T} \int_{t-T}^t |x(\tau)| \, d\tau \quad [= \frac{2\hat{x}}{\pi}]$$

- *Root mean square (RMS):*

$$\forall t \geq T > 0: X_{\text{rms}}(t) := \sqrt{\frac{1}{T} \int_{t-T}^t x(\tau)^2 \, d\tau} \quad [= \frac{\hat{x}}{\sqrt{2}}]$$

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Preliminaries

Three-phase alternating current (AC) systems

Definition (Phase signals in vector notation ($x \in \{u, i, \psi, \dots\}$))

$$\mathbf{x}^{abc}: \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}^3, \quad t \mapsto \mathbf{x}^{abc}(t) := \begin{pmatrix} x^a(t) \\ x^b(t) \\ x^c(t) \end{pmatrix} := \begin{pmatrix} \hat{x}^a(t) \cos(\phi_x^a(t)) \\ \hat{x}^b(t) \cos(\phi_x^b(t)) \\ \hat{x}^c(t) \cos(\phi_x^c(t)) \end{pmatrix}$$

with *amplitudes* $\hat{x}^a(\cdot), \hat{x}^b(\cdot), \hat{x}^c(\cdot) \in \mathcal{L}^\infty(\mathbb{R}_{\geq 0}; \mathbb{R})$ and *phase angles* $\phi_x^a(\cdot), \phi_x^b(\cdot), \phi_x^c(\cdot) \in \mathcal{C}^{\text{abs}}(\mathbb{R}_{\geq 0}; \mathbb{R})$ (differentiable a.e.).

Fact (Line-to-line signals)

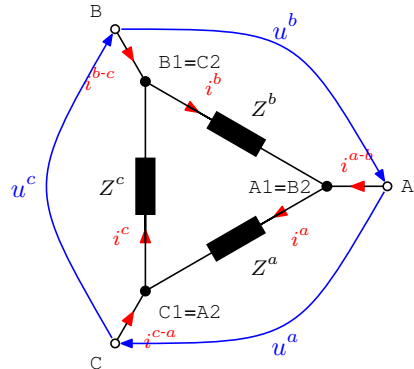
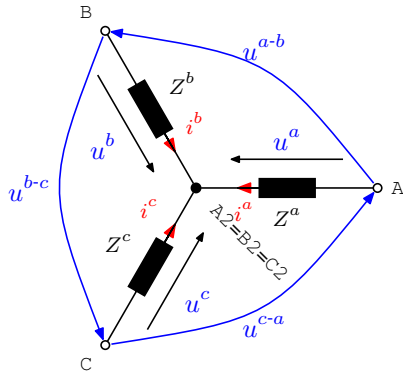
$$\mathbf{x}^{a-b-c}(t) := \begin{pmatrix} x^{a-b}(t) \\ x^{b-c}(t) \\ x^{c-a}(t) \end{pmatrix} := \begin{pmatrix} x^a(t) - x^b(t) \\ x^b(t) - x^c(t) \\ x^c(t) - x^a(t) \end{pmatrix} = \underbrace{\begin{bmatrix} 1 & -1 & 0 \\ 0 & 1 & -1 \\ -1 & 0 & 1 \end{bmatrix}}_{=: \mathbf{T}_v^*, \quad \det(\mathbf{T}_v^*)=0} \mathbf{x}^{abc}(t)$$

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Preliminaries

Interconnection of three-phase (AC) systems



Fact (Star or γ connection)

- $i^a + i^b + i^c = 0$
- $u^{a-b} + u^{b-c} + u^{c-a} = 0$
- $(u^a + u^b + u^c \neq 0)$

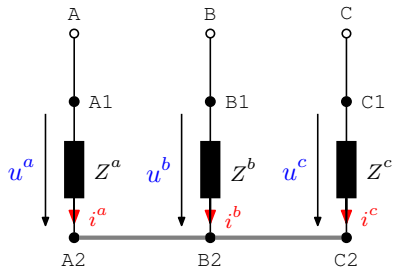
Fact (Delta or Δ connection)

- $i^{a-b} + i^{b-c} + i^{c-a} = 0$
- $u^a + u^b + u^c = 0$
- $(i^a + i^b + i^c \neq 0)$

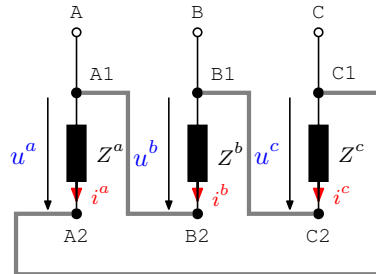
Preliminaries

Interconnection of three-phase (AC) systems (terminal box)

Star connection (symbol Υ)



Delta connection (symbol Δ)



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Balanced three-phase (AC) systems

$$\mathbf{x}^{abc}: \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}^3, \quad t \mapsto \mathbf{x}^{abc}(t) := \begin{pmatrix} x^a(t) \\ x^b(t) \\ x^c(t) \end{pmatrix} := \begin{pmatrix} \hat{x}^a(t) \cos(\phi_x^a(t)) \\ \hat{x}^b(t) \cos(\phi_x^b(t)) \\ \hat{x}^c(t) \cos(\phi_x^c(t)) \end{pmatrix}$$

Assumption 1 (Identical phase amplitudes)

$$\forall t \geq 0: \quad \hat{x}(t) := \hat{x}^a(t) = \hat{x}^b(t) = \hat{x}^c(t)$$

Assumption 2 (Phase shift between phases of $-\frac{2}{3}\pi$)

$$\forall t \geq 0: \quad \phi_x(t) = \phi_x^a(t) = \phi_x^b(t) + \frac{2}{3}\pi = \phi_x^c(t) + \frac{4}{3}\pi, \quad \phi_x(\cdot) \in \mathcal{C}^{\text{abs}}(\mathbb{R}_{\geq 0}; \mathbb{R}).$$

Fact (Gamma (zero) component cancels out for balanced systems)

$$\forall t \geq 0: \quad x^\gamma(t) := x^a(t) + x^b(t) + x^c(t) \stackrel{(\text{A.1}), (\text{A.2})}{=} 0$$

Preliminaries

Balanced three-phase (AC) systems: Examples

Examples

Balanced phase voltages:

$$\forall t \geq 0: \quad \mathbf{u}^{abc}(t) := \begin{pmatrix} u^a(t) \\ u^b(t) \\ u^c(t) \end{pmatrix} = \hat{u}(t) \begin{pmatrix} \cos(\phi_u(t)) \\ \cos\left(\phi_u(t) - \frac{2}{3}\pi\right) \\ \cos\left(\phi_u(t) - \frac{4}{3}\pi\right) \end{pmatrix}$$

Balanced phase currents:

$$\forall t \geq 0: \quad \mathbf{i}^{abc}(t) := \begin{pmatrix} i^a(t) \\ i^b(t) \\ i^c(t) \end{pmatrix} = \hat{i}(t) \begin{pmatrix} \cos(\phi_i(t)) \\ \cos\left(\phi_i(t) - \frac{2}{3}\pi\right) \\ \cos\left(\phi_i(t) - \frac{4}{3}\pi\right) \end{pmatrix}$$

Definition (Phase difference or phase shift)

$$\forall t \geq 0: \quad \varphi(t) := \phi_u(t) - \phi_i(t)$$

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Preliminaries

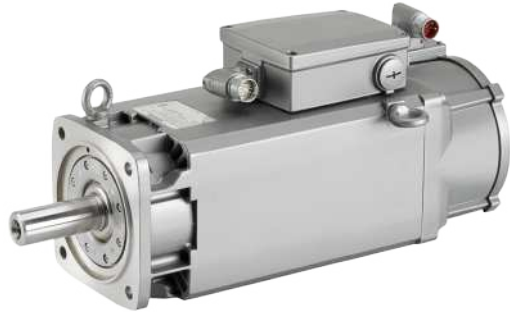
for electrical machines

Induction machine (IM)



<http://www.automation.siemens.com/bilddb/>

Synchronous machine (SM)



<http://www.automation.siemens.com/bilddb/>

Preliminaries

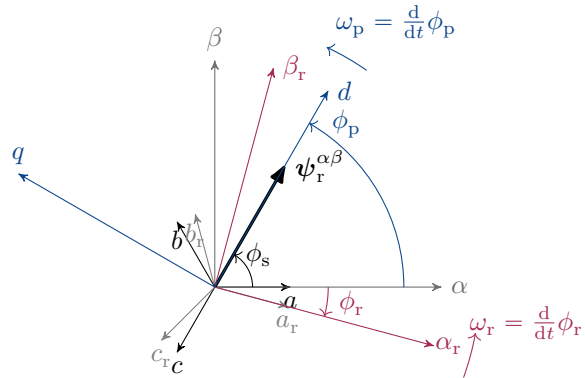
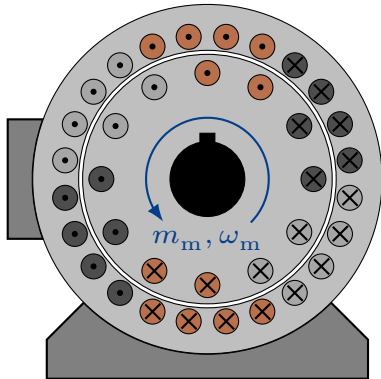
Space vector theory: Sectional view of induction machine



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Preliminaries

Space vector theory: Illustration for induction machine (IM)



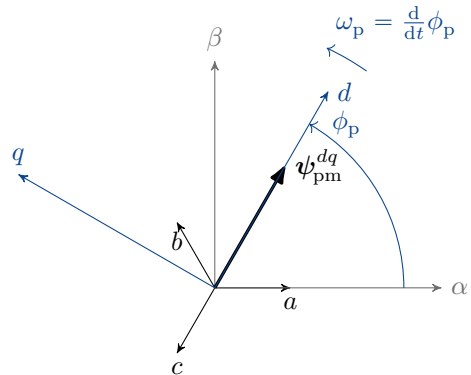
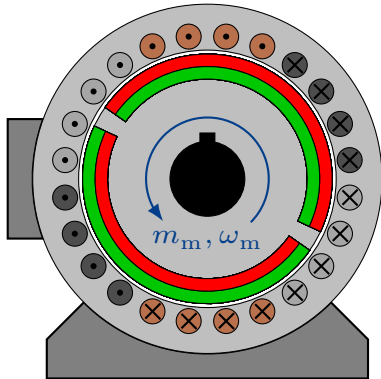
(α, β) -reference frame

(α_r, β_r) -reference frame

(d, q) -reference frame

Preliminaries

Space vector theory: Illustration for permanent-magnet synchronous machine (PMSM)



(α, β) -reference frame

(d, q) -reference frame

Preliminaries

Space vector theory: Clarke transformation $(a, b, c) \rightarrow (\alpha, \beta, \gamma)$

Definition (Clarke transformation with $\kappa \in \{2/3, \sqrt{2/3}\}$)

$$\begin{aligned} \mathbf{f}_c^*: \mathbb{R}^3 \rightarrow \mathbb{R}^3, \quad \underbrace{\begin{pmatrix} x^a \\ x^b \\ x^c \end{pmatrix}}_{=: \mathbf{x}^{abc}} \mapsto \underbrace{\begin{pmatrix} x^\alpha \\ x^\beta \\ x^\gamma \end{pmatrix}}_{=: \mathbf{x}^{\alpha\beta\gamma}} &:= \mathbf{f}_c(\mathbf{x}^{abc}) := \underbrace{\kappa \begin{bmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} \\ 0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2} \\ \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{bmatrix}}_{=: \mathbf{T}_c^* \in \mathbb{R}^{3 \times 3}, \forall \kappa \neq 0: \det(\mathbf{T}_c^*) \neq 0} \begin{pmatrix} x^a \\ x^b \\ x^c \end{pmatrix} \\ (\mathbf{T}_c^*)^{-1} = \frac{1}{\kappa} \begin{bmatrix} \frac{2}{3} & 0 & \frac{\sqrt{2}}{3} \\ -\frac{1}{3} & \frac{1}{\sqrt{3}} & \frac{\sqrt{2}}{3} \\ -\frac{1}{3} & -\frac{1}{\sqrt{3}} & \frac{\sqrt{2}}{3} \end{bmatrix}, \text{ hence: } \mathbf{x}^{abc} = (\mathbf{f}_c^*)^{-1}(\mathbf{x}^{\alpha\beta\gamma}) = (\mathbf{T}_c^*)^{-1} \mathbf{x}^{\alpha\beta\gamma}. \end{aligned}$$

Remark (Choice of κ)

$$\kappa = \frac{2}{3} \implies \text{amplituden-invariant transformation, i.e. } |x^a| = |x^\alpha|.$$

$$\kappa = \sqrt{\frac{2}{3}} \implies \text{power-invariant transformation, i.e. } (\mathbf{u}^{abc})^\top \mathbf{i}^{abc} = (\mathbf{u}^{\alpha\beta\gamma})^\top \mathbf{i}^{\alpha\beta\gamma}.$$

Preliminaries

Space vector theory: Simplified Clarke transformation $(a, b, c) \rightarrow (\alpha, \beta)$

For **balanced systems**, i.e. $x^a(t) + x^b(t) + x^c(t) = 0$ for all $t \geq 0$, we have :

$$\mathbf{f}_c: \mathbb{R}^3 \rightarrow \mathbb{R}^2, \underbrace{\begin{pmatrix} x^a \\ x^b \\ x^c \end{pmatrix}}_{=: \mathbf{x}^{abc}} \mapsto \underbrace{\begin{pmatrix} x^\alpha \\ x^\beta \end{pmatrix}}_{=: \mathbf{x}^{\alpha\beta}} := \mathbf{f}_c(\mathbf{x}^{abc}) = \kappa \underbrace{\begin{bmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} \\ 0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2} \end{bmatrix}}_{=: \mathbf{T}_c \in \mathbb{R}^{2 \times 3}} \begin{pmatrix} x^a \\ x^b \\ x^c \end{pmatrix}$$

where

$$(\mathbf{T}_c)^{-1} := \frac{1}{\kappa} \begin{bmatrix} \frac{2}{3} & 0 \\ -\frac{1}{3} & \frac{1}{\sqrt{3}} \\ -\frac{1}{3} & -\frac{1}{\sqrt{3}} \end{bmatrix}, \text{ somit gilt } \mathbf{x}^{abc} = (\mathbf{T}_c)^{-1} \mathbf{x}^{\alpha\beta}.$$

Preliminaries

Space vector theory: Park transformation $(\alpha, \beta) \rightarrow (d, q)$

Definition (Park transformation (simplified))

$$\mathbf{f}_p: \mathbb{R}^2 \times \mathbb{R} \rightarrow \mathbb{R}^2, \quad \underbrace{\begin{pmatrix} x^\alpha \\ x^\beta \end{pmatrix}}_{=: \mathbf{x}^{\alpha\beta}}, \phi \mapsto \underbrace{\begin{pmatrix} x^d \\ x^q \end{pmatrix}}_{=: \mathbf{x}^{dq}} := \mathbf{f}_p(\mathbf{x}^{\alpha\beta}, \phi) = \underbrace{\begin{bmatrix} \cos(\phi) & \sin(\phi) \\ -\sin(\phi) & \cos(\phi) \end{bmatrix}}_{=: \mathbf{T}_p(\phi)^{-1} \in \mathbb{R}^{2 \times 2}, \forall \phi \in \mathbb{R}: \det(\mathbf{T}_p) \neq 0} \begin{pmatrix} x^\alpha \\ x^\beta \end{pmatrix}$$

Properties

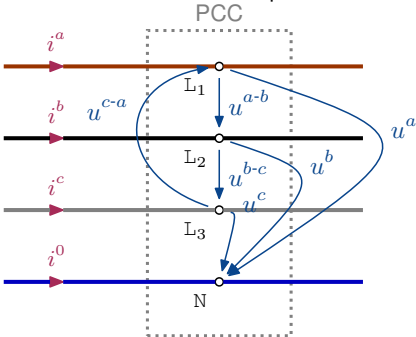
- $\forall \phi_1, \phi_2 \in \mathbb{R}: \quad \mathbf{T}_p(\phi_1 + \phi_2) = \mathbf{T}_p(\phi_1) \cdot \mathbf{T}_p(\phi_2)$
- $\forall \phi \in \mathbb{R}: \quad \mathbf{T}_p(\phi) = \begin{bmatrix} \cos(\phi) & -\sin(\phi) \\ \sin(\phi) & \cos(\phi) \end{bmatrix} = \mathbf{T}_p(-\phi)^{-1} = \mathbf{T}_p(-\phi)^\top$
- $\mathbf{J} := \mathbf{T}_p(\frac{\pi}{2}) = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$ and $\forall \phi \in \mathbb{R}: \mathbf{J}\mathbf{T}_p(\phi) = \mathbf{T}_p(\phi)\mathbf{J}$
- $\forall \phi(\cdot) \in \mathcal{C}^{\text{abs}}(\mathbb{R}_{\geq 0}; \mathbb{R}): \quad \omega(\cdot) := \frac{d}{dt}\phi(\cdot) \wedge \frac{d}{dt}\mathbf{T}_p(\phi(t)) = \omega(t)\mathbf{J}\mathbf{T}_p(\phi(t))$

3 Preliminaries

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- Three-phase alternating current (AC) systems
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- **Power definitions**

Preliminaries

Power definitions: Classical power definitions (sinusoidal signals)



$$\mathbf{u}^{\alpha\beta} = T_c \underbrace{\begin{pmatrix} u^a \\ u^b \\ u^c \end{pmatrix}}_{=: \mathbf{u}^{abc}}, \quad \|\mathbf{u}^{\alpha\beta}\| = \hat{u}$$

$$\mathbf{i}^{\alpha\beta} = T_c \mathbf{i}^{abc}, \quad \|\mathbf{i}^{\alpha\beta}\| = \hat{i}$$

Definition (Average active power [for $T, \hat{u}, \hat{i}, \varphi > 0$])

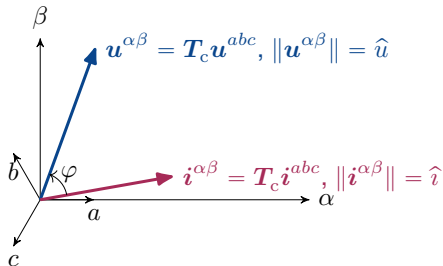
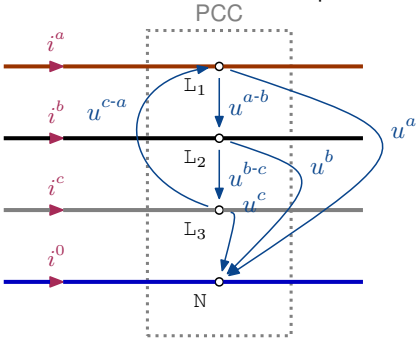
$$\forall t \geq T := \frac{2\pi}{\omega}: \quad P_{3\sim}(t) := \frac{1}{T} \int_{t-T}^t \mathbf{u}^{abc}(\tau)^\top \mathbf{i}^{abc}(\tau) d\tau \quad [= \frac{3}{2} \hat{u} \hat{i} \cos(\varphi)]$$

Definition (Average reactive power [for $T, \hat{u}, \hat{i}, \varphi > 0$])

$$\forall t \geq T := \frac{2\pi}{\omega}: \quad Q_{3\sim}(t) := \frac{1}{T} \int_{t-T}^t \mathbf{u}^{abc}(\tau)^\top \mathbf{i}^{abc}(\tau - \frac{T}{4}) d\tau \quad [= \frac{3}{2} \hat{u} \hat{i} \sin(\varphi)]$$

Preliminaries

Power definitions: Instantaneous power theory



Definition (Instantaneous active power)

$$\forall t \geq 0: \quad p_{3\sim}(t) := \frac{2}{3\kappa^2} \mathbf{u}^{\alpha\beta}(t)^\top \mathbf{i}^{\alpha\beta}(t) = \frac{2}{3\kappa^2} \mathbf{u}^{dq}(t)^\top \mathbf{i}^{dq}(t) \left[= \frac{3}{2} \hat{u}(t) \hat{i}(t) \cos(\varphi(t)) \right]$$

Definition (Instantaneous reactive power)

$$\forall t \geq 0: \quad q_{3\sim}(t) := \frac{2}{3\kappa^2} \mathbf{u}^{\alpha\beta}(t)^\top \mathbf{J} \mathbf{i}^{\alpha\beta}(t) = \frac{2}{3\kappa^2} \mathbf{u}^{dq}(t)^\top \mathbf{J} \mathbf{i}^{dq}(t) \left[= \frac{3}{2} \hat{u}(t) \hat{i}(t) \sin(\varphi(t)) \right]$$

Outline

- 1 Introduction
- 2 Motivation
- 3 Preliminaries
- 4 Nonlinear modelling**
- 5 Dynamic simulation
- 6 High-performance control
- 7 Optimal operation
- 8 Conclusion

4 Nonlinear modelling

■ Motivation

■ Two-level voltage source inverter (2L-VSI)

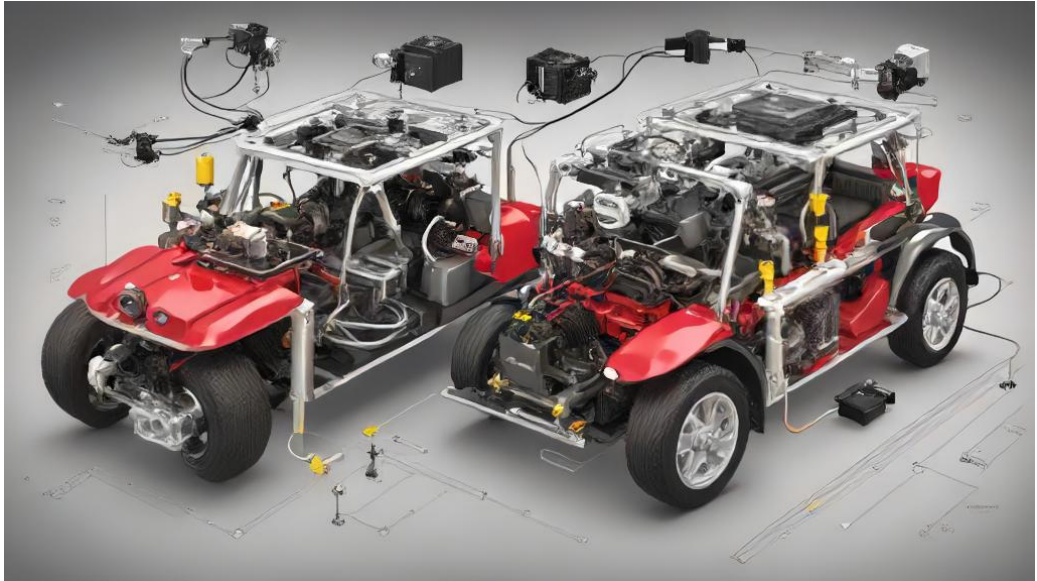
- Electrical circuit
- Switching model
- Modulation
- Dynamics and its approximation

■ Electrical machine

- Key equations
- Reference frames
- Generic machine dynamics
- Equivalent circuit

Nonlinear modelling: Motivation

Output of [DeepAI Figure Generator](#) (prompted with “Electric vehicle with motor, power electronics & battery”)



4 Nonlinear modelling

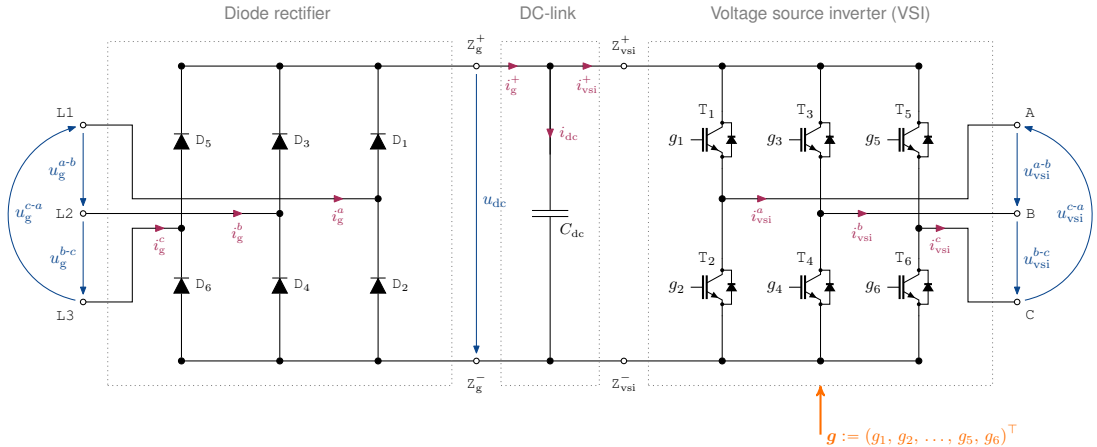
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4 Nonlinear modelling

- Motivation
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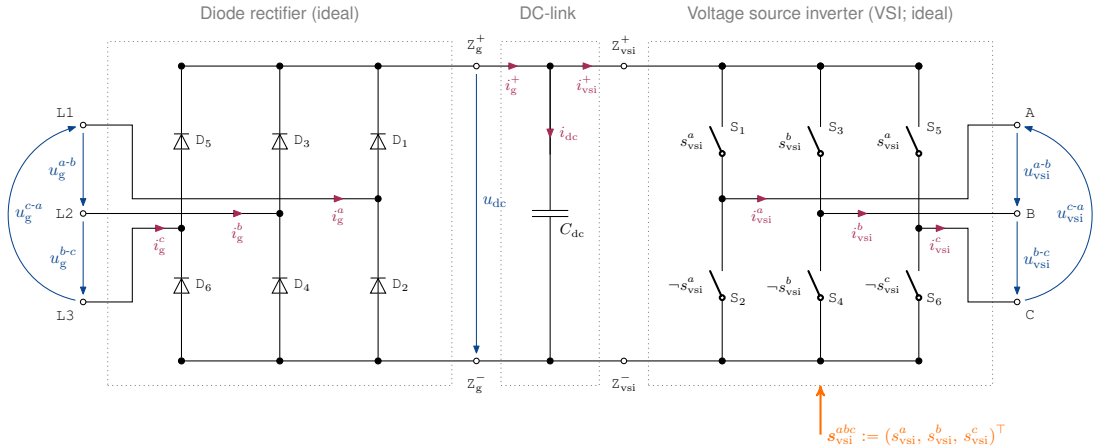
Nonlinear modelling: Two-level voltage source inverter (2L-VSI)

Electrical circuit with rectifier



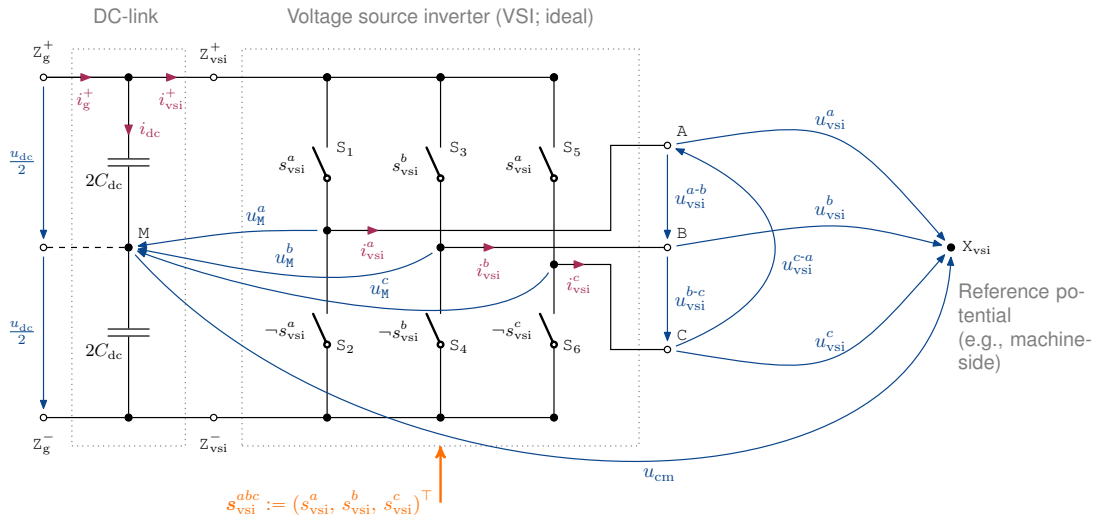
Nonlinear modelling: Two-level voltage source inverter (2L-VSI)

Electrical circuit with rectifier (ideal semi-conductors)



Nonlinear modelling: Two-level voltage source inverter (2L-VSI)

Electrical circuit with split dc-link and mid-point voltages (ideal switches)



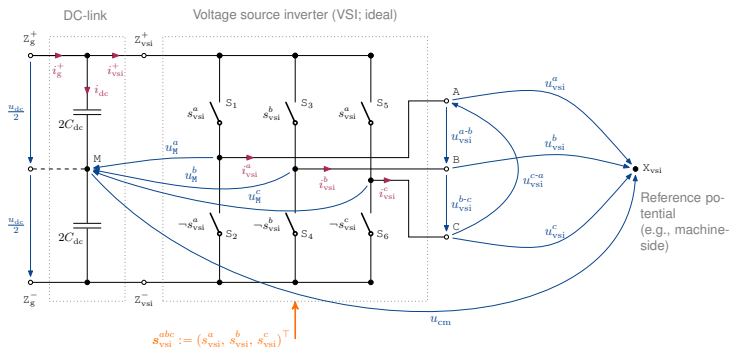
Outline

4 Nonlinear modelling

- Motivation
- Two-level voltage source inverter (2L-VSI)
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Nonlinear modelling: Two-level voltage source inverter (2L-VSI)

Switching model (as matrix-vector product)



This model can easily and modularly be extended to include conduction and switching losses as well [164].

$$\mathbf{u}_{vsi}^{a-b-c} = \begin{pmatrix} u_{vsi}^{a-b} \\ u_{vsi}^{b-c} \\ u_{vsi}^{c-a} \end{pmatrix} = u_{dc} \begin{bmatrix} 1 & -1 & 0 \\ 0 & 1 & -1 \\ -1 & 0 & 1 \end{bmatrix} \mathbf{s}_{vsi}^{abc}$$

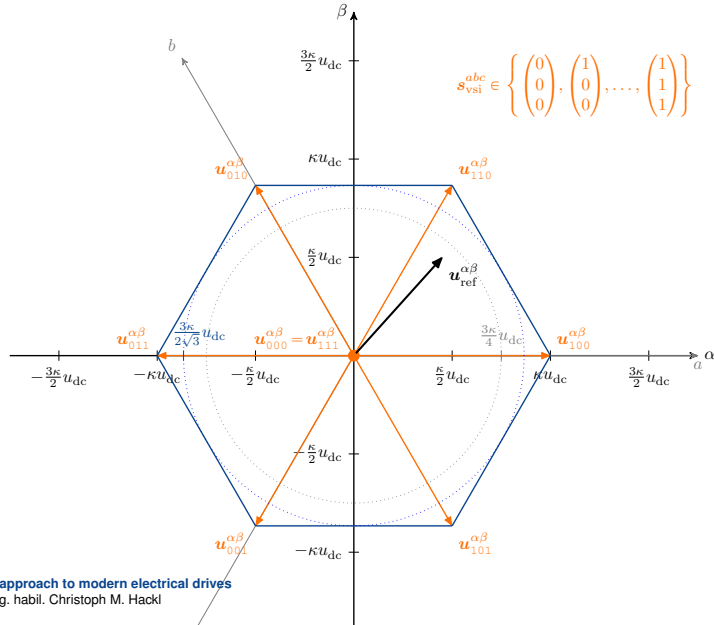
$$u_{vsi}^a + u_{vsi}^b + u_{vsi}^c = 0 \implies \mathbf{u}_{vsi}^{abc} = \frac{u_{dc}}{3} \begin{bmatrix} 2 & -1 & -1 \\ -1 & 2 & -1 \\ -1 & -1 & 2 \end{bmatrix} \mathbf{s}_{vsi}^{abc} = \underbrace{u_{dc} \left(\mathbf{s}_{vsi}^{abc} - \frac{1}{2} \mathbf{1}_3 \right)}_{=: \mathbf{u}_M^{abc}} + \mathbf{1}_3 u_{cm}$$

4 Nonlinear modelling

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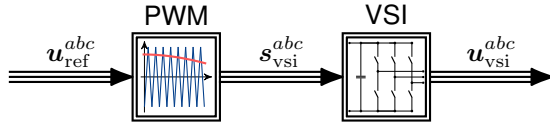
Nonlinear modelling: Two-level voltage source inverter (2L-VSI)

Modulation: Voltage hexagon



Nonlinear modelling: Two-level voltage source inverter (2L-VSI)

Modulation: Pulse Width Modulation (PWM) and Space Vector Modulation (SVM)

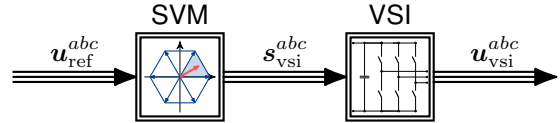


Switching signal vector

$$\mathbf{s}_{\text{vsi}}^{abc} = \begin{pmatrix} s_{\text{vsi}}^a \\ s_{\text{vsi}}^b \\ s_{\text{vsi}}^c \end{pmatrix} = \begin{pmatrix} \sigma \left(\frac{u_{\text{ref}}^a}{u_{\text{dc}}/2} - u_{\text{car}} \right) \\ \sigma \left(\frac{u_{\text{ref}}^b}{u_{\text{dc}}/2} - u_{\text{car}} \right) \\ \sigma \left(\frac{u_{\text{ref}}^c}{u_{\text{dc}}/2} - u_{\text{car}} \right) \end{pmatrix}$$

with Heaviside function

$$\sigma(x) := \begin{cases} 1 & , \quad x \geq 0 \\ 0 & , \quad x < 0 \end{cases}$$



Switching signal vector

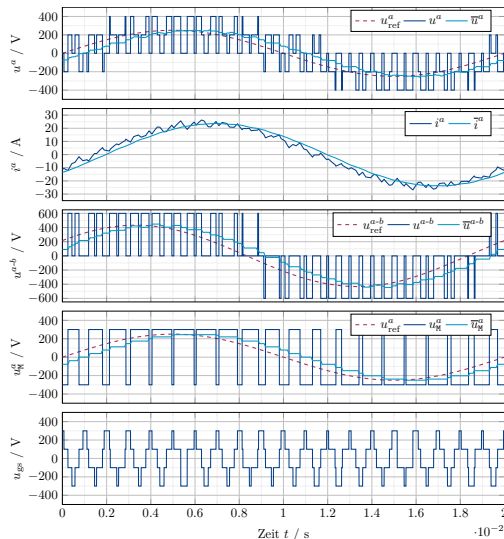
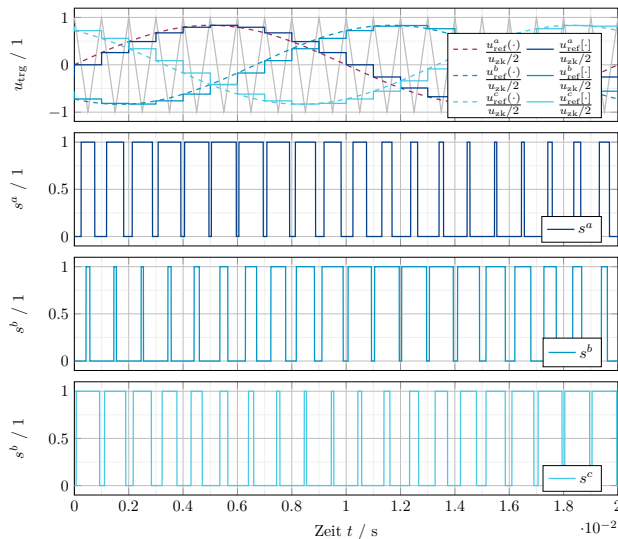
$$\mathbf{s}_{\text{vsi}}^{abc} = \begin{pmatrix} \sigma \left(\frac{u_{\text{ref,svm}}^a}{u_{\text{dc}}/2} - u_{\text{car}} \right) \\ \sigma \left(\frac{u_{\text{ref,svm}}^b}{u_{\text{dc}}/2} - u_{\text{car}} \right) \\ \sigma \left(\frac{u_{\text{ref,svm}}^c}{u_{\text{dc}}/2} - u_{\text{car}} \right) \end{pmatrix}$$

with extended reference voltages

$$\mathbf{u}_{\text{ref,svm}}^{abc} := \mathbf{u}_{\text{ref}}^{abc} - \begin{pmatrix} \frac{\max(\mathbf{u}_{\text{ref}}^{abc}) + \min(\mathbf{u}_{\text{ref}}^{abc})}{2} \\ \frac{\max(\mathbf{u}_{\text{ref}}^{abc}) + \min(\mathbf{u}_{\text{ref}}^{abc})}{2} \\ \frac{\max(\mathbf{u}_{\text{ref}}^{abc}) + \min(\mathbf{u}_{\text{ref}}^{abc})}{2} \end{pmatrix}$$

Nonlinear modelling: Two-level voltage source inverter (2L-VSI)

Modulation (example): Symmetrical PWM and averaged delay

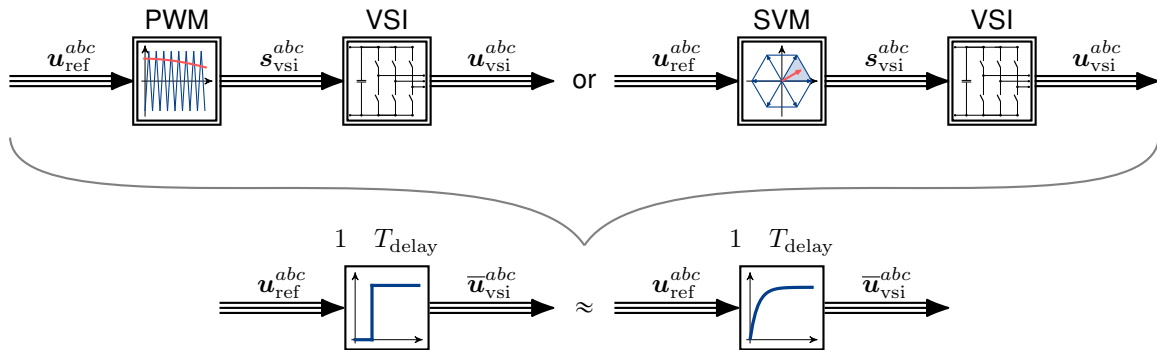


4 Nonlinear modelling

- Motivation
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Nonlinear modelling: Two-level voltage source inverter (2L-VSI)

Dynamics and its approximation



Delay depends on switching frequency $\frac{1}{T_{sw}}$ and implementation of PWM or SVM:

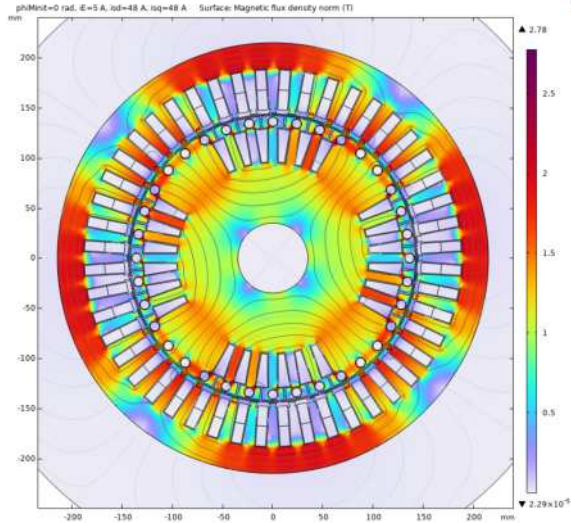
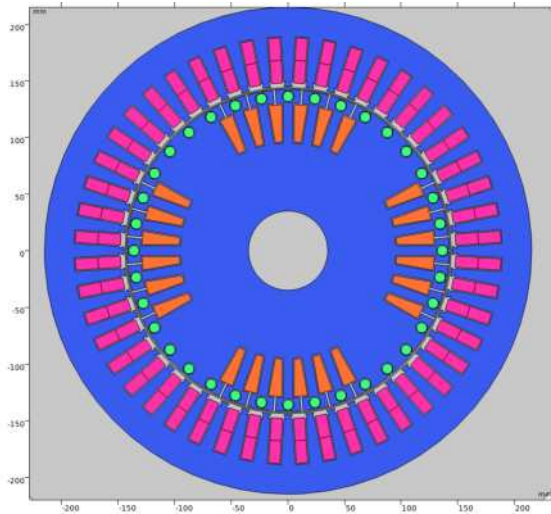
$$T_{delay} \propto T_{sw} \quad \Rightarrow \quad F_{vsi}(s) := \frac{\bar{\mathbf{u}}_{vsi}^{abc}(s)}{\mathbf{u}_{ref}^{abc}(s)} = e^{-sT_{delay}} \approx \frac{1}{1 + sT_{delay}}$$

4 Nonlinear modelling

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Nonlinear modelling: Electrical machine

Exemplary 2D-FEM design of Electrically-Excited Synchronous Machine (**EESM**) with damper windings



4 Nonlinear modelling

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Nonlinear modelling: Electrical machine

Key equations: Dependencies for each winding of an electric machine (holds for transformer or rotatory machine)

Kirchhoff's voltage equation:

- single-phase: $u = R i + \frac{d}{dt} \psi \iff \frac{d}{dt} \psi = u - R i$

- three-phase: $\mathbf{u}_z^{abc} = \mathbf{R}_z^{abc} \mathbf{i}_z^{abc} + \frac{d}{dt} \boldsymbol{\psi}_z^{abc} \iff \frac{d}{dt} \boldsymbol{\psi}_z^{abc} = \mathbf{u}_z^{abc} - \mathbf{R}_z^{abc} \mathbf{i}_z^{abc}, \quad z \in \{s, Fe, r, e, \dots\}$

where

- applied winding voltage u (arbitrary shape) or $\mathbf{u}_z^{abc} := (u_z^a, u_z^b, u_z^c)^\top \in \mathbb{R}^3$

- winding current i (arbitrary shape) or $\mathbf{i}_z^{abc} := (i_z^a, i_z^b, i_z^c)^\top \in \mathbb{R}^3$

- winding resistance $R := R(i, \phi, \omega, \vartheta, \dots)$ or $\mathbf{R}_z^{abc} := \mathbf{R}_z^{abc}(\mathbf{i}_z^{abc}, \phi, \omega, \boldsymbol{\vartheta}_z^{abc}, \dots) \in \mathbb{R}^{3 \times 3}$

- flux linkage $\psi := \psi(i, \phi, \omega, \vartheta, \dots)$ or $\boldsymbol{\psi}_z^{abc} := (\psi_z^a, \psi_z^b, \psi_z^c)^\top = \boldsymbol{\psi}_z^{abc}(\mathbf{i}_z^{abc}, \phi, \omega, \boldsymbol{\vartheta}_z^{abc}, \dots) \in \mathbb{R}^3$

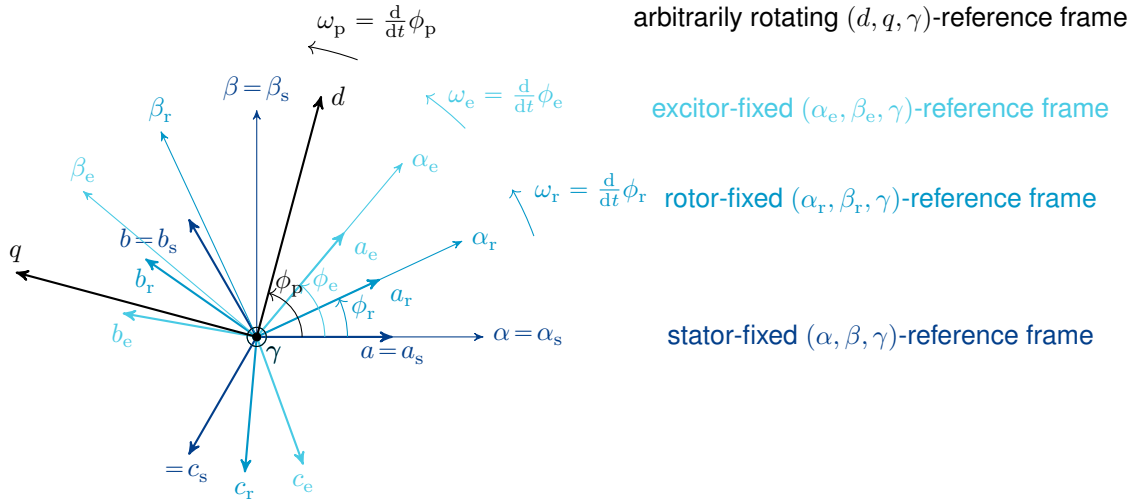
Remark: Resistance R and flux linkage ψ may depend on states $\mathbf{x} := (i, \phi, \omega, \vartheta, \dots)^\top \in \mathbb{R}^{N_x}$ such as e.g. current(s) i , angle ϕ , angular frequency ω and temperature(s) ϑ to cover iron losses, magnetic saturation & cross-coupling, anisotropy, harmonics, proximity & skin effect, slip rings or winding asymmetries, etc.

4 Nonlinear modelling

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Nonlinear modelling: Electrical machine

Reference frames (considering of γ -component might be crucial [165])

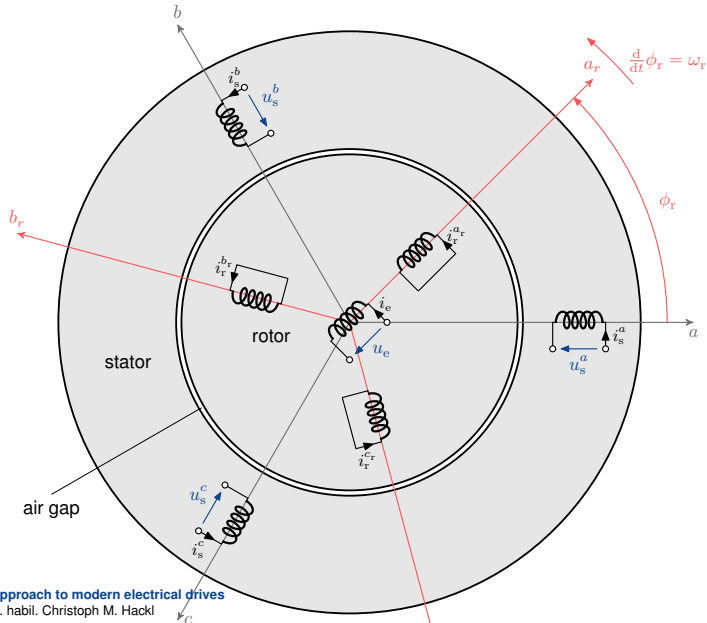


4 Nonlinear modelling

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Nonlinear modelling: Electrical machine

Generic machine dynamics: Three-phase machine model (cross-sectional view; without iron losses)



Nonlinear modelling: Electrical machine

Generic machine dynamics: Three-phase machine model in (a, b, c) -reference frame

$$\left. \begin{aligned}
 \text{Stator:} & \quad \frac{d}{dt} \psi_s^{a_s b_s c_s} = u_s^{a_s b_s c_s} - R_s^{a_s b_s c_s} i_s^{a_s b_s c_s}, \\
 \text{Stator iron:} & \quad \frac{d}{dt} \psi_{s,Fe}^{a_s b_s c_s} = - R_{s,Fe}^{a_s b_s c_s} i_s^{a_s b_s c_s}, \\
 \text{Rotor:} & \quad \frac{d}{dt} \psi_r^{a_r b_r c_r} = u_r^{a_r b_r c_r} - R_r^{a_r b_r c_r} i_r^{a_r b_r c_r}, \\
 \text{Rotor iron:} & \quad \frac{d}{dt} \psi_{r,Fe}^{a_r b_r c_r} = - R_{r,Fe}^{a_r b_r c_r} i_r^{a_r b_r c_r}, \\
 \text{Excitor:} & \quad \frac{d}{dt} \psi_e^{a_e b_e c_e} = u_e^{a_e b_e c_e} - R_e^{a_e b_e c_e} i_e^{a_e b_e c_e}, \\
 \text{Mechanical subsystem:} & \quad \frac{d}{dt} \omega_m = \frac{1}{\Theta_m} (m_m - \mathfrak{F} \omega_m + m_l) \\
 & \quad \frac{d}{dt} \phi_m = \omega_m
 \end{aligned} \right\} \quad (1)$$

with machine torque $m_m(i_s^{a_s b_s c_s}, i_{s,Fe}^{a_s b_s c_s}, i_r^{a_r b_r c_r}, i_{r,Fe}^{a_r b_r c_r}, i_e^{a_e b_e c_e}, \psi_{pm}^{a_r b_r c_r}, \phi_m, \dots)$.

Nonlinear modelling: Electrical machine

Generic machine dynamics: Three-phase machine model in (α, β, γ) -reference frame [after Clarke and (selected) Park transformations]

$$\left. \begin{aligned}
 \text{Stator:} \quad \frac{d}{dt} \psi_s^{\alpha\beta\gamma} &= \mathbf{u}_s^{\alpha\beta\gamma} - \mathbf{R}_s^{\alpha\beta\gamma} \mathbf{i}_s^{\alpha\beta\gamma}, \\
 \text{Stator iron:} \quad \frac{d}{dt} \psi_{s,Fe}^{\alpha\beta\gamma} &= -\mathbf{R}_{s,Fe}^{\alpha\beta\gamma} \mathbf{i}_{s,Fe}^{\alpha\beta\gamma}, \\
 \text{Rotor:} \quad \frac{d}{dt} \psi_r^{\alpha\beta\gamma} &= \mathbf{u}_r^{\alpha\beta\gamma} - \mathbf{R}_r^{\alpha\beta\gamma} \mathbf{i}_r^{\alpha\beta\gamma} + \omega_r \mathbf{J}_0 \psi_r^{\alpha\beta\gamma}, \\
 \text{Rotor iron:} \quad \frac{d}{dt} \psi_{r,Fe}^{\alpha\beta\gamma} &= -\mathbf{R}_{r,Fe}^{\alpha\beta\gamma} \mathbf{i}_{r,Fe}^{\alpha\beta\gamma} + \omega_r \mathbf{J}_0 \psi_{r,Fe}^{\alpha\beta\gamma}, \\
 \text{Excitor:} \quad \frac{d}{dt} \psi_e^{\alpha\beta\gamma} &= \mathbf{u}_e^{\alpha\beta\gamma} - \mathbf{R}_e^{\alpha\beta\gamma} \mathbf{i}_e^{\alpha\beta\gamma} + \omega_r \mathbf{J}_0 \psi_e^{\alpha\beta\gamma}, \\
 \text{Mechanical subsystem:} \quad \frac{d}{dt} \omega_m &= \frac{1}{\Theta_m} (m_m - \mathfrak{T} \omega_m + m_l), \\
 &\quad \frac{d}{dt} \phi_m = \omega_m
 \end{aligned} \right\} \quad (2)$$

with machine torque $m_m(\mathbf{i}_s^{\alpha\beta\gamma}, \mathbf{i}_{s,Fe}^{\alpha\beta\gamma}, \mathbf{i}_r^{\alpha\beta\gamma}, \mathbf{i}_{r,Fe}^{\alpha\beta\gamma}, \mathbf{i}_e^{\alpha\beta\gamma}, \psi_{pm}^{\alpha\beta\gamma}, \phi_m, \dots)$ and rotation matrix (by $\pi/2$)

$$\mathbf{J}_0 := \begin{bmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

Remark: Please note that consideration of γ -component might be crucial to allow for e.g. currents including third-order harmonics as possibly present in delta-connected or grounded star-point configurations [165].

Nonlinear modelling: Electrical machine

Generic machine dynamics: Three-phase machine model in (d, q, γ) -reference frame [after Clarke and (arbitrary) Park transformation]

$$\left. \begin{array}{lcl}
 \text{Stator:} & \frac{d}{dt} \psi_s^{dq\gamma} & = \mathbf{u}_s^{dq\gamma} - \mathbf{R}_s^{dq\gamma} \mathbf{i}_s^{dq\gamma} - \omega_p \mathbf{J}_0 \psi_s^{dq\gamma}, \\
 \text{Stator iron:} & \frac{d}{dt} \psi_{s,Fe}^{dq\gamma} & = -\mathbf{R}_{s,Fe}^{dq\gamma} \mathbf{i}_{s,Fe}^{dq\gamma} - \omega_p \mathbf{J}_0 \psi_{s,Fe}^{dq\gamma}, \\
 \text{Rotor:} & \frac{d}{dt} \psi_r^{dq\gamma} & = \mathbf{u}_r^{dq\gamma} - \mathbf{R}_r^{dq\gamma} \mathbf{i}_r^{dq\gamma} - (\omega_p - \omega_r) \mathbf{J}_0 \psi_r^{dq\gamma}, \\
 \text{Rotor iron:} & \frac{d}{dt} \psi_{r,Fe}^{dq\gamma} & = -\mathbf{R}_{r,Fe}^{dq\gamma} \mathbf{i}_{r,Fe}^{dq\gamma} - (\omega_p - \omega_r) \mathbf{J}_0 \psi_{r,Fe}^{dq\gamma}, \\
 \text{Excitor:} & \frac{d}{dt} \psi_e^{dq\gamma} & = \mathbf{u}_e^{dq\gamma} - \mathbf{R}_e^{dq\gamma} \mathbf{i}_e^{dq\gamma} - (\omega_p - \omega_e) \mathbf{J}_0 \psi_e^{dq\gamma}, \\
 \text{Mechanical subsystem:} & \frac{d}{dt} \omega_m & = \frac{1}{\Theta_m} (m_m - \mathfrak{F} \omega_m + m_l) \\
 & \frac{d}{dt} \phi_m & = \omega_m
 \end{array} \right\} \quad (3)$$

with machine torque $m_m(\mathbf{i}_s^{dq\gamma}, \mathbf{i}_{s,Fe}^{dq\gamma}, \mathbf{i}_r^{dq\gamma}, \mathbf{i}_{r,Fe}^{dq\gamma}, \mathbf{i}_e^{dq\gamma}, \psi_{pm}^{dq\gamma}, \phi_m, \dots)$ and rotation matrix (by $\pi/2$)

$$\mathbf{J}_0 := \begin{bmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

Remark: Please note that consideration of γ -component might be crucial to allow for e.g. currents including third-order harmonics as possibly present in delta-connected or grounded star-point configurations [165].

4 Nonlinear modelling

- Motivation
- Two-level voltage source inverter (2L-VSI)
- Electrical machine
 - Key equations
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Equivalent circuit of generic three-phase machine model in (d, q, γ) -reference frame



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- 1 Introduction
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5 Dynamic simulation

- Electrical machine dynamics
- Block diagrams
- Required system knowledge
- Exemplary implementation results

Dynamic simulation: Electrical machine dynamics

Possible implementations of electrical dynamics in (d, q, γ) -reference frame [for simplicity: only considering stator currents]

- Flux linkage dynamics with **inverse interpolation** $\mathbf{i}_s^{dq\gamma} = \mathbf{f}_\psi^{-1}(\boldsymbol{\psi}_s^{dq\gamma}, \dots)$

$$\frac{d}{dt} \boldsymbol{\psi}_s^{dq\gamma} = \mathbf{u}_s^{dq\gamma} - \mathbf{R}_s^{dq\gamma} \mathbf{i}_s^{dq\gamma} - \omega_p \mathbf{J}_0 \boldsymbol{\psi}_s^{dq\gamma} \quad (4)$$

- Current dynamics with **differential inductances** and **flux linkages**

$$\frac{d}{dt} \mathbf{i}_s^{dq\gamma} = (\mathbf{L}_s^{dq\gamma})^{-1} \left(\mathbf{u}_s^{dq\gamma} - \mathbf{R}_s^{dq\gamma} \mathbf{i}_s^{dq\gamma} - \omega_p \mathbf{J}_0 \boldsymbol{\psi}_s^{dq\gamma} + \underbrace{\frac{\partial \boldsymbol{\psi}_s^{dq\gamma}}{\partial \phi_m} \omega_m + \frac{\partial \boldsymbol{\psi}_s^{dq\gamma}}{\partial \vartheta} \frac{d}{dt} \vartheta + \dots}_{=: \boldsymbol{\delta}_s^{dq\gamma}} \right) \quad (5)$$

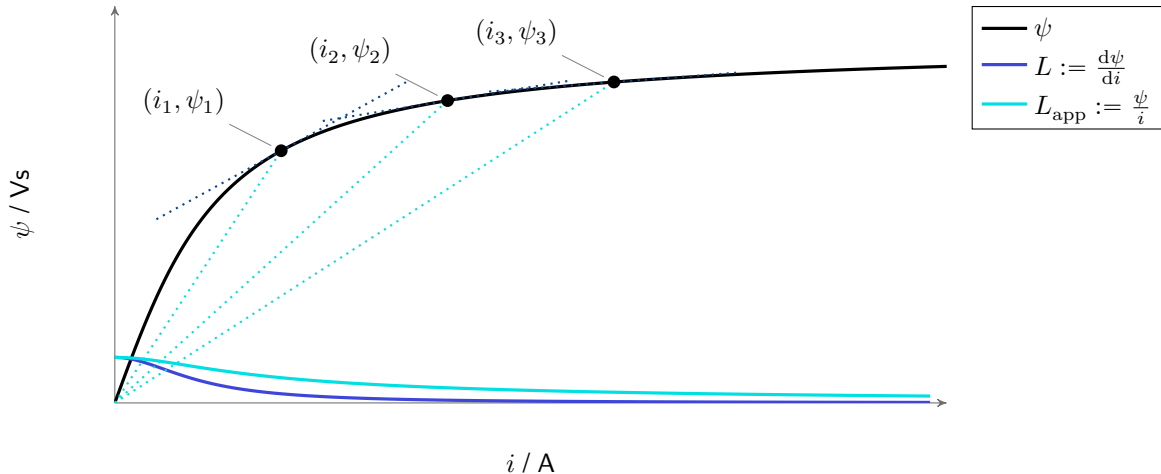
- Current dynamics with **differential** and **apparent inductances** and **permanent-magnet flux linkages**

$$\frac{d}{dt} \mathbf{i}_s^{dq\gamma} = (\mathbf{L}_s^{dq\gamma})^{-1} \left(\mathbf{u}_s^{dq\gamma} - \mathbf{R}_s^{dq\gamma} \mathbf{i}_s^{dq\gamma} - \omega_p \mathbf{J}_0 (\mathbf{L}_{s,\text{app}}^{dq\gamma} \mathbf{i}_s^{dq\gamma} + \boldsymbol{\psi}_{\text{pm}}^{dq\gamma}) + \boldsymbol{\delta}_s^{dq\gamma} \right) \quad (6)$$

Remark: Important to note that $\mathbf{L}_s^{dq\gamma} := \frac{d\boldsymbol{\psi}_s^{dq\gamma}}{d\mathbf{i}_s^{dq\gamma}} \neq \mathbf{L}_{s,\text{app}}^{dq\gamma} := \frac{\boldsymbol{\psi}_s^{dq\gamma}(\mathbf{i}_s^{dq\gamma}, \dots) - \boldsymbol{\psi}_s^{dq\gamma}(\mathbf{0}_3, \dots)}{\mathbf{i}_s^{dq\gamma}}$

Dynamic simulation: Electrical machine dynamics

Crucial distinction: Illustration of differential inductance L (tangent) and apparent inductance L_{app} (secant)

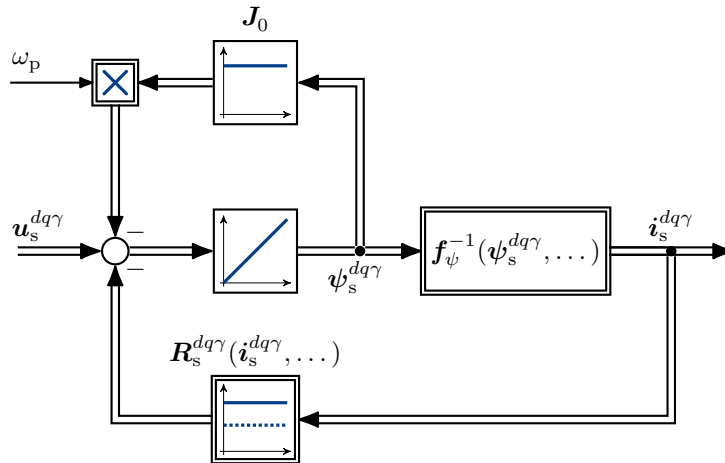


5 Dynamic simulation

- Electrical machine dynamics
- **Block diagrams**
- Required system knowledge
- Exemplary implementation results

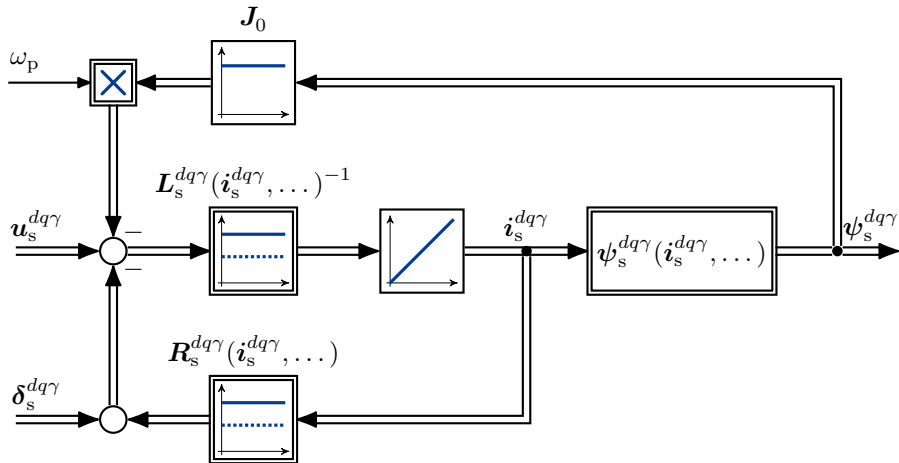
Dynamic simulation: Block diagrams

Flux Linkage Dynamics with Inverse Interpolation (FLD+II)



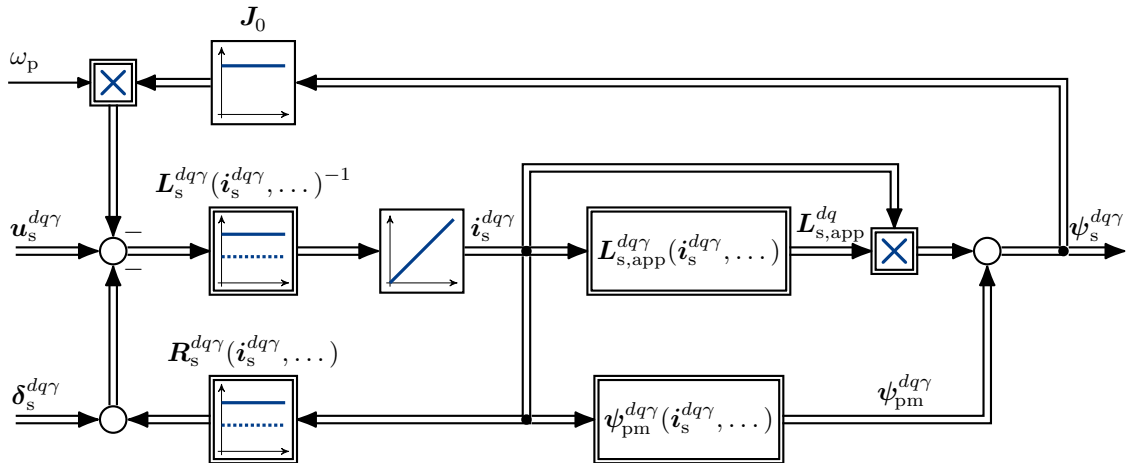
Dynamic simulation: Block diagrams

Current Dynamics with Differential Inductances and Flux Linkages (CD+DI&FL)



Dynamic simulation: Block diagrams

Current Dynamics with Differential/Apparent Inductances & Permanent-Magnet Flux Linkages (CD+DAI&PMFL)



5 Dynamic simulation

- Electrical machine dynamics
- Block diagrams
- **Required system knowledge**
- Exemplary implementation results

Dynamic simulation: Required system knowledge

Required system data and execution times for high-fidelity simulation of different dynamics implementations

	FLD+II	CD+DI&FL	CD+DAI&PMFL
$R_s^{dq(\gamma)}(x)$	3 (+0) $\times N_x$ -D-LUTs	3 (+0) $\times N_x$ -D-LUTs	3 (+0) $\times N_x$ -D-LUTs
$L_s^{dq(\gamma)}(x)$	–	3 (+3) $\times N_x$ -D-LUTs	3 (+3) $\times N_x$ -D-LUTs
$L_{s,app}^{dq(\gamma)}(x)$	–	–	2 (+1) $\times N_x$ -D-LUTs
$\psi_{pm}^{dq(\gamma)}(x)$	–	–	2 (+1) $\times N_x$ -D-LUTs
$\psi_s^{dq(\gamma)}$	2 (+1) $\times N_x$ -D-LUTs	2 (+1) $\times N_x$ -D-LUTs	–
$f_\psi(\cdot)^{-1}$	yes	no	no
t_{exec} (in p.u.)	1.00* / 1.07 [†] / 2.55 [‡]	1.55	1.83

Remark: The states $x := (i_x^{abc}, \phi_m, \phi_p, \omega_m, \omega_p, \vartheta_x^{abc}, \dots)^\top \in \mathbb{R}^{N_x}$ comprise currents, machine and/or transformation angles, machine and/or transformation angular frequencies and temperatures to allow for proper simulation/emulation of iron losses, magnetic saturation and cross-coupling, anisotropy, proximity and/or skin effect, slip rings or winding asymmetries, etc.; N_x represents the dimension of the considered states * : Bilinear Inversion (reference as fastest) [†] : pre-processed LUTs for inverse interpolation of flux

 **LMRES (HM) is working with several academic/industrial partners on fast, accurate and overall machine identification approaches in order to allow for even more sophisticated machine simulation and/or emulation.**

Dynamic simulation: Required system knowledge

Examples of identified stator/permanent-magnet flux linkages, differential/apparent inductances and back-emf harmonics

	$\psi_s^{dq}(i_s^{dq})$	$L_s^{dq}(i_s^{dq})$	$\psi_{pm}^{dq}(i_s^{dq})$	$L_{s,app}^{dq}(i_s^{dq})$	$\delta_s^{dq\gamma} = \frac{\partial \psi_s^{dq\gamma}}{\partial \phi_m} \omega_m$
d					
q					
dq/qd	—		—	—	

Remark: For simplicity, only the dependencies on $i_s^{dq} := (i_s^d, i_s^q)^\top$ are shown, i.e. $x := (i_s^d, i_s^q)^\top$ with $N_x = 2$.

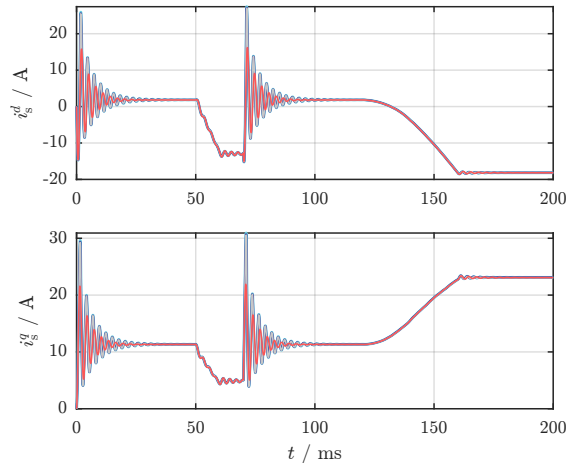
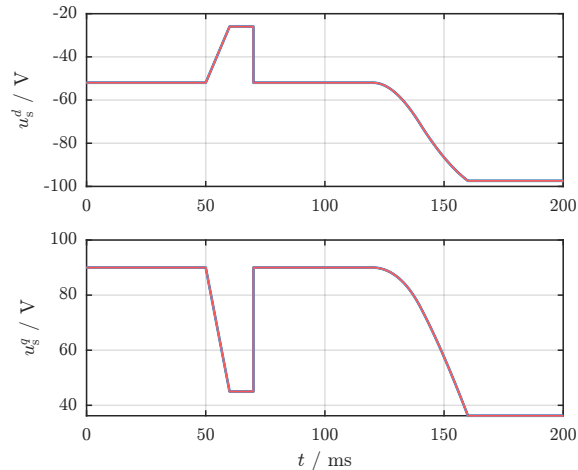
5 Dynamic simulation

- Electrical machine dynamics
- Block diagrams
- Required system knowledge
- Exemplary implementation results

Dynamic simulation: Exemplary implementation results

Open-loop current responses for diff. dynamics implementations (3.8 kW IPMSM @ $\omega_{m,R} = 576 \frac{\text{rad}}{\text{s}}$):

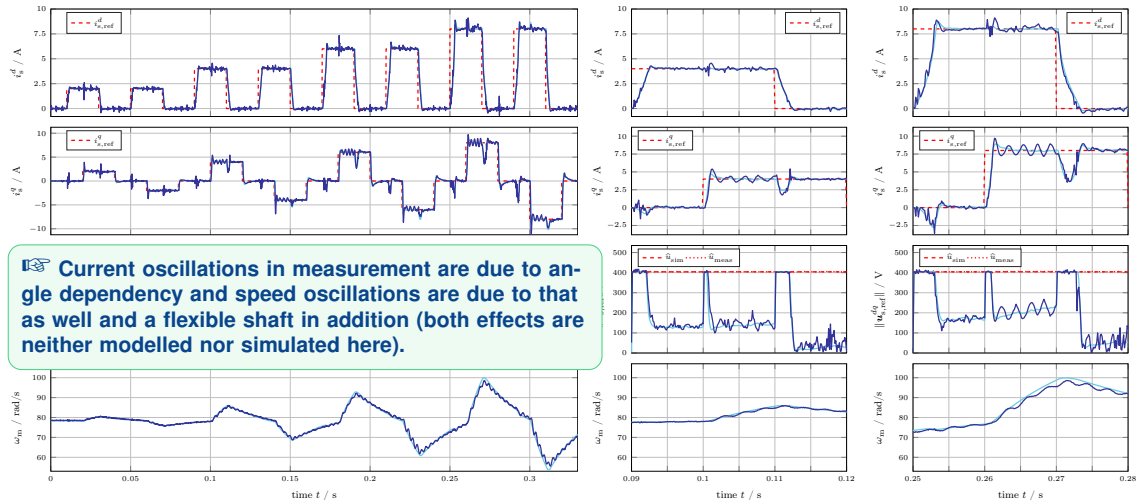
[—] FLD+II (bil. Inv.), [—] CD+DI&FL, [—] CD+DAI&FL, [—] CD+DAI&FL ($\mathbf{L}_s^{dq} = \mathbf{L}_{s,\text{app}}^{dq}$; wrong implement.!).



Dynamic simulation: Exemplary implementation results

Comparison of closed-loop nonlinear electrical drive system (4.0 kW RSM @ $\omega_m \approx 80 \frac{\text{rad}}{\text{s}}$):

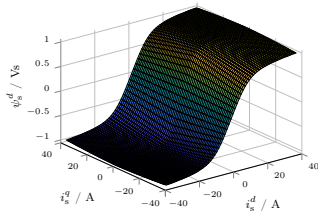
[—] simulation (CD+DI&FL), [—] measurement



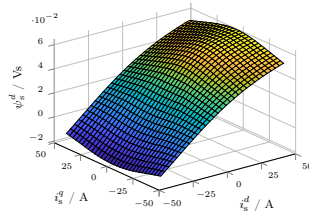
Dynamic simulation: Exemplary implementation results

Flux Linkage Prototype Functions (FLPFs) [25] – Analytic functions for flux linkage representation

$\psi_s^{d/q}$ of typ. RSM

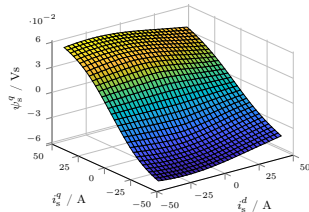
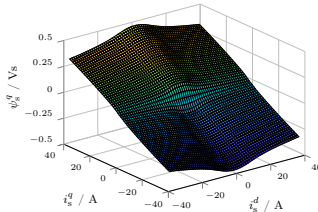


$\psi_s^{d/q}$ of typ. IPMSM



General FLPF idea

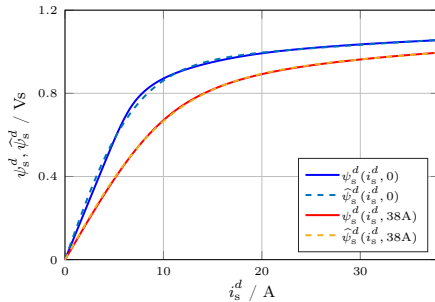
$$\hat{\psi}_s^d(i_s^d, i_s^q) = \hat{\psi}_{s,\text{self}}^d(i_s^d) - \hat{\psi}_{s,\text{cross}}^d(i_s^d, i_s^q)$$



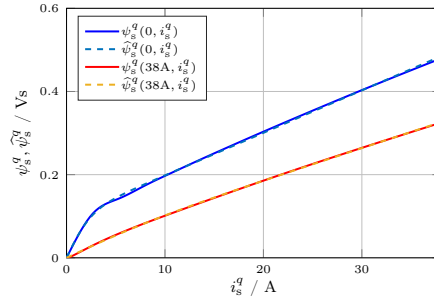
$$\hat{\psi}_s^q(i_s^d, i_s^q) = \hat{\psi}_{s,\text{self}}^q(i_s^q) - \hat{\psi}_{s,\text{cross}}^q(i_s^d, i_s^q)$$

Dynamic simulation: Exemplary implementation results

Flux Linkage Prototype Functions (FLPFs) [25] – Observation for self-axis effects (9.6 kW RSM)



(a) d -axis flux linkage ψ_s^d v.s. d -axis current i_s^d

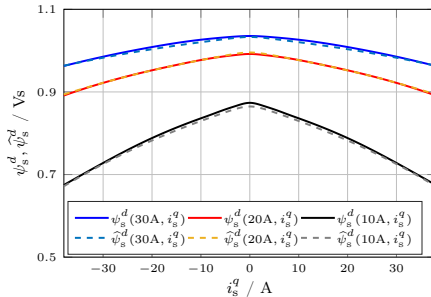


(b) q -axis flux linkage ψ_s^q v.s. q -axis current i_s^q

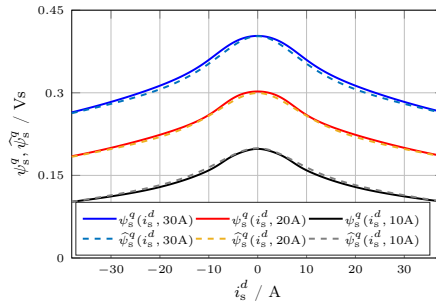
$$\text{e.g., } \hat{\psi}_{s,\text{self}}^{d,q}(i_s^d) \propto \tanh(i_s^d/q) + i_s^d/q$$

Dynamic simulation: Exemplary implementation results

Flux Linkage Prototype Functions (FLPFs) [25] – Observation for cross-coupling effects (9.6 kW RSM)



(a) d -axis flux linkage ψ_s^d v.s. q -axis current i_s^q

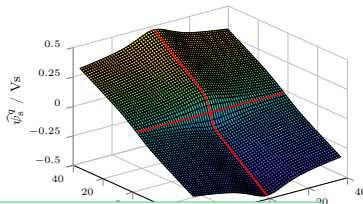
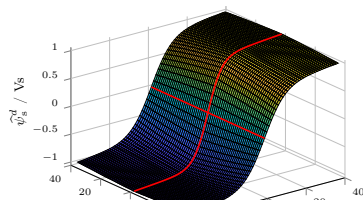


(b) q -axis flux linkage ψ_s^q v.s. d -axis current i_s^d

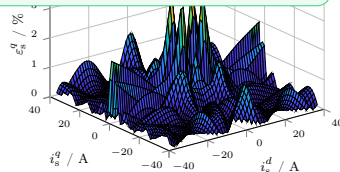
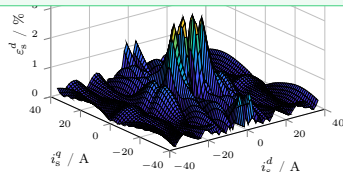
e.g., $\hat{\psi}_{s,\text{cross}}^{d/q} \propto -\ln(\cosh(i_s^{d/q}))$ or $\hat{\psi}_{s,\text{cross}}^{d/q} \propto \exp(-(i_s^{d/q})^2)$

Dynamic simulation: Exemplary implementation results

Flux Linkage Prototype Functions (FLPFs) [25] – Estimation accuracy & properties (9.6 kW RSM)



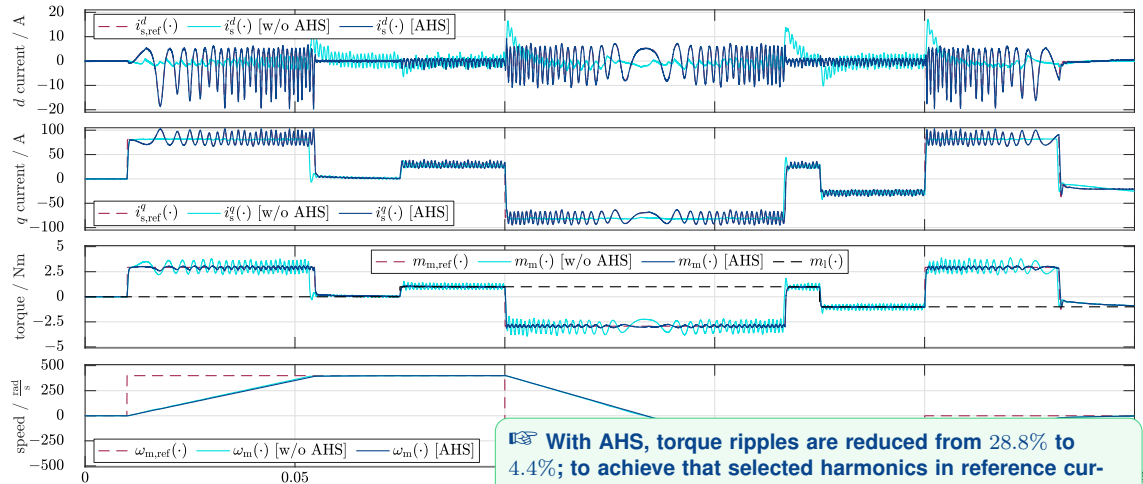
Flux linkage prototype functions (FLPFs) can also be used for self-commissioning [166] or in the framework of Artificial Neural Networks (ANNs) to identify inverter and machine simultaneously [138].



- **Flux linkages** approximated by FLPFs $\hat{\psi}_s^d(i_s^d, i_s^q)$ & $\hat{\psi}_s^q(i_s^d, i_s^q)$ with high accuracy (usually $\geq 95\%$)
- **Energy conservation** assured, i.e.
$$\frac{\partial \hat{\psi}_s^d(i_s^d, i_s^q)}{\partial i_s^q} = \frac{\partial \hat{\psi}_s^q(i_s^d, i_s^q)}{\partial i_s^d} \text{ (reciprocity)}$$
- **Few parameters** to be fitted (only 10 ~ 20 parameters needed)
- **Differentiability** allows to derive differential inductances analytically
- **Inter-/extrapolation capability** due to analytical function evaluation (no numerical inaccuracies or oscillations)
- **Extensibility** ensures applicability to different SMs (e.g., RSMs, IPMSMs or even EESMs)

Dynamic simulation: Exemplary implementation results

Active Harmonics Suppression (**AHS**) in 2.6 kW BLDC machine (compensating for $\delta_s^{dq\gamma} = \frac{\partial \psi_s^{dq\gamma}}{\partial \phi_m} \omega_m$)



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- 3 Preliminaries
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- 7 Optimal operation
- 8 Conclusion

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- Principle idea of I/O linearization
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- Controller tuning via pole placement
- Vector-valued PI controller with anti-windup (conditional integration)

■ Inverter nonlinearity compensation

- Problem statement
- Nonlinear voltage drops
- Average model
- Dead-time effects
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■ Optimal reference voltage saturation

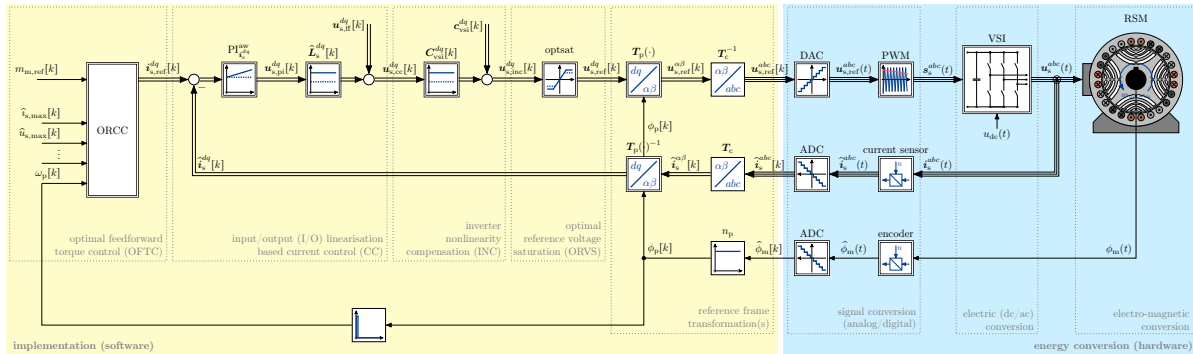
- Problem statement
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- PI controller design with anti-windup (recap)

A generic approach to modern electrical drives

Prof. Dr.-Ing. habil. Christoph M. Hackl

High-performance control

Overview



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A generic approach to modern electrical drives

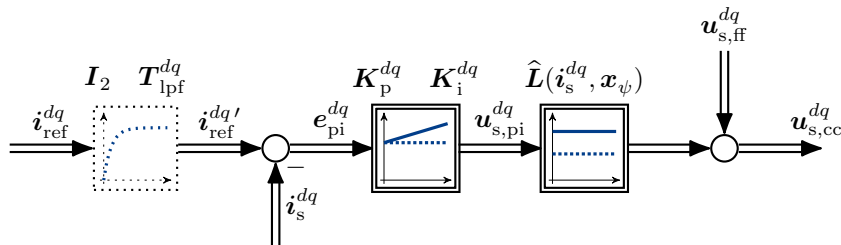
Prof. Dr.-Ing. habil. Christoph M. Hackl

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High-performance control: Nonlinear current control

Controller structure of input/output (I/O) linearization based nonlinear current control system



Nonlinear (vector-valued) PI controller

$$\begin{aligned}
 \mathbf{u}_{s,cc}^{dq} &= \underbrace{\mathbf{K}_p^{dq} \mathbf{e}_{pi}^{dq} + \mathbf{K}_i^{dq} \mathbf{x}_i^{dq}}_{=: \mathbf{u}_{s,pi}^{dq}} + \underbrace{\hat{\mathbf{R}}_s^{dq} \mathbf{i}_s^{dq} + \omega_p \mathbf{J} \hat{\boldsymbol{\psi}}_s^{dq} + \hat{\boldsymbol{\delta}}_s^{dq}}_{=: \mathbf{u}_{s,ff}^{dq}}, \\
 \frac{d}{dt} \mathbf{x}_i^{dq} &= f_{aw}(\hat{u}_{s,max}, \mathbf{u}_{s,ref}^{dq}) \mathbf{e}_{pi}^{dq}
 \end{aligned}$$

with feedforward term $\mathbf{u}_{s,ff}^{dq}$ and integral state $\mathbf{x}_i^{dq}$, gain matrices $\mathbf{K}_p^{dq} := \text{diag}(k_p^d, k_p^q)$ and $\mathbf{K}_i^{dq} := \text{diag}(k_i^d, k_i^q)$ and anti-windup (“conditional integration”) with

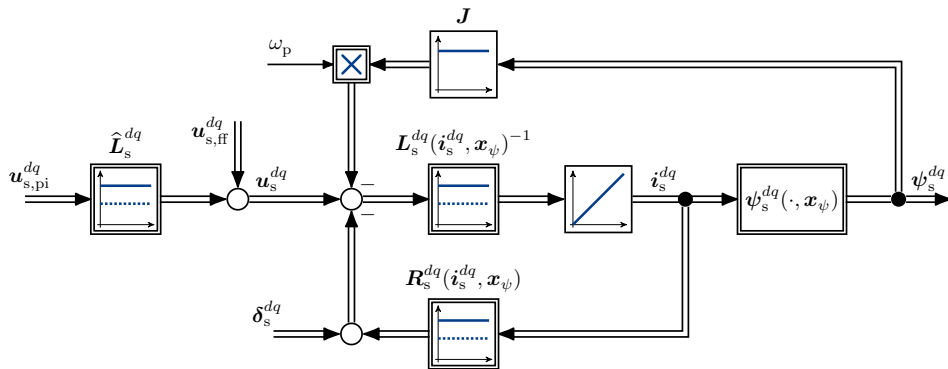
$$f_{aw}(\hat{u}_{s,max}, \mathbf{u}_{s,ref}^{dq}) = \begin{cases} 0, & \text{if } \|\mathbf{u}_{s,ref}^{dq}\| > \hat{u}_{s,max}, \\ 1, & \text{else.} \end{cases}$$

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High-performance control: Nonlinear current control

Principle idea of I/O linearization (ideal conditions, i.e., $\mathbf{u}_{s,cc}^{dq} = \mathbf{u}_s^{dq}$, $\hat{\mathbf{R}}_s^{dq} = \mathbf{R}_s^{dq}$, $\hat{\mathbf{L}}_s^{dq} = \mathbf{L}_s^{dq}$ and $\hat{\delta}_s^{dq} = \delta_s^{dq}$)



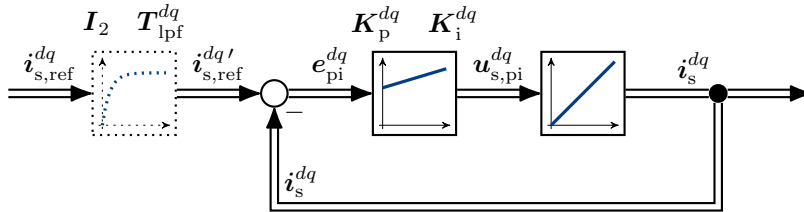
$$\frac{d}{dt} \mathbf{i}_s^{dq} = (\mathbf{L}_s^{dq})^{-1} \left[\underbrace{\widehat{\mathbf{L}}_s^{dq} \mathbf{u}_{s,\text{pi}}^{dq} + \mathbf{R}_s^{dq} \mathbf{i}_s^{dq} + \omega_p \mathbf{J} \psi_s^{dq} + \boldsymbol{\delta}_s^{dq}}_{\mathbf{u}_{s,\text{cc}}^{dq} \approx \mathbf{u}_s^{dq}} - \mathbf{R}_s^{dq} \mathbf{i}_s^{dq} - \omega_p \mathbf{J} \psi_s^{dq} - \boldsymbol{\delta}_s^{dq} \right] \stackrel{=: \mathbf{u}_{s,\text{ff}}^{dq}}{=} \mathbf{u}_{s,\text{pi}}^{dq}$$

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High-performance control: Nonlinear current control

Closed-loop system with reference current filter (after ideal I/O-linearization and without anti-windup)



Reference current filter (optional; set $\mathbf{T}_{\text{lpf}}^{dq} = \text{diag}(T_{\text{lpf}}^d, T_{\text{lpf}}^q) = \mathbf{O}_{2 \times 2}$ if not used)

$$F_{\text{lpf}}(s) = \frac{i_{s,\text{ref}}^{d/q'}}{i_{s,\text{ref}}^{d/q}} = \frac{1}{1 + s T_{\text{lpf}}^{d/q}} \quad \text{with} \quad T_{\text{lpf}}^{d/q} := \frac{k_p^{d/q}}{k_i^{d/q}}$$

Current closed-loop system (neglecting anti-windup)

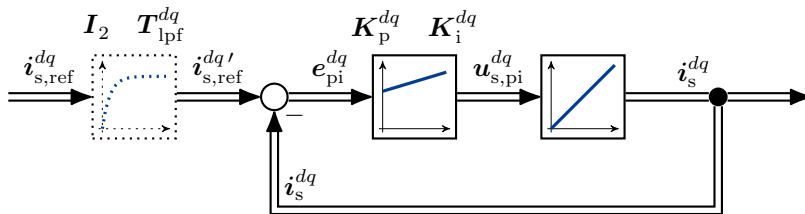
$$F_{\text{cl}}(s) = \frac{i_s^{d/q}}{i_{s,\text{ref}}^{d/q}} = \frac{k_p^{d/q} s + k_q^{d/q}}{s^2 + k_p^{d/q} s + k_q^{d/q}} = \frac{1 + \frac{k_p^{d/q}}{k_i^{d/q}} s}{1 + \frac{k_p^{d/q}}{k_i^{d/q}} s + \frac{1}{k_i^{d/q}} s^2}$$

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High-performance control: Nonlinear current control

Controller tuning via pole placement



Pole placement by comparing coefficients (separately for d & q possible!)

$$\chi_{ref}^{d/q}(s) := s^2 + 2D^{d/q}\omega_0^{d/q}s + 1 \stackrel{!}{=} s^2 + k_p^{d/q}s + k_q^{d/q} =: \chi_{cl}^{d/q}(s)$$

Obtained controller parameters (for given bandwidth $\omega_0^{d/q}$ and damping D^{dq})

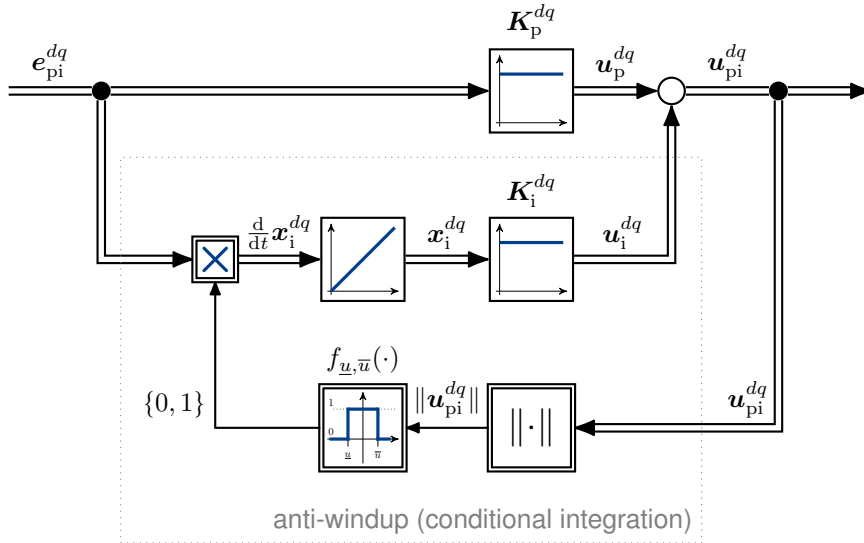
$$\implies k_p^{d/q} = 2D^{d/q}\omega_0^{d/q} \quad \text{and} \quad k_i^{d/q} = (\omega_0^{d/q})^2$$

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High-performance control: Nonlinear current control

Vector-valued PI controller with anti-windup (conditional integration)



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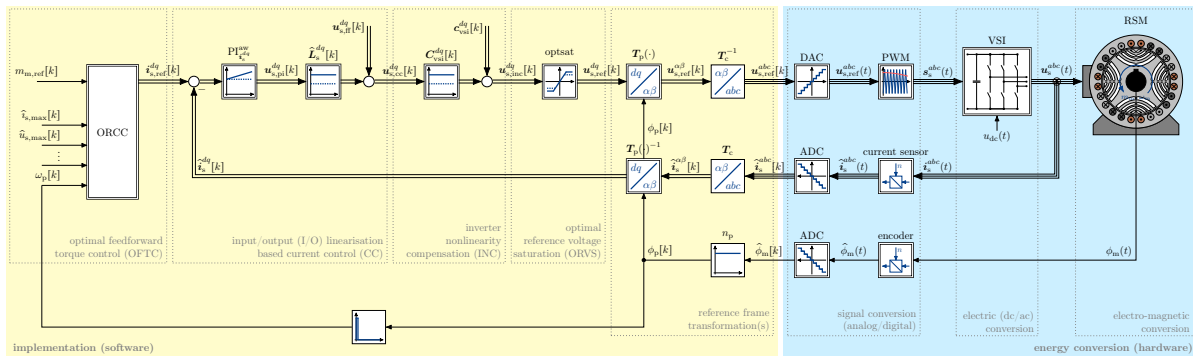
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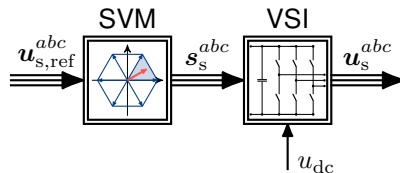
High-performance control

Inverter nonlinearity compensation: Overview



High-performance control: Inverter nonlinearity compensation

Problem statement: Drawbacks of voltage source inverters



Any voltage source inverter (VSI) suffers from *four* inevitable drawbacks (D_i):

- (D₁) voltage deterioration due to nonlinear current-dependent voltage drops over semiconductors [167],
- (D₂) voltage ripples due to the switching behavior of the power electronic devices [168],
- (D₃) delayed voltage response due to modulation [169], and
- (D₄) output voltage constraints due to a limited dc-link voltage.

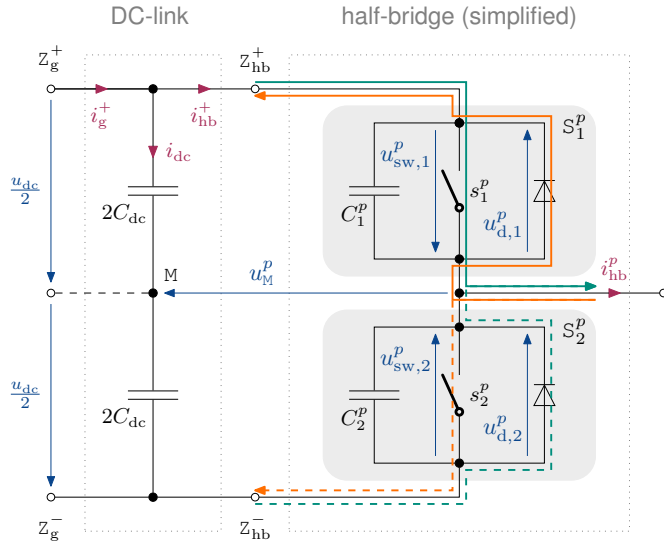
⇒ Here we will discuss and solve the first and third problem! For each drawback, several improvements or compensation strategies exist. This subsection focuses on the first and third drawback and its impact, in particular, on the output voltage by the inverter nonlinearity which leads to a deteriorates from the desired VSI voltage. The second drawback can be tackled by optimal pulse pattern and/or increased switching frequency [117, 128, 129].

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High-performance control: Inverter nonlinearity compensation

Nonlinear voltage drops in a half-bridge



Mid-point voltage varies with switching state and current direction (this holds for any phase $p \in \{a, b, c\}$)

$$u_M^p = \begin{cases} \frac{u_{dc}}{2} - u_{sw,1}^p & , s_1^p = 1 \wedge i_{hb}^p \geq 0 \\ \frac{u_{dc}}{2} + u_{d,1}^p & , s_1^p = 1 \wedge i_{hb}^p < 0 \\ -\frac{u_{dc}}{2} - u_{d,2}^p & , s_1^p = 0 \wedge i_{hb}^p \geq 0 \\ -\frac{u_{dc}}{2} + u_{sw,2}^p & , s_1^p = 0 \wedge i_{hb}^p < 0 \end{cases}$$

Remark: Important to note that voltage drops $u_{sw,1/2}^p$ and $u_{d,1/2}^p$ over power switch and power diodes, respectively, usually depend on currents and temperatures. Moreover, they may be different for each upper and/or lower branch (index 1 & 2) and each phase $p \in \{a, b, c\}$.

High-performance control: Inverter nonlinearity compensation

Nonlinear voltage drops in a three-phase VSI

Assuming $u_{\text{sw}}^p := u_{\text{sw},1}^p = u_{\text{sw},2}^p$ and $u_{\text{d}}^p := u_{\text{d},1}^p = u_{\text{d},2}^p$, one obtains (for all $p \in \{a, b, c\}$)

$$u_{\text{M}}^p = (u_{\text{dc}} - u_{\text{sw}}^p + u_{\text{d}}^p) \left(s_1^p - \frac{1}{2} \right) - \frac{1}{2} \operatorname{sgn}(i_{\text{hb}}^p) (u_{\text{sw}}^p + u_{\text{d}}^p),$$

where

$$\operatorname{sgn}(x) := \begin{cases} 1 & , \text{ if } x \geq 1 \\ -1 & , \text{ if } x < 1. \end{cases}$$

For a 2L-VSI, we have three phases $p \in \{a, b, c\}$, switching vector $\mathbf{s}_{\text{vsi}}^{abc} = (s_1^a, s_1^b, s_1^c)^\top$ and current vector $\mathbf{i}_{\text{vsi}}^{abc} = (i_{\text{hb}}^a, i_{\text{hb}}^b, i_{\text{hb}}^c)^\top$, which yields the mid-point voltage vector

$$\mathbf{u}_{\text{M}}^{abc} = \operatorname{diag} [u_{\text{dc}} \mathbf{1}_3 - \mathbf{u}_{\text{sw}}^{abc} + \mathbf{u}_{\text{d}}^{abc}] \left(\mathbf{s}_{\text{vsi}}^{abc} - \frac{1}{2} \mathbf{1}_3 \right) - \frac{1}{2} \operatorname{diag} [\operatorname{sgn}(\mathbf{i}_{\text{vsi}}^{abc})] (\mathbf{u}_{\text{sw}}^{abc} + \mathbf{u}_{\text{d}}^{abc}).$$

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High-performance control: Inverter nonlinearity compensation

Average model

Assuming **constant voltages** $\mathbf{u}_{\text{sw}}^{abc}$, $\mathbf{u}_{\text{d}}^{abc}$ and u_{dc} and **identical signs** $\text{sgn}(\mathbf{i}_{\text{vsi}}^{abc})$ **of the currents** over one switching period $T_{\text{sw}} = \frac{1}{f_{\text{sw}}}$, the **average mid-point voltages** at sampling instant k (i.e., $t = kT_{\text{sw}}$) are given by

$$\begin{aligned}\bar{\mathbf{u}}_{\text{M}}^{abc}[k] &:= \frac{1}{T_{\text{sw}}} \int_{(k-1)T_{\text{sw}}}^{kT_{\text{sw}}} \mathbf{u}_{\text{M}}^{abc}(\tau) \, \mathrm{d}\tau \\ &= \text{diag} \left[u_{\text{dc}}[k] \mathbf{1}_3 - \mathbf{u}_{\text{sw}}^{abc}[k] + \mathbf{u}_{\text{d}}^{abc}[k] \right] (\mathbf{d}_{\text{vsi}}^{abc}[k] - \tfrac{1}{2} \mathbf{1}_3) \\ &\quad - \tfrac{1}{2} \text{diag} \left[\text{sgn}(\mathbf{i}_{\text{vsi}}^{abc}[k]) \right] (\mathbf{u}_{\text{sw}}^{abc}[k] + \mathbf{u}_{\text{d}}^{abc}[k])\end{aligned}\tag{7}$$

with the duty cycle vector

$$\mathbf{d}_{\text{vsi}}^{abc}[k] := \frac{1}{T_{\text{sw}}} \int_{(k-1)T_{\text{sw}}}^{kT_{\text{sw}}} \mathbf{s}_{\text{vsi}}^{abc}(\tau) \, \mathrm{d}\tau = \frac{\mathbf{t}_{\text{on}}^{abc}[k]}{T_{\text{sw}}},\tag{8}$$

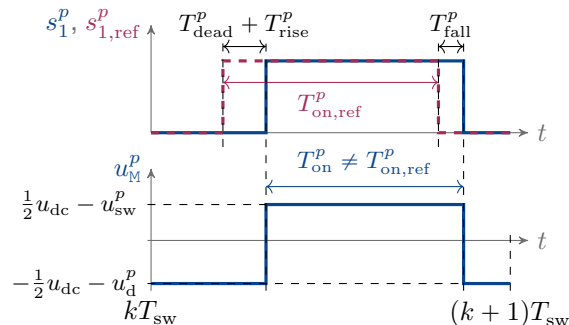
which depends on the on-time vector $\mathbf{t}_{\text{on}}^{abc}[k] := (T_{\text{on}}^a[k], T_{\text{on}}^b[k], T_{\text{on}}^c[k])^\top$ (e.g., as consequence of modulation).

6 High-performance control

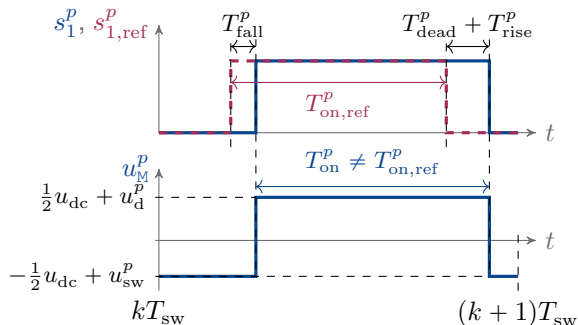
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High-performance control: Inverter nonlinearity compensation

Dead-time effects in a half-bridge



positive current (i.e., $i_{hb}^p \geq 0$)



negative current (i.e., $i_{hb}^p < 0$)

Hence, for each half-bridge / each phase $p \in \{a, b, c\}$, one obtains

$$T_{on}^p = T_{on,ref}^p - \left(T_{dead}^p + T_{rise}^p - T_{fall}^p \right) \text{sgn}(i_{hb}^p).$$

High-performance control: Inverter nonlinearity compensation

Dead-time effects in a three-phase 2L-VSI

For $\mathbf{i}_{\text{vsi}}^{abc} := (i_{\text{hb}}^a, i_{\text{hb}}^b, i_{\text{hb}}^c)^\top$, $\mathbf{t}_{\text{on}}^{abc} := (T_{\text{on}}^a, T_{\text{on}}^b, T_{\text{on}}^c)^\top$, $\mathbf{t}_{\text{dead}}^{abc} := (T_{\text{dead}}^a, T_{\text{dead}}^b, T_{\text{dead}}^c)^\top$,
 $\mathbf{t}_{\text{rise}}^{abc} := (T_{\text{rise}}^a, T_{\text{rise}}^b, T_{\text{rise}}^c)^\top$ and $\mathbf{t}_{\text{fall}}^{abc} := (T_{\text{fall}}^a, T_{\text{fall}}^b, T_{\text{fall}}^c)^\top$, the three-phase 2L-VSI result is

$$\mathbf{t}_{\text{on}}^{abc} = \mathbf{t}_{\text{on,ref}}^{abc} - \text{diag} \left[\text{sgn}(\mathbf{i}_{\text{vsi}}^{abc}) \right] \left(\mathbf{t}_{\text{dead}}^{abc} + \mathbf{t}_{\text{rise}}^{abc} - \mathbf{t}_{\text{fall}}^{abc} \right). \quad (9)$$

Inserting $\mathbf{d}_{\text{vsi}}^{abc}[k] = \frac{\mathbf{t}_{\text{on}}^{abc}[k]}{T_{\text{sw}}}$ (i.e., (8)) with (9) into (7) and recalling that

$$\bar{\mathbf{u}}_{\text{M,ref}}^{abc}[k] = \left(\frac{\mathbf{t}_{\text{on,ref}}^{abc}[k]}{T_{\text{sw}}} - \frac{1}{2} \mathbf{1}_3 \right) u_{\text{dc}}[k] \iff \frac{\mathbf{t}_{\text{on,ref}}^{abc}[k]}{T_{\text{sw}}} = \frac{\bar{\mathbf{u}}_{\text{M,ref}}^{abc}[k]}{u_{\text{dc}}[k]} + \frac{1}{2} \mathbf{1}_3$$

yields (details omitted and argument $[k]$ neglected)

$$\begin{aligned} \bar{\mathbf{u}}_{\text{M}}^{abc} &\stackrel{(7),(8),(9)}{=} \underbrace{\text{diag} \left[\mathbf{1}_3 - \frac{1}{u_{\text{dc}}} (\mathbf{u}_{\text{sw}}^{abc} - \mathbf{u}_{\text{d}}^{abc}) \right]}_{=:\mathbf{C}_{\text{vsi}}^{abc,-1}} \bar{\mathbf{u}}_{\text{M,ref}}^{abc} \\ &\quad - \underbrace{\text{diag} \left[\text{sgn}(\mathbf{i}_{\text{vsi}}^{abc}) \right] \left(\text{diag} \left[u_{\text{dc}} \mathbf{1}_3 - \mathbf{u}_{\text{sw}}^{abc} + \mathbf{u}_{\text{d}}^{abc} \right] \frac{\mathbf{t}_{\text{dead}}^{abc} + \mathbf{t}_{\text{rise}}^{abc} - \mathbf{t}_{\text{fall}}^{abc}}{T_{\text{sw}}} + \frac{1}{2} (\mathbf{u}_{\text{sw}}^{abc} + \mathbf{u}_{\text{d}}^{abc}) \right)}_{=:\mathbf{c}_{\text{vsi}}^{abc}} \end{aligned}$$

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Transformation to (d, q) -reference frame (# 1)

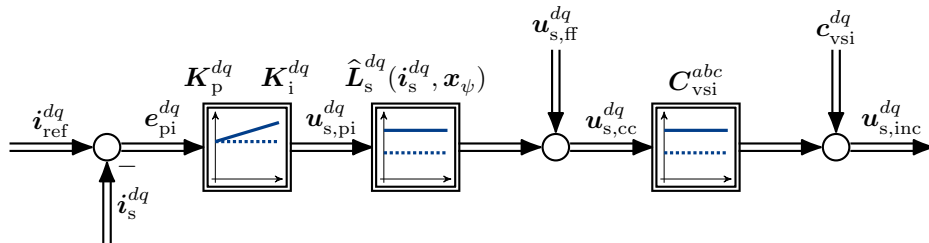


which implies

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High-performance control: Inverter nonlinearity compensation

Transformation to (d, q) -reference frame (# 2)



$$\begin{aligned}
 u_{\text{s,cc}}^{dq} &\stackrel{!}{=} u_{\text{vsi}}^{dq} = T_p(\phi_p)^{-1} T_c u_{\text{vsi}}^{abc} = T_p(\phi_p)^{-1} T_c u_M^{abc} + T_p(\phi_p)^{-1} \underbrace{T_c u_{\text{cm}} \mathbf{1}_3}_{=\mathbf{0}_2} \\
 &\stackrel{(10)}{=} \underbrace{T_p(\phi_p)^{-1} T_c [C_{\text{vsi}}^{abc}]^{-1} T_c^{-1} T_p(\phi_p)}_{=:[C_{\text{vsi}}^{dq}]^{-1}} u_{\text{ref}}^{dq} - \underbrace{T_p(\phi_p)^{-1} T_c}_{=:\mathbf{c}_{\text{vsi}}^{dq}} u_{\text{vsi}}^{abc}
 \end{aligned}$$

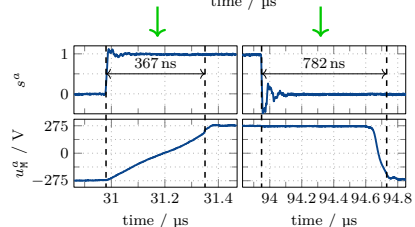
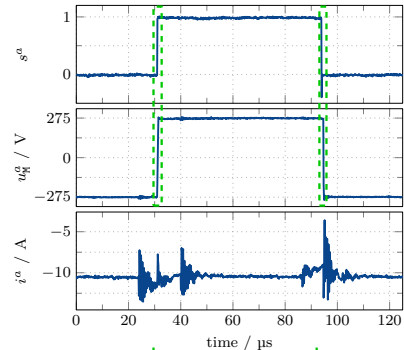
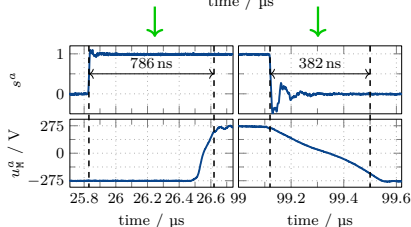
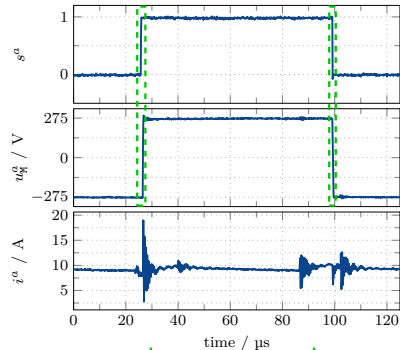
$$\Rightarrow u_{\text{s,inc}}^{dq} := u_{\text{ref}}^{dq} = C_{\text{vsi}}^{abc} u_{\text{s,cc}}^{dq} + \mathbf{c}_{\text{vsi}}^{dq} \quad (11)$$

6 High-performance control

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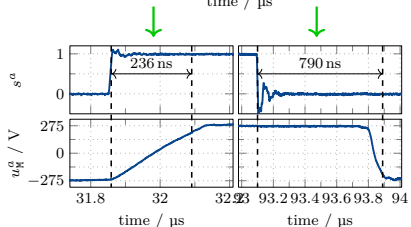
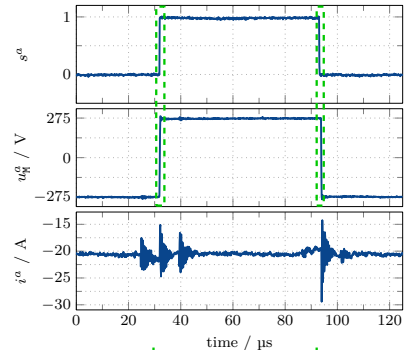
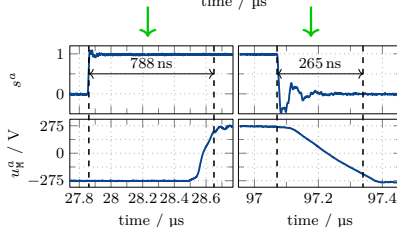
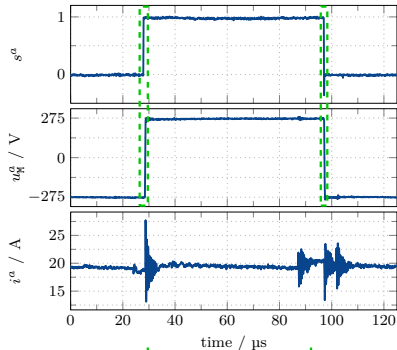
High-performance control: Inverter nonlinearity compensation

Validation: Measured voltage response of SEMIKRON SKiiP 83 AC 12 in SEW MDX61B0220 at ± 10 A



High-performance control: Inverter nonlinearity compensation

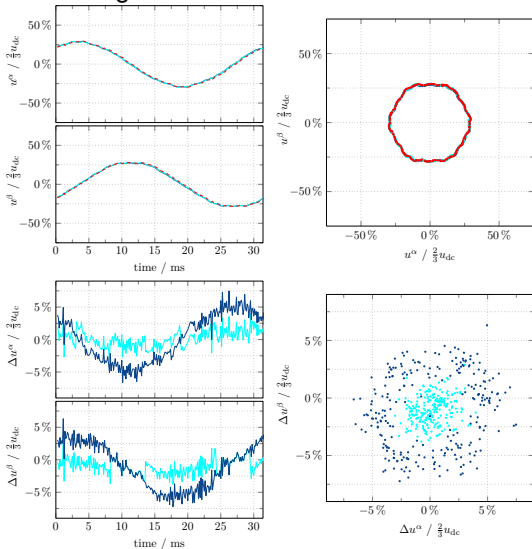
Validation: Measured voltage response of SEMIKRON SKiiP 83 AC 12 in SEW MDX61B0220 at ± 20 A



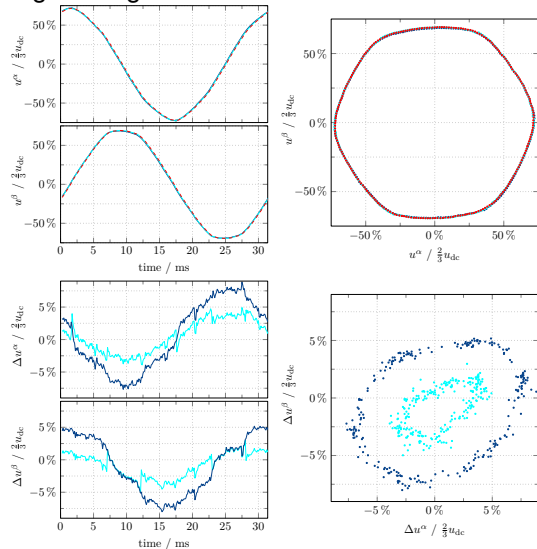
High-performance control: Inverter nonlinearity compensation

Validation: Measurement results [—] without INC and [—] with INC

Low-voltage measurements



High-voltage measurements



Outline

6 High-performance control

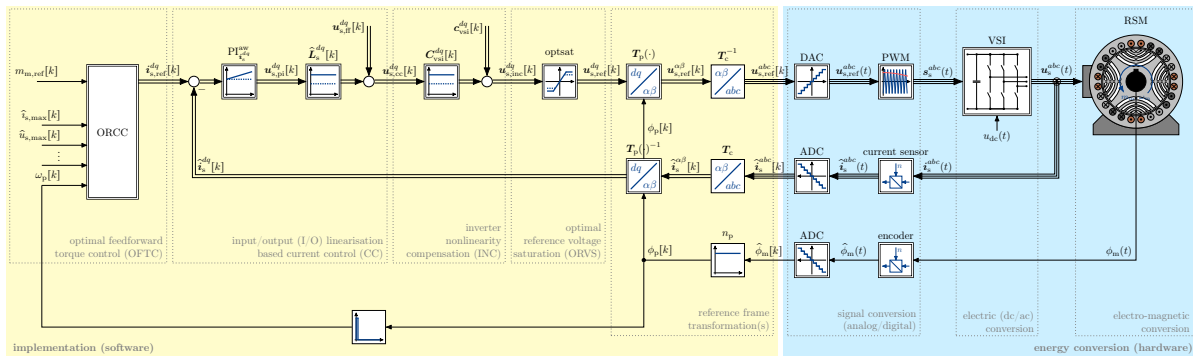
- Overview
- Nonlinear current control
 - Controller structure of input/output (I/O) linearization based nonlinear current control system
 - Principle idea of I/O linearization
 - Closed-loop system with reference current filter
 - Controller tuning via pole placement
 - Vector-valued PI controller with anti-windup (conditional integration)
- Inverter nonlinearity compensation
 - Problem statement
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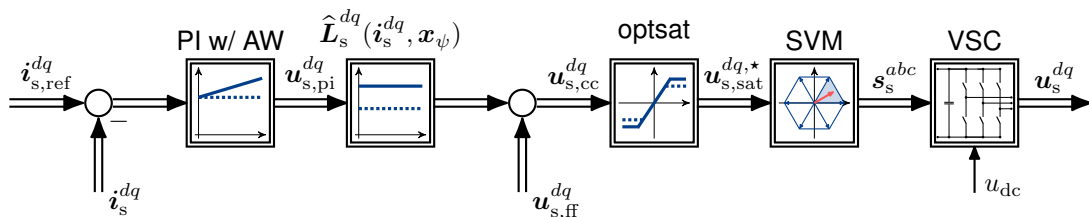
High-performance control

Optimal reference voltage saturation: Overview



High-performance control: Optimal reference voltage saturation

Problem statement: Drawbacks of converters



Any voltage source converter (VSC) suffers from *four* inevitable drawbacks (D_i):

- (D_1) voltage deterioration due to nonlinear current-dependent voltage drops over semiconductors [167],
- (D_2) voltage ripples due to the switching behavior of the power electronic devices [168],
- (D_3) delayed voltage response due to modulation [169], and
- (D_4) output voltage constraints due to a limited dc-link voltage.

⇒ Now we will discuss and solve the last problem in an optimal way (“optsat”) in order to reduce its impact, in particular, on proportional-integral (PI) based control methods which still represent the vast majority in industrial applications. For more advanced control methods like model predictive control, the voltage constraints can directly be considered during controller design and/or the optimization stage [135, 170, 171].

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High-performance control: Optimal reference voltage saturation

Proposed solution Optimal Reference Voltage Saturation (ORVS)

Goal of ORVS:

Minimization of the deviation between the desired current dynamics $\frac{d}{dt} \mathbf{i}_s^{dq}(\mathbf{u}_{s,pi}^{dq})$ (time derivative of the currents) obtained for the control action $\mathbf{u}_{s,pi}^{dq}$ computed by the PI control system if the voltage constraints were not present and the feasible current dynamics $\frac{d}{dt} \mathbf{i}_s^{dq}(\mathbf{u}_{s,sat}^{dq}, \hat{u}_{s,max})$ due to the active voltage constraint $\hat{u}_{s,max}$, i.e.

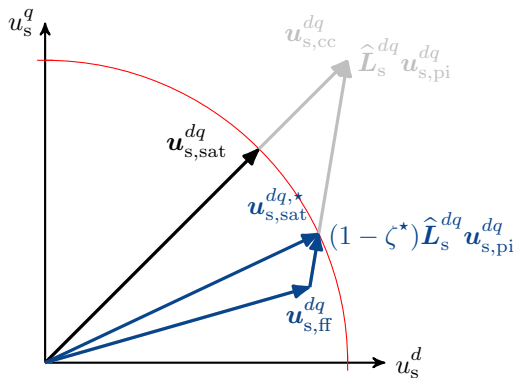
$$\mathbf{u}_{s,sat}^{dq,*} = \arg \min_{\mathbf{u}_s^{dq}} \left\| \frac{d}{dt} \mathbf{i}_s^{dq}(\mathbf{u}_{s,pi}^{dq}) - \frac{d}{dt} \mathbf{i}_s^{dq}(\mathbf{u}_s^{dq}, \hat{u}_{s,max}) \right\| = \begin{cases} \mathbf{u}_{s,cc}^{dq} \frac{\hat{u}_{s,max}}{\|\mathbf{u}_{s,cc}^{dq}\|}, & \text{if } \|\mathbf{u}_{s,ff}^{dq}\| \geq \hat{u}_{s,max}, \\ \mathbf{u}_{s,ff}^{dq} + (1 - \zeta^*) \hat{\mathbf{L}}_s^{dq} \mathbf{u}_{s,pi}^{dq}, & \text{if } \|\mathbf{u}_{s,cc}^{dq}\| \geq \hat{u}_{s,max}, \\ \mathbf{u}_{s,cc}^{dq}, & \text{else} \end{cases}$$

In contrast to **no saturation** or **conventional saturation**

$$\mathbf{u}_{s,sat}^{dq} := \begin{cases} \mathbf{u}_{s,cc}^{dq} \frac{\hat{u}_{s,max}}{\|\mathbf{u}_{s,cc}^{dq}\|}, & \text{if } \|\mathbf{u}_{s,cc}^{dq}\| \geq \hat{u}_{s,max}, \\ \mathbf{u}_{s,cc}^{dq}, & \text{else,} \end{cases}$$

High-performance control: Optimal reference voltage saturation

Proposed solution Illustration of the idea of **Optimal Reference Voltage Saturation (ORVS)**



👉 Consideration of **voltage hexagon** as constraint is possible! (another paper in preparation)

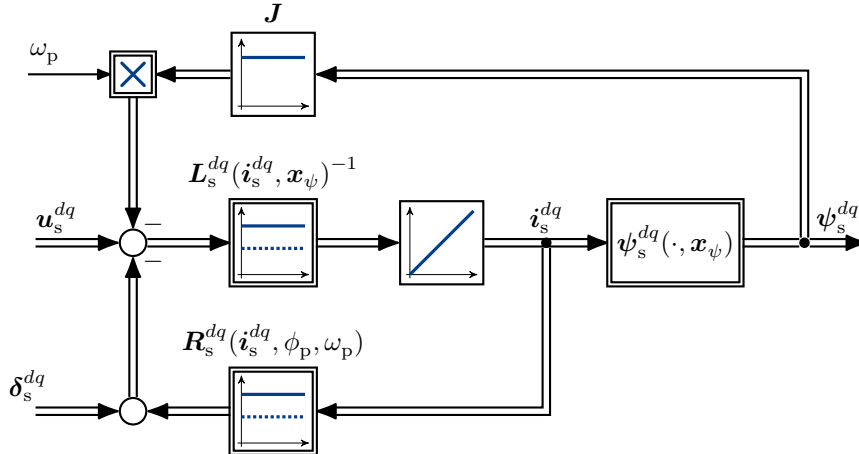
$$\hat{u}_{s,\max}(u_{dc}) := \begin{cases} \frac{u_{dc}}{2}, & \text{for PWM,} \\ \frac{u_{dc}}{\sqrt{3}}, & \text{for SVM.} \end{cases}$$

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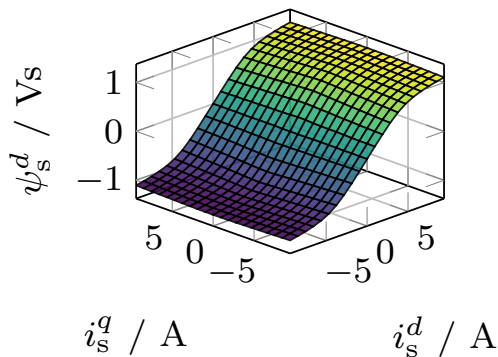
High-performance control: Optimal reference voltage saturation

Block diagram of nonlinear current dynamics with current/angle-dependent differential inductances and flux linkages

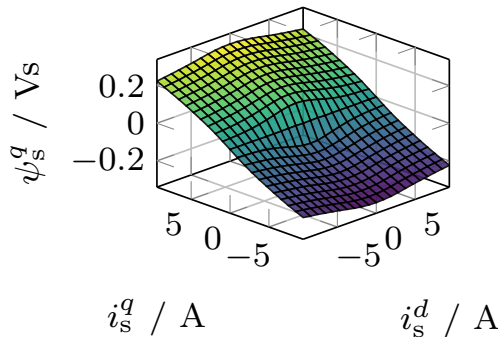


High-performance control: Optimal reference voltage saturation

Block diagram: Nonlinear flux linkage maps ($\phi_m = 0^\circ$) of synchronous machine



(a) d -axis flux linkage map.



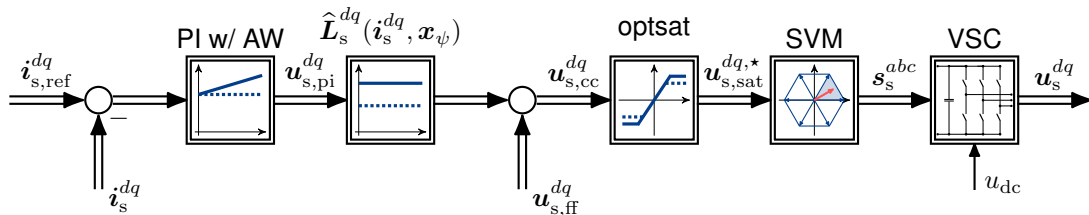
(b) q -axis flux linkage map.

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High-performance control: Optimal reference voltage saturation

Input/output (I/O) linearization (recap)



I/O linearization approach

$$u_s^{dq} \approx u_{s,cc}^{dq} := \hat{L}_s^{dq} u_{s,pi}^{dq} + \underbrace{R_s^{dq} \dot{i}_s^{dq} + \omega_p J \psi_s^{dq} + \delta_s^{dq}}_{=: u_{ff}^{dq}}, \quad (12)$$

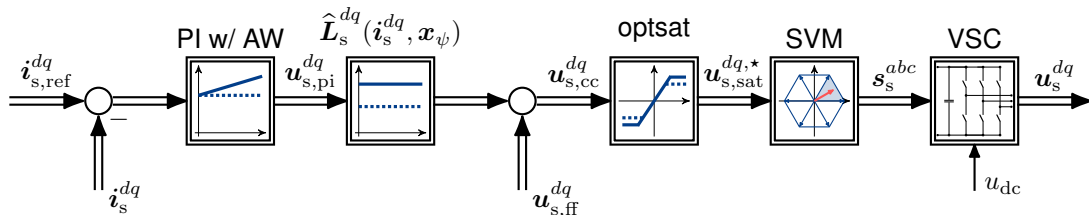
gives simple **integral current dynamics** $\frac{d}{dt} i_s^{dq} = u_{s,pi}^{dq}$ and allows for simple PLL-like **tuning by pole placement** (recall last slides).

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High-performance control: Optimal reference voltage saturation

PI controller design with anti-windup (recap)



Current control system

$$\left. \begin{aligned} \mathbf{u}_{s,\text{pi}}^{dq} &= \mathbf{K}_p^{dq} (\mathbf{i}_{s,\text{ref}}^{dq} - \mathbf{i}_s^{dq}) + \mathbf{K}_i^{dq} \mathbf{x}_i^{dq}, \\ \frac{d}{dt} \mathbf{x}_i^{dq} &= f_{\text{aw}}(\hat{u}_{s,\text{max}}, \mathbf{u}_{s,\text{cc}}^{dq}) (\mathbf{i}_{s,\text{ref}}^{dq} - \mathbf{i}_s^{dq}) \end{aligned} \right\} \quad (13)$$

with integral state $\mathbf{x}_i^{dq}$, gain matrices $\mathbf{K}_p^{dq}$ & $\mathbf{K}_i^{dq}$ and anti-windup (“conditional integration”) with

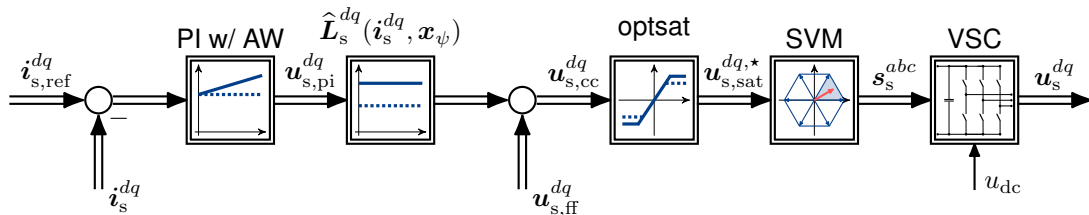
$$f_{\text{aw}}(\hat{u}_{s,\text{max}}, \mathbf{u}_{s,\text{cc}}^{dq}) = \begin{cases} 0, & \text{if } \|\mathbf{u}_{s,\text{cc}}^{dq}\| > \hat{u}_{s,\text{max}}, \\ 1, & \text{else.} \end{cases}$$

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High-performance control: Optimal reference voltage saturation

Reference voltage saturation: Comparison of different approaches



- **optimal reference voltage saturation** [—] with

$$\mathbf{u}_{s,\text{sat}}^{dq,*} := \arg \min_{\mathbf{u}_s^{dq}} \left\| \frac{d}{dt} \mathbf{i}_s^{dq}(\mathbf{u}_{s,\text{pi}}^{dq}) - \frac{d}{dt} \mathbf{i}_s^{dq}(\mathbf{u}_s^{dq}, \hat{u}_{s,\text{max}}) \right\| = \begin{cases} \mathbf{u}_{s,\text{cc}}^{dq} \frac{\hat{u}_{s,\text{max}}}{\|\mathbf{u}_{s,\text{cc}}^{dq}\|}, & \text{if } \|\mathbf{u}_{s,\text{ff}}^{dq}\| \geq \hat{u}_{s,\text{max}}, \\ \mathbf{u}_{s,\text{ff}}^{dq} + (1 - \zeta^*) \hat{\mathbf{L}}_s^{dq} \mathbf{u}_{s,\text{pi}}^{dq}, & \text{if } \|\mathbf{u}_{s,\text{cc}}^{dq}\| \geq \hat{u}_{s,\text{max}}, \\ \mathbf{u}_{s,\text{cc}}^{dq}, & \text{else} \end{cases}$$

- **conventional saturation** [—] with $\mathbf{u}_{s,\text{sat}}^{dq} := \begin{cases} \mathbf{u}_{s,\text{cc}}^{dq} \frac{\hat{u}_{s,\text{max}}}{\|\mathbf{u}_{s,\text{cc}}^{dq}\|}, & \text{if } \|\mathbf{u}_{s,\text{cc}}^{dq}\| \geq \hat{u}_{s,\text{max}}, \\ \mathbf{u}_{s,\text{cc}}^{dq}, & \text{else,} \end{cases}$

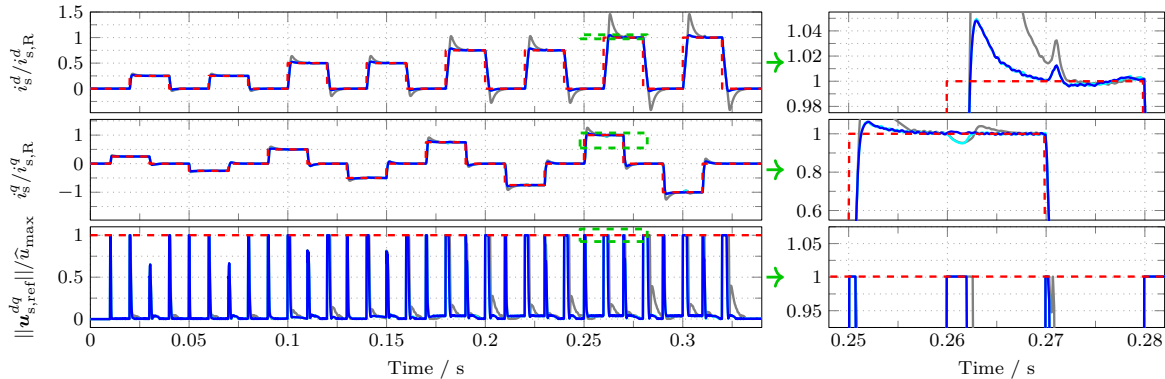
- **no saturation (and no anti-windup)** [—]

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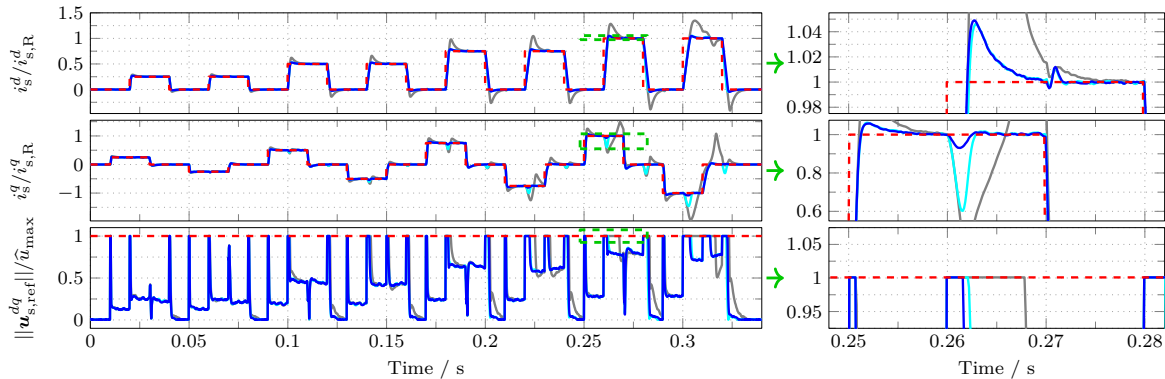
High-performance control: Optimal reference voltage saturation

Validation: Simulation results at standstill ($\omega_m = 0$): Control system *with* ORVS [—], *with* conventional saturation [—] and *without* saturation and anti-windup [—]



High-performance control: Optimal reference voltage saturation

Validation: Simulation results at rated speed ($\omega_m = \omega_{m,R}$): Control system *with* ORVS [—], *with* conventional saturation [—] and *without* saturation and anti-windup [—]



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- 3 Preliminaries
- 4 Nonlinear modelling
- 5 Dynamic simulation
- 6 High-performance control
- 7 Optimal operation**
- 8 Conclusion

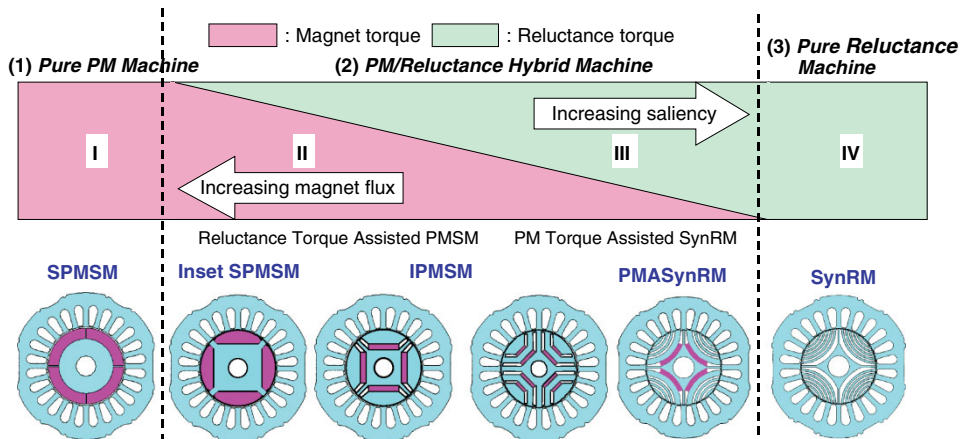
7 Optimal operation

■ Motivation

- Problem statement and proposed solution
- OFTC with analytical ORCC
 - Sequential Quadratic Programming (SQP)
 - Operation strategies
 - Operation management
 - Implementation results
- OFTC with ANN-based ORCC
 - Overview
 - Artificial Neural Network Design
 - Implementation results

Optimal operation: Motivation

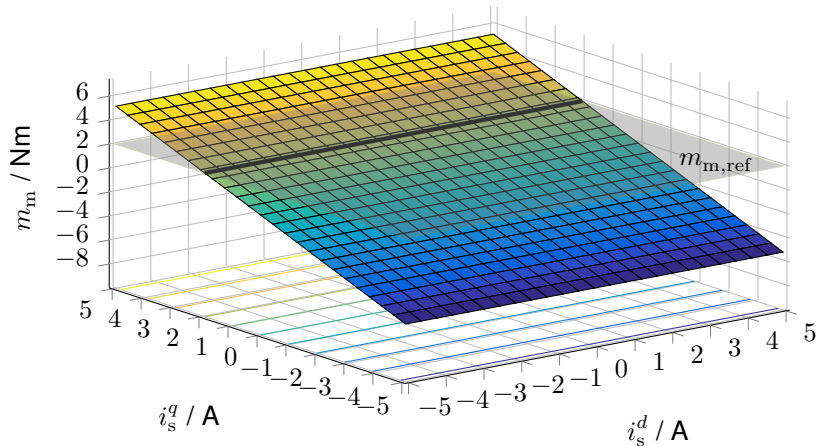
Examples of anisotropic synchronous machines [172] with “saliency ratio” $L_s^d/L_s^q \neq 1$ ($\Leftrightarrow L_{s,app}^d/L_{s,app}^q \neq 1$)



$$m_m(i_s^d, i_s^q) = \frac{2n_p}{3\kappa^2} (i_s^{dq})^\top \mathbf{J} \psi_s^{dq} = \frac{2n_p}{3\kappa^2} \left[\underbrace{\psi_{pm}^d i_s^q - \psi_{pm}^q i_s^d}_{\text{magnetic torque}} + \underbrace{(L_{s,app}^d - L_{s,app}^q) i_s^d i_s^q}_{\text{reluctance torque}} + \underbrace{L_{s,app}^{dq} ((i_s^q)^2 - (i_s^d)^2)}_{\text{cross-coupling torque}} \right]$$

Optimal operation: Motivation

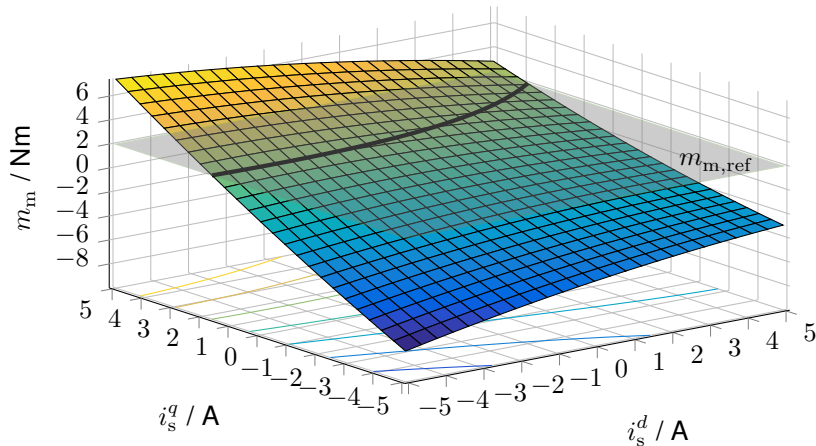
Optimal Feedforward Torque Control (**OFTC**) problem: **Isotropic, linear PMSM** (without iron losses)



$$m_{m,ref} \stackrel{!}{=} m_m(i_s^d, i_s^q) = \frac{2n_p}{3\kappa^2} \psi_{pm} i_s^q \implies \mathbf{i}_{s,ref}^{dq} := (i_{s,ref}^d, i_{s,ref}^q)^\top = \left(0, \frac{3\kappa^2 m_{m,ref}}{2n_p \psi_{pm}}\right)^\top$$

Optimal operation: Motivation

Optimal Feedforward Torque Control (OFTC) problem: **Anisotropic, affine PMSM** (without iron losses)

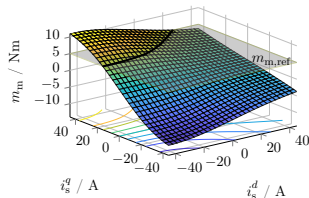


$$m_{m,\text{ref}} \stackrel{!}{=} \underbrace{\frac{2n_p}{3\kappa^2} \left[\psi_{\text{pm}}^d i_s^q - \psi_{\text{pm}}^q i_s^d + (L_{s,\text{app}}^d - L_{s,\text{app}}^q) i_s^d i_s^q + L_{s,\text{app}}^{dq} ((i_s^q)^2 - (i_s^d)^2) \right]}_{m_m(i_s^d, i_s^q)} \Rightarrow \boxed{i_{s,\text{ref}}^{dq} := (?, ?)^\top}$$

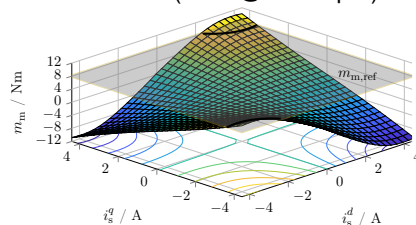
Optimal operation: Motivation

Optimal Feedforward Torque Control (OFTC) problem: **Identical for all nonlinear machines**

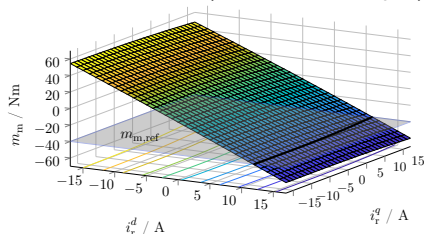
Nonlinear IPMSM (3.9 kW@5 500 rpm)



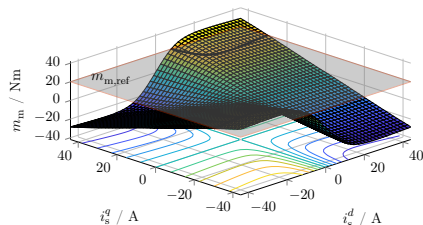
Nonlinear IM (3 kW@1 445 rpm)



Nonlinear DFIM (10 kW@1 500 rpm)



Nonlinear RSM (9.6 kW@1 500 rpm)

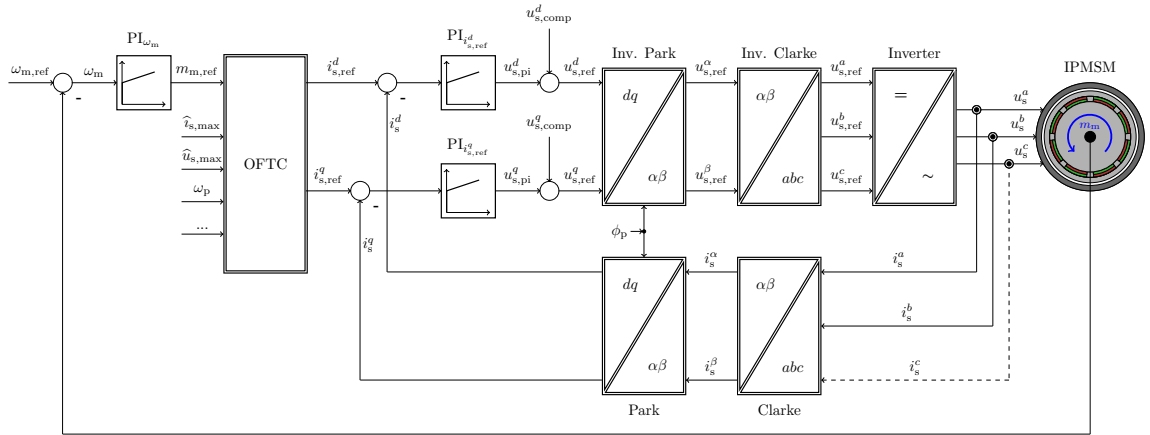


7 Optimal operation

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 - Implementation results
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 - Overview
 - Artificial Neural Network Design
 - Implementation results

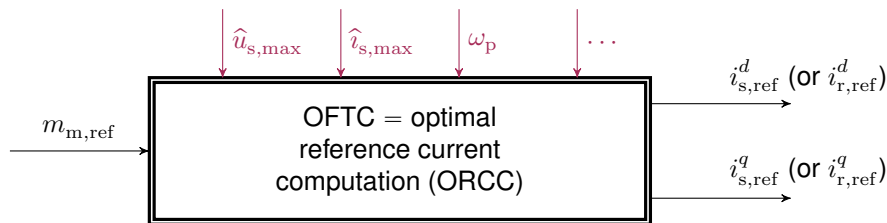
Optimal operation: Problem statement and proposed solution

Optimal Feedforward Torque Control (**OFTC**) within the control system



Optimal operation: Problem statement and proposed solution

Optimal Feedforward Torque Control (**OFTC**) problem: Optimal reference current computation (ORCC)



$$\mathbf{i}_{s,\text{ref}}^{dq}(m_{m,\text{ref}}, \hat{u}_{s,\text{max}}, \hat{i}_{s,\text{max}}, \omega_p, \dots) = \begin{pmatrix} i_{s,\text{ref}}^d(m_{m,\text{ref}}, \hat{u}_{s,\text{max}}, \hat{i}_{s,\text{max}}, \omega_p, \dots) \\ i_{s,\text{ref}}^q(m_{m,\text{ref}}, \hat{u}_{s,\text{max}}, \hat{i}_{s,\text{max}}, \omega_p, \dots) \end{pmatrix}$$

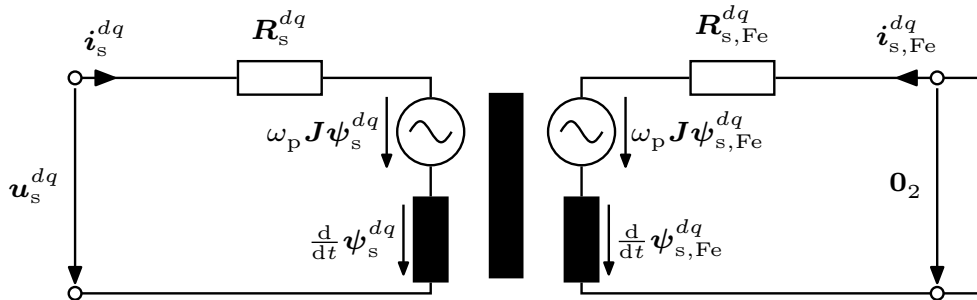
✓ Numerical solutions and/or look-up tables
(but: limited storage, accuracy, real-time applicability)

? Analytical solutions

(some do exist but impose simplifying assumptions such as $R_s = 0$, $R_{s,\text{Fe}} = 0$ and/or $L_{s,m} = 0$, etc.)

Optimal operation: Problem statement and proposed solution

Considered anisotropic synchronous machines with iron losses: **Nonlinear transformer model**



with $\psi_s^{dq} = \psi_{s,Fe}^{dq}$ (single assumption!) and (possibly) *nonlinear*

- *current, angle, speed and temperature dependent stator resistance, i.e.* $R_s^{dq} := R_s^{dq}(i_s^{dq}, \phi_p, \omega_p, \vartheta_s)$
- *current, angle, speed and temperature dependent iron resistance, i.e.* $R_{s,Fe}^{dq} := R_{s,Fe}^{dq}(i_s^{dq}, \phi_p, \omega_p, \vartheta_s)$
- *current, angle, speed and temperature dependent flux linkages, i.e.* $\psi_s^{dq} := \psi_s^{dq}(i_s^{dq}, \phi_p, \omega_p, \vartheta_s)$

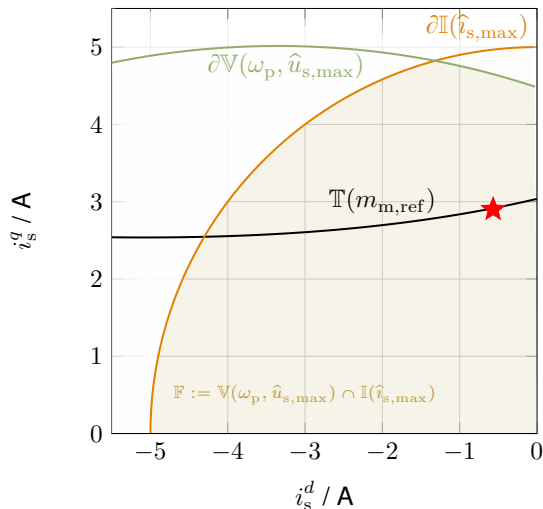
Optimal operation: Problem statement and proposed solution

Considered anisotropic synchronous machines with iron losses: **Nonlinear machine dynamics** ($\implies$ steady-state model)

$$\begin{aligned}
 \text{Stator:} \quad \underbrace{\begin{pmatrix} u_s^d \\ u_s^q \end{pmatrix}}_{=:\mathbf{u}_s^{dq}} &= \underbrace{\begin{bmatrix} R_s^d & R_s^{dq} \\ R_s^{qd} & R_s^q \end{bmatrix}}_{=:\mathbf{R}_s^{dq}} \underbrace{\begin{pmatrix} i_s^d \\ i_s^q \end{pmatrix}}_{=:\mathbf{i}_s^{dq}} + \omega_p \underbrace{\begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}}_{=:\mathbf{J}} \underbrace{\begin{pmatrix} \psi_s^d \\ \psi_s^q \end{pmatrix}}_{=:\boldsymbol{\psi}_s^{dq}} + \cancel{\frac{d}{dt} \boldsymbol{\psi}_s^{dq}} \\
 \text{Iron:} \quad \underbrace{\begin{pmatrix} 0 \\ 0 \end{pmatrix}}_{=:\mathbf{0}_2} &= \underbrace{\begin{bmatrix} R_{s,Fe}^d & R_{s,Fe}^{dq} \\ R_{s,Fe}^{qd} & R_{s,Fe}^q \end{bmatrix}}_{=:\mathbf{R}_{s,Fe}^{dq}} \underbrace{\begin{pmatrix} i_{s,Fe}^d \\ i_{s,Fe}^q \end{pmatrix}}_{=:\mathbf{i}_{s,Fe}^{dq}} + \omega_p \mathbf{J} \boldsymbol{\psi}_s^{dq} + \cancel{\frac{d}{dt} \boldsymbol{\psi}_s^{dq}} \\
 \mathbf{i}_{s,Fe}^{dq} &= -(\mathbf{R}_{s,Fe}^{dq})^{-1} \left[\omega_p \mathbf{J} \boldsymbol{\psi}_s^{dq} + \cancel{\frac{d}{dt} \boldsymbol{\psi}_s^{dq}} \right] \\
 \text{Mech.:} \quad \frac{d}{dt} \omega_m &= \frac{1}{\Theta_m} (m_m + m_l) \quad \text{with} \quad \omega_p = n_p \omega_m \\
 m_m &= \frac{2}{3\kappa^2} (\mathbf{i}_s^{dq} + \mathbf{i}_{s,Fe}^{dq})^\top \mathbf{J} \boldsymbol{\psi}_s^{dq} \\
 \text{Losses:} \quad p_{s,loss} &= \underbrace{\frac{2}{3\kappa^2} (\mathbf{i}_s^{dq})^\top \mathbf{R}_s^{dq} \mathbf{i}_s^{dq}}_{=:p_{s,Cu}} + \underbrace{\frac{2}{3\kappa^2} (\mathbf{i}_{s,Fe}^{dq})^\top \mathbf{R}_{s,Fe}^{dq} \mathbf{i}_{s,Fe}^{dq}}_{=:p_{s,Fe}}
 \end{aligned}$$

Optimal operation: Problem statement and proposed solution

Optimal Reference Current Computation (**ORCC**): Optimization problem(s) with multiple constraints



$$\max_{\mathbf{i}_s^{dq} \in \mathbb{F}} -f(\mathbf{i}_s^{dq}) \quad \text{subject to}$$

$$\left\{ \begin{array}{l} \|\mathbf{i}_s^{dq}\|^2 \leq \hat{i}_{s,\max}^2, \\ \text{(current circular area)} \\ \|\mathbf{u}_s^{dq}(\mathbf{i}_s^{dq}, \omega_p, \dots)\|^2 \leq \hat{u}_{s,\max}^2, \\ \text{(voltage elliptical area)} \\ |m_m(\mathbf{i}_s^{dq}, \omega_p, \dots)| \leq |m_{m,\text{ref}}|, \\ \text{and } \text{sign}(m_{m,\text{ref}}) = \\ \text{sign}(m_m(\mathbf{i}_s^{dq})). \end{array} \right.$$

$\Rightarrow$ e.g. minimize copper losses,
i.e., $-f(\mathbf{i}_s^{dq}) = -\|\mathbf{i}_s^{dq}\|^2$.

$\Rightarrow \mathbf{i}_{s,\text{ref}}^{dq} = \mathbf{i}_{s,\text{MTPC}}^{dq}$ at ★, i.e., MTPC
with $m_{m,\text{ref}} = m_m(\mathbf{i}_{s,\text{ref}}^{dq})$ feasible.

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7 Optimal operation

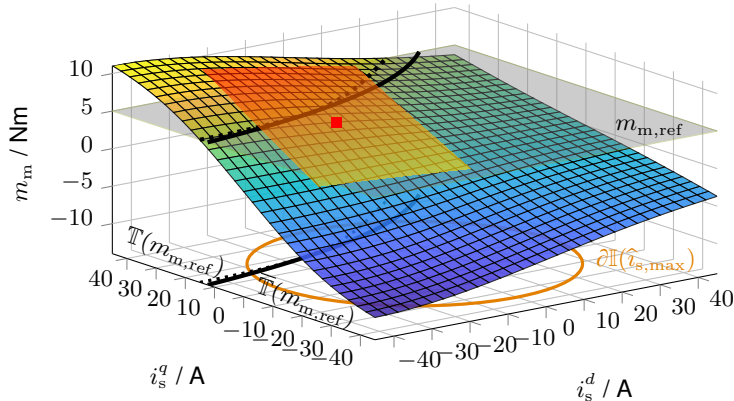
- Motivation
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Optimal operation: OFTC with analytical ORCC

Sequential Quadratic Programming (SQP): Linearization, implicit formulation, optimization & intersection points

Step 1: Online linearization of flux linkages, machine torque, iron resistance, etc.: for example:

- **flux linkages** (first-order Taylor approximation around operating point $\bar{i}_s^{dq}$ [■])
- **machine torque** (second-order Taylor approximation around operating point $\bar{i}_s^{dq}$ [■])



■ ...

Optimal operation: OFTC with analytical ORCC

Sequential Quadratic Programming (SQP): Linearization, implicit formulation, optimization & intersection points

Step 2: Derivation of quadrics $Q_A(\mathbf{i}_s^{dq}) := (\mathbf{i}_s^{dq})^\top \mathbf{A} \mathbf{i}_s^{dq} + 2\mathbf{a}^\top \mathbf{i}_s^{dq} + \alpha$:

- Current circular area: $(i_s^d)^2 + (i_s^q)^2 \leq \hat{i}_{s,\max}^2 \iff \|\mathbf{i}_s^{dq}\|^2 = (\mathbf{i}_s^{dq})^\top \mathbf{I}_2 \mathbf{i}_s^{dq} \leq \hat{i}_{s,\max}^2$

$$\implies \mathbb{I}(\hat{i}_{s,\max}) := \{ \mathbf{i}_s^{dq} \in \mathbb{R}^2 \mid (\mathbf{i}_s^{dq})^\top \mathbf{I}_2 \mathbf{i}_s^{dq} - \hat{i}_{s,\max}^2 \leq 0 \}$$

- (Linearized) Voltage elliptical area: $(u_s^d)^2 + (u_s^q)^2 \leq \hat{u}_{s,\max}^2 \iff \|\mathbf{u}_s^{dq}(\mathbf{i}_s^{dq}, \omega_p, \dots)\|^2 = \dots \leq \hat{u}_{s,\max}^2$

$$\implies \bar{\mathbb{V}}(\hat{u}_{s,\max}) := \{ \mathbf{i}_s^{dq} \in \mathbb{R}^2 \mid (\mathbf{i}_s^{dq})^\top \bar{\mathbf{V}}(\bar{\omega}_p) \mathbf{i}_s^{dq} + 2\bar{\mathbf{v}}(\bar{\omega}_p)^\top \mathbf{i}_s^{dq} + \bar{v}(\bar{\omega}_p, \hat{u}_{s,\max}) \leq 0 \}$$

- (Linearized) Reference torque hyperbola: $m_m(\mathbf{i}_s^{dq}, \omega_p, \dots) = \frac{3}{2}n_p(\mathbf{i}_s^{dq} + \mathbf{i}_{s,\text{Fe}}^{dq})^\top \mathbf{J}\boldsymbol{\psi}_s^{dq} \stackrel{!}{=} m_{m,\text{ref}} \iff \dots$

$$\implies \bar{\mathbb{T}}(m_{m,\text{ref}}) := \{ \mathbf{i}_s^{dq} \in \mathbb{R}^2 \mid (\mathbf{i}_s^{dq})^\top \bar{\mathbf{T}} \mathbf{i}_s^{dq} + 2\bar{\mathbf{t}}^\top \mathbf{i}_s^{dq} - m_{m,\text{ref}} = 0 \}$$

■ ...

Optimal operation: OFTC with analytical ORCC

Sequential Quadratic Programming (SQP): Linearization, implicit formulation, optimization & intersection points

Step 3: Optimization problem with equality constraint

$$\max_{\mathbf{i}_s^{dq}} - \left(\underbrace{(\mathbf{i}_s^{dq})^\top \mathbf{A} \mathbf{i}_s^{dq} + 2\mathbf{a}^\top \mathbf{i}_s^{dq} + \alpha}_{=: Q_A(\mathbf{i}_s^{dq})} \right) \text{ s.t. } \underbrace{(\mathbf{i}_s^{dq})^\top \mathbf{B} \mathbf{i}_s^{dq} + 2\mathbf{b}^\top \mathbf{i}_s^{dq} + \beta}_{=: Q_B(\mathbf{i}_s^{dq})} = 0$$

$\Rightarrow$ Hyperbolas for e.g. MTPC, MTPL or MTPV (with $R_s, R_{s,Fe} \neq 0$ & $L_{s,m} \neq 0$, etc.)

Step 4: Intersection of two quadrics (e.g. voltage ellipse and current circle)

$$\mathbf{i}_{s,\text{ref}}^{dq} := \arg \min_{\|\mathbf{i}_s^{dq}\|} \left\{ \mathbf{i}_s^{dq} \in \mathbb{R}^2 \mid Q_A(\mathbf{i}_s^{dq}) = 0 \wedge Q_B(\mathbf{i}_s^{dq}) = 0 \right\}$$

$\Rightarrow$ Optimal operation point ★ (reference current; iteration possibly necessary)

Both lead to subproblem of solving a fourth-order (quartic) polynomial

$$\chi(\lambda) := c_4 \lambda^4 + c_3 \lambda^3 + c_2 \lambda^2 + c_1 \lambda + c_0 \stackrel{!}{=} 0$$

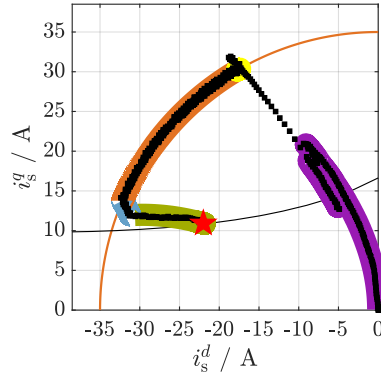
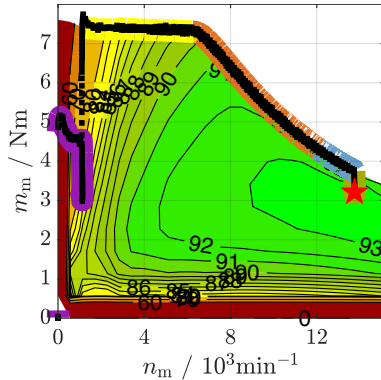
$\Rightarrow$ Analytical solutions exist (e.g. Euler's solution, see [173])

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Optimal operation: OFTC with analytical ORCC

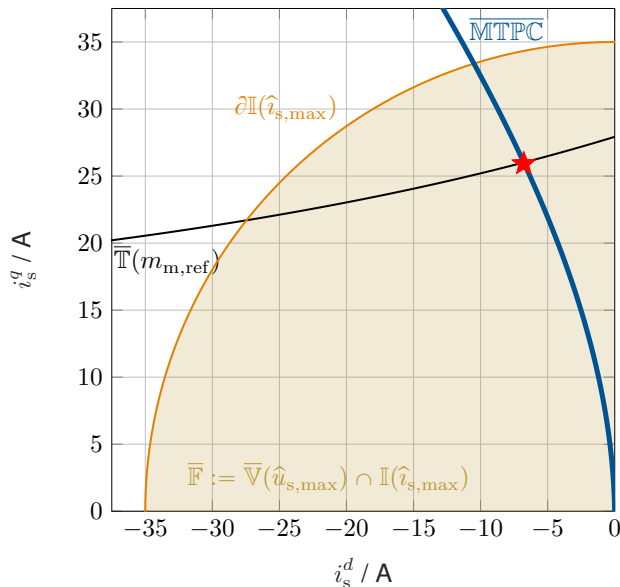
Operation strategies: Overview



- Maximum Torque per Losses (MTPL) [] (or Maximum Torque per Current (MTPC) [])
- Maximum Current (MC) [] and Maximum Current extended (MC_{ext}) []
- Maximum Torque per Voltage (MTPV) [] (or Maximum Torque per Flux (MTPF))
- Field Weakening (FW) []

Optimal operation: OFTC with analytical ORCC

Operation strategies: Maximum Torque per Current (**MTPC** @ $0 \cdot \omega_{m,R}$; $m_{m,ref}$ **feasible**)



Optimization problem

$$\begin{aligned} \max_{\mathbf{i}_s^{dq} \in \bar{\mathbb{F}}} \quad & -p_{s,Cu}(\mathbf{i}_s^{dq}) \quad \text{s.t.} \\ & \underbrace{(\mathbf{i}_s^{dq})^\top \bar{\mathbf{T}} \mathbf{i}_s^{dq} + 2 \bar{\mathbf{t}}^\top \mathbf{i}_s^{dq}}_{\approx m_m(\mathbf{i}_s^{dq})} - m_{m,ref} = 0 \end{aligned}$$

Solution set

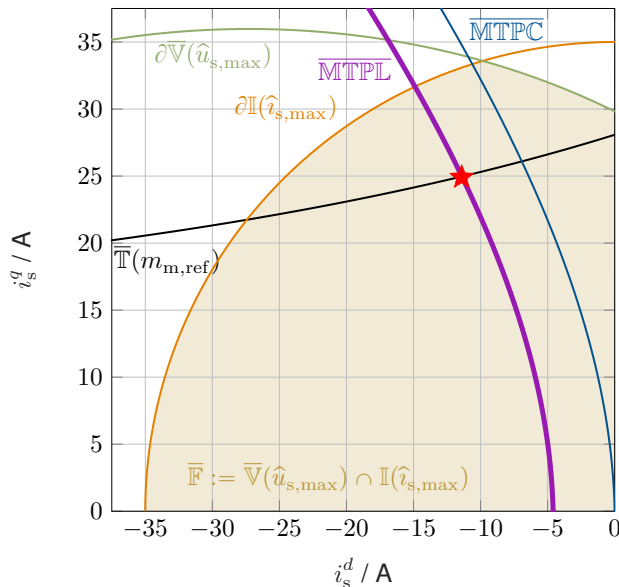
$$\begin{aligned} \overline{\text{MTPC}}(\bar{\mathbf{i}}_s^{dq}, \bar{\omega}_p, \dots) &:= \{ \mathbf{i}_s^{dq} \in \mathbb{R}^2 \mid \\ & (\mathbf{i}_s^{dq})^\top \bar{\mathbf{M}}_C \mathbf{i}_s^{dq} + 2 \bar{\mathbf{m}}_C^\top \mathbf{i}_s^{dq} = 0 \} \end{aligned}$$

Optimal reference currents (★)

$$\mathbf{i}_{s, \text{MTPC}}^{dq} = \overline{\text{MTPC}} \cap \bar{\mathbf{T}}(m_{m,ref})$$

Optimal operation: OFTC with analytical ORCC

Operation strategies: Maximum Torque per Losses (**MTPL** @ $1 \cdot \omega_{m,R}$; $m_{m,ref}$ **feasible**)



Optimization problem

$$\begin{aligned} \max_{\mathbf{i}_s^{dq} \in \bar{\mathbb{F}}} & -p_{s,Cu}(\mathbf{i}_s^{dq}) - p_{s,Fe}(\mathbf{i}_s^{dq}) \quad \text{s.t.} \\ & \underbrace{(\mathbf{i}_s^{dq})^\top \mathbf{T} \mathbf{i}_s^{dq} + 2 \bar{\mathbf{t}}^\top \mathbf{i}_s^{dq}}_{\approx m_m(\mathbf{i}_s^{dq})} - m_{m,ref} = 0 \end{aligned}$$

Solution set

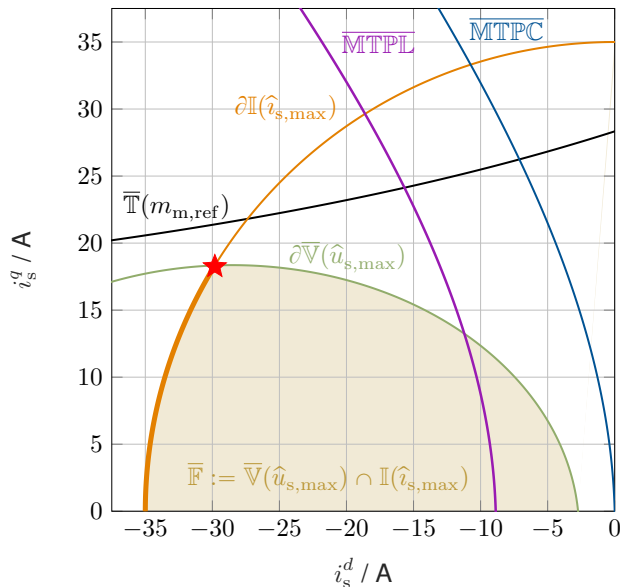
$$\begin{aligned} \overline{\text{MTPL}}(\bar{\mathbf{i}}_s^{dq}, \bar{\omega}_p, \dots) &:= \{ \mathbf{i}_s^{dq} \in \mathbb{R}^2 \mid \\ & (\mathbf{i}_s^{dq})^\top \overline{\mathbf{M}}_L \mathbf{i}_s^{dq} + 2 \overline{\mathbf{m}}_L^\top \mathbf{i}_s^{dq} + \bar{\mu}_L = 0 \} \end{aligned}$$

Optimal reference currents (★)

$$\mathbf{i}_{s,MTPL}^{dq} = \overline{\text{MTPL}} \cap \bar{\mathbf{T}}(m_{m,ref})$$

Optimal operation: OFTC with analytical ORCC

Operation strategies: Maximum Current (**MC** @ $2 \cdot \omega_{m,R}$; $m_{m,ref}$ **not feasible**)



Optimization problem

$$\begin{aligned} \max_{i_s^{dq} \in \bar{F}} |(m_m(i_s^{dq}))| \quad \text{s.t.} \\ \text{sign}(m_m) = \text{sign}(m_{m,ref}) \end{aligned}$$

Feasible set

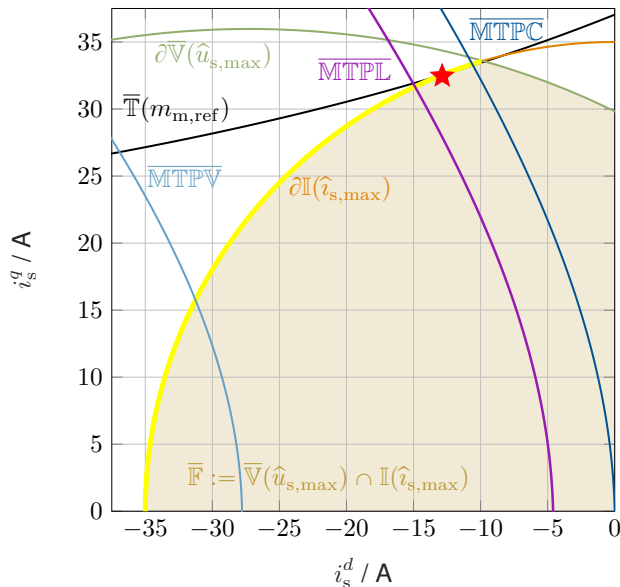
$$\overline{MC}(i_s^{dq}, \bar{\omega}_p, \dots, \hat{u}_{s,max}, \hat{i}_{s,max}) := \bar{V}(\hat{u}_{s,max}) \cap \partial I(\hat{i}_{s,max})$$

Optimal current reference (★)

$$i_{s,MC}^{dq} = \partial \bar{V}(\hat{u}_{s,max}) \cap \partial I(\hat{i}_{s,max})$$

Optimal operation: OFTC with analytical ORCC

Operation strategies: Maximum Current extended (**MC_{ext}** @ $1 \cdot \omega_{m,R}$; $m_{m,ref}$ **feasible**)



Optimization problem

$$\max_{i_s^{dq} \in \bar{F}} |(m_m(i_s^{dq}))| \quad \text{s.t.}$$

$$\text{sign}(m_m) = \text{sign}(m_{m,ref})$$

Solution set

$$\bar{MC}_{ext}(\bar{i}_s^{dq}, \bar{\omega}_p, \dots, \hat{u}_{s,max}, \hat{i}_{s,max}) := \bar{V}(\hat{u}_{s,max}) \cap \partial I(\hat{i}_{s,max})$$

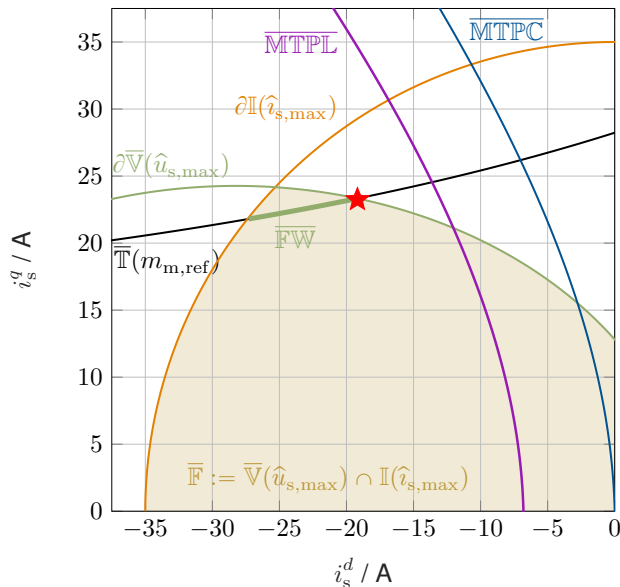
Optimal current reference (★)

$$i_{s,MC_{ext}}^{dq} = \bar{T}(m_{m,ref}) \cap \partial I(\hat{i}_{s,max})$$

$$\text{with } i_{s,MTPL}^d < i_{s,MC_{ext}}^d$$

Optimal operation: OFTC with analytical ORCC

Operation strategies: Field Weakening (**FW** @ $1.40 \cdot \omega_{m,R}$; $m_{m,ref}$ **feasible**)



Optimization problem

$$\begin{aligned} \max_{\mathbf{i}_s^{dq} \in \bar{F}} & -p_{s,Cu}(\mathbf{i}_s^{dq}) - p_{s,Fe}(\mathbf{i}_s^{dq}) \quad \text{s.t.} \\ & \underbrace{(\mathbf{i}_s^{dq})^\top \mathbf{T} \mathbf{i}_s^{dq} + 2 \mathbf{t}^\top \mathbf{i}_s^{dq}}_{= m_m(\mathbf{i}_s^{dq})} - m_{m,ref} = 0 \end{aligned}$$

Feasible set

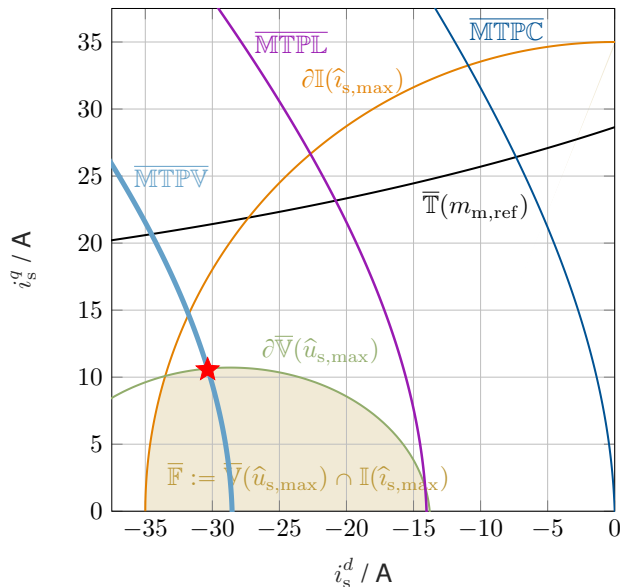
$$\begin{aligned} \bar{FW}(\bar{\mathbf{i}}_s^{dq}, \bar{\omega}_p, \dots, m_{m,ref}, \hat{u}_{s,max}, \hat{i}_{s,max}) := \\ \bar{F}(\hat{u}_{s,max}, \hat{i}_{s,max}) \cap \bar{T}(m_{m,ref}) \end{aligned}$$

Optimal reference currents (★)

$$\mathbf{i}_{s,FW}^{dq} = \partial \bar{V}(\hat{u}_{s,max}) \cap \bar{T}(m_{m,ref})$$

Optimal operation: OFTC with analytical ORCC

Operation strategies: Maximum Torque per Voltage (**MTPV** @ $3.50 \cdot \omega_{m,R}$; $m_{m,ref}$ **not feasible**)



Optimization problem

$$\begin{aligned} \max_{\mathbf{i}_s^{dq} \in \bar{F}} & -\|\mathbf{u}_s^{dq}(\mathbf{i}_s^{dq})\|^2 \quad \text{s.t.} \\ & \underbrace{(\mathbf{i}_s^{dq})^\top \bar{\mathbf{T}} \mathbf{i}_s^{dq} + 2 \bar{\mathbf{t}}^\top \mathbf{i}_s^{dq}}_{=m_m(\mathbf{i}_s^{dq})} - m_{m,ref} = 0 \end{aligned}$$

Solution set

$$\overline{\text{MTPV}}(\bar{\mathbf{i}}_s^{dq}, \bar{\omega}_p, \dots) := \left\{ \mathbf{i}_s^{dq} \in \mathbb{R}^2 \mid (\mathbf{i}_s^{dq})^\top \bar{\mathbf{M}}_V \mathbf{i}_s^{dq} + 2 \bar{\mathbf{m}}_V^\top \mathbf{i}_s^{dq} + \bar{\mu}_V = 0 \right\}$$

Optimal reference currents (★)

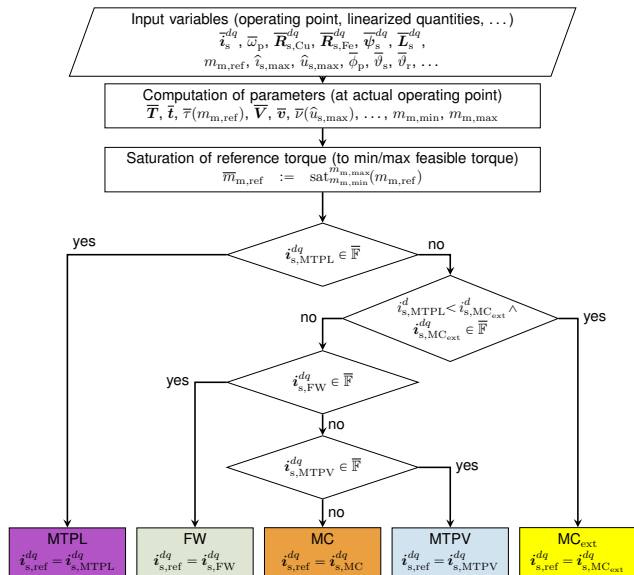
$$\mathbf{i}_{s,\text{MTPV}}^{dq} = \overline{\text{MTPV}} \cap \partial \bar{V}(\hat{u}_{s,\max})$$

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Optimal operation: OFTC with analytical ORCC

Operation management: Decision tree



Outline

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Optimal operation: OFTC with analytical ORCC

Implementation results: Exemplary laboratory setup

Reluctance SM (RSM) and Permanent-magnet SM



Host PC (rapid prototyping)

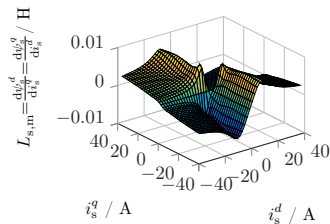
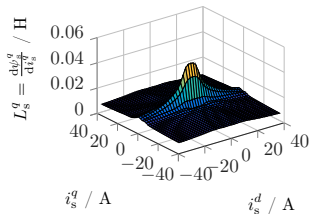
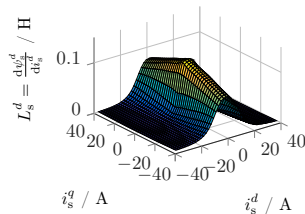
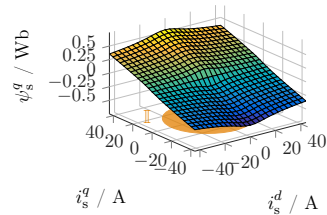
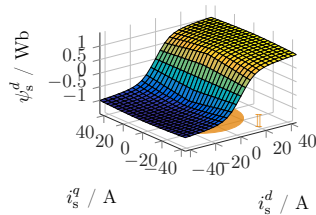
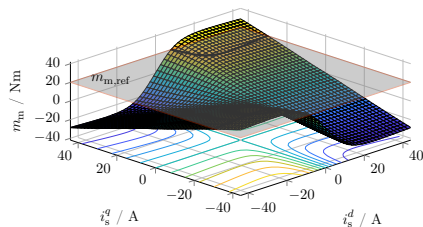


Real-time system and VSIs



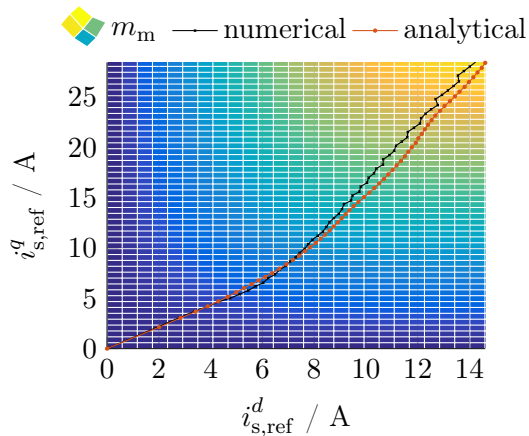
Optimal operation: OFTC with analytical ORCC

Implementation results: Nonlinear maps of torque, flux linkages and differential inductances of RSM (9.6 kW@1 500 rpm)

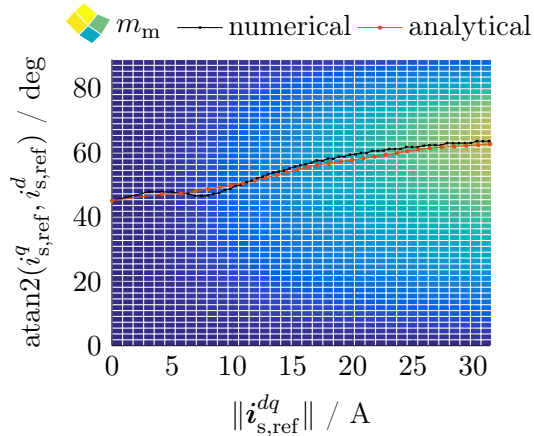


Optimal operation: OFTC with analytical ORCC

Implementation results: Comparison of numerical and analytical solutions for MTPC of RSM (9.6 kW@1 500 rpm)



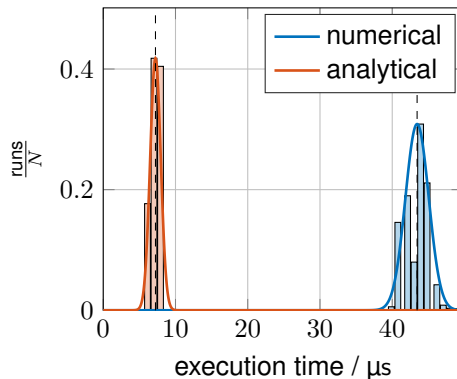
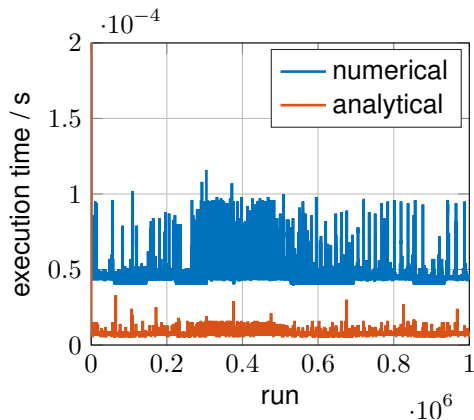
Cartesian coordinates



polar coordinates

Optimal operation: OFTC with analytical ORCC

Implementation results: Comparison of computational load (Euler's solution for fourth-order polynomials)

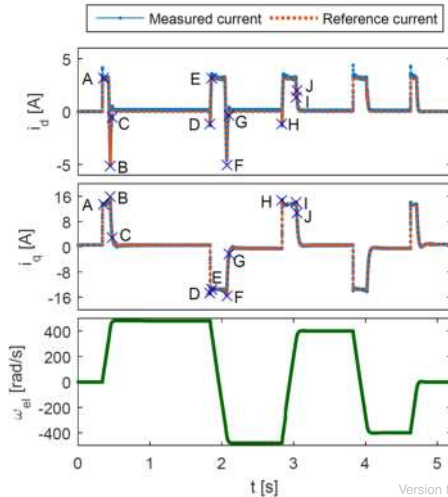
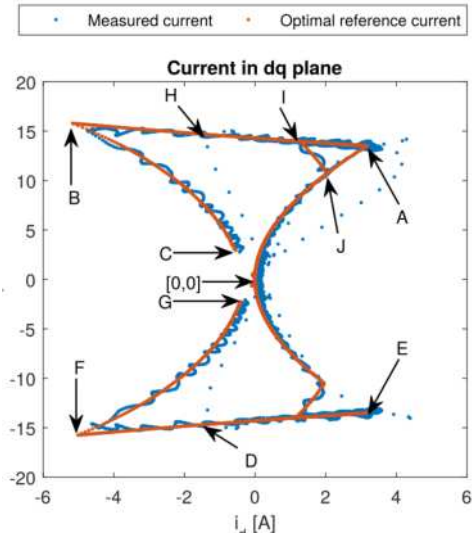


- Execution times for $N = 10^6$ runs (downsampled by factor 100)
- Standard deviations $\sigma_n = 1.61 \cdot 10^{-6}$ s and $\sigma_a = 0.73 \cdot 10^{-6}$ s
- Average execution times $\mu_n = 43.4 \cdot 10^{-6}$ s and $\mu_a = 7.23 \cdot 10^{-6}$ s (>**6x faster**)

Optimal operation: OFTC with analytical ORCC

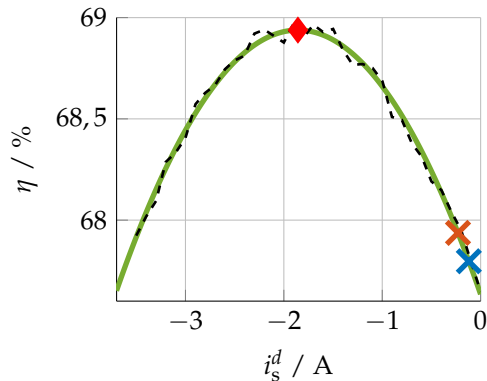
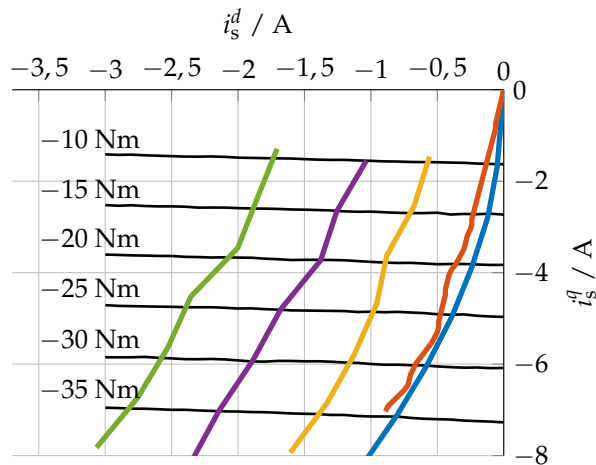
Implementation results: Implementation by colleagues for PME-RSM (4.5 kW@1 500 rpm)

$$[\max (L_s^d(\dot{i}_s^{dq})/L_s^q(\dot{i}_s^{dq})) = 1.43] [174]$$



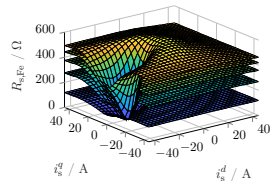
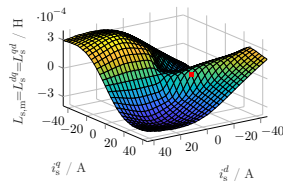
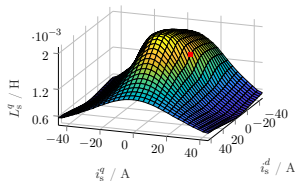
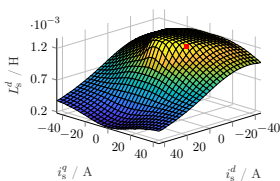
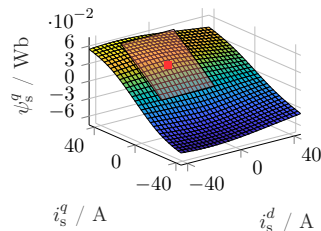
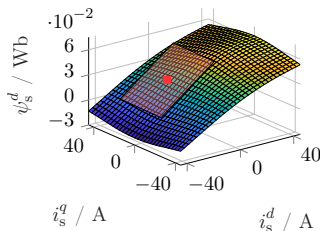
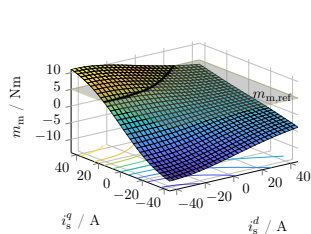
Optimal operation: OFTC with analytical ORCC

Implementation results: Efficiency enhancements considering iron losses for IPMSM (generator mode) [15]



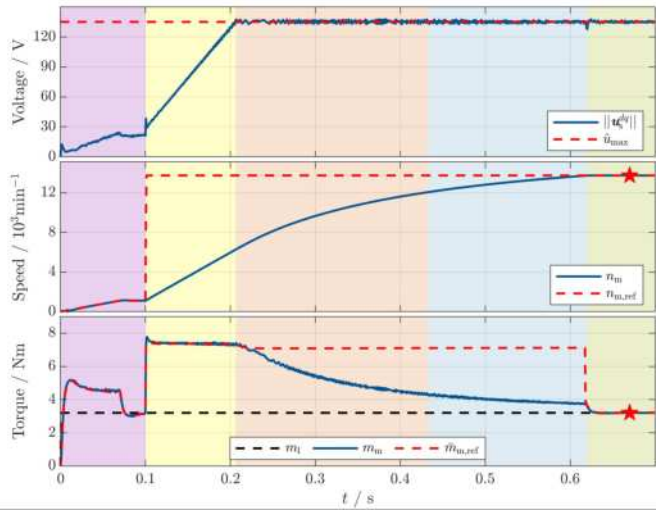
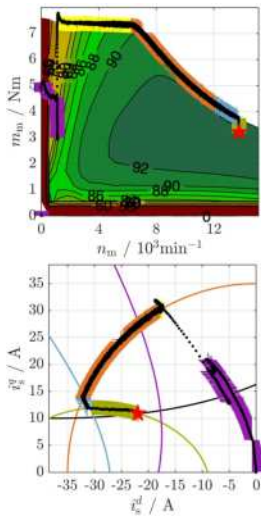
Optimal operation: OFTC with analytical ORCC

Implementation results: Nonlinear maps of torque, flux linkages, differential inductances and iron resistance of IPMSM (3.9 kW@5 500 rpm)



Optimal operation: OFTC with analytical ORCC

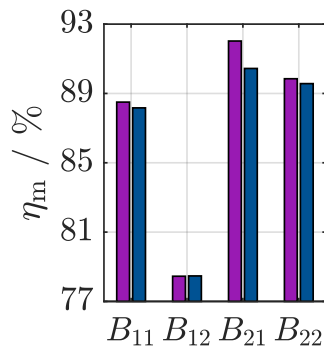
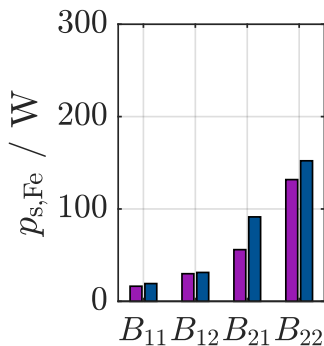
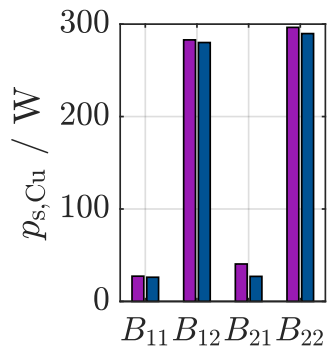
Implementation results: Animation of optimal operation of IPMSM (3.9 kW@5 500 rpm)



- MTPL [purple]
- MC_{ext} [yellow]
- MC [orange]
- MTPV [blue]
- FW [green]

Optimal operation: OFTC with analytical ORCC

Implementation results: Comparison of MTPL [■] & MTPC [■] for **four** operating points (OPs) of IPMSM (3.9 kW@5 500 rpm)



OP	Speed	Torque
B_{11}	30% $n_{m,R}$	30% $m_{m,R}$
B_{12}	30% $n_{m,R}$	100% $m_{m,R}$
B_{21}	100% $n_{m,R}$	30% $m_{m,R}$
B_{22}	100% $n_{m,R}$	100% $m_{m,R}$

- Copper losses increase with torque
- Iron losses increase with speed *and* torque
- MTPL outperforms MTPC for three OPs (equal for B_{12})
- highest efficiency enhancement for high speeds and low(er) torque (i.e., B_{21} with $\Delta\eta_m > 2\%$)

7 Optimal operation

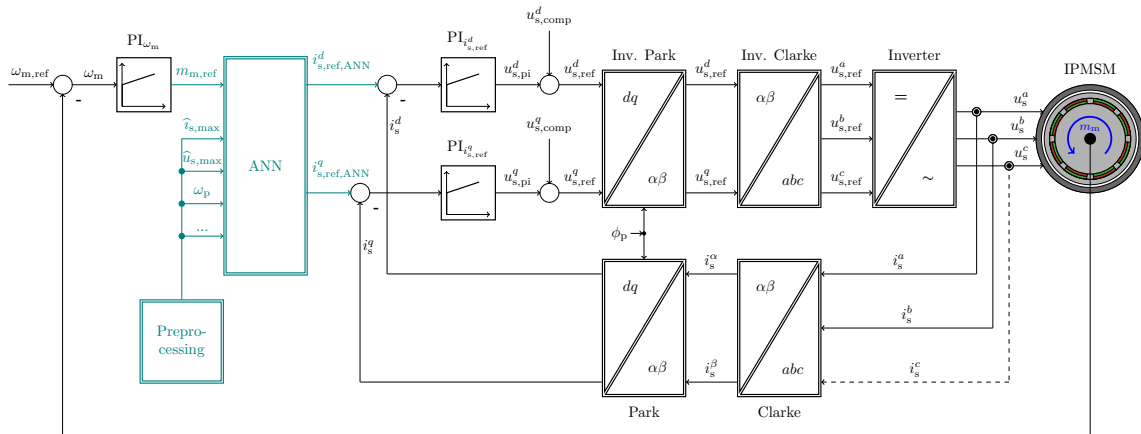
- Motivation
- Problem statement and proposed solution
- OFTC with analytical ORCC
 - Sequential Quadratic Programming (SQP)
 - Operation strategies
 - Operation management
 - Implementation results
- OFTC with ANN-based ORCC
 - Overview
 - Artificial Neural Network Design
 - Implementation results

7 Optimal operation

- Motivation
- Problem statement and proposed solution
- OFTC with analytical ORCC
- OFTC with ANN-based ORCC
 - Overview
 - Artificial Neural Network Design
 - Implementation results

Optimal operation: OFTC with ANN-based ORCC

Overview: OFTC with Artificial Neural Network (ANN)-based ORCC within the control system

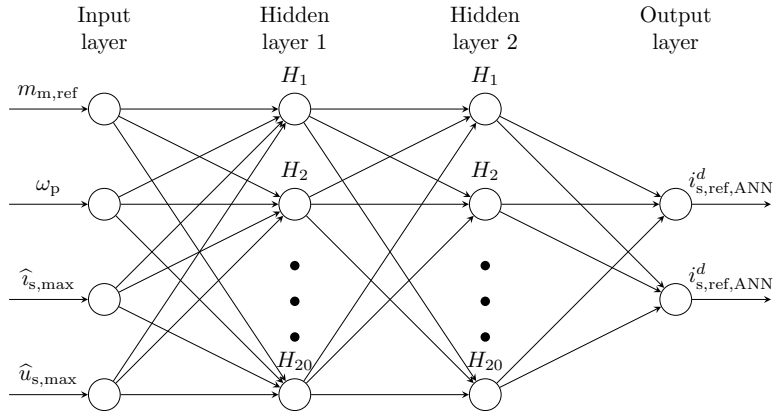


7 Optimal operation

- Motivation
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- OFTC with analytical ORCC
- OFTC with ANN-based ORCC
 - Overview
 - Artificial Neural Network Design
 - Implementation results

Optimal operation: OFTC with ANN-based ORCC

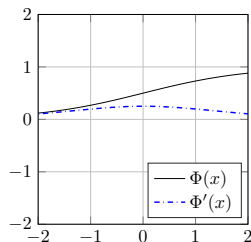
Artificial Neural Network Design: Used ANN topology



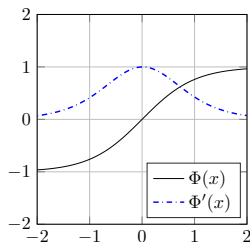
$$\hat{\mathbf{y}} = \begin{pmatrix} i_{ref,ANN}^d \\ i_{ref,ANN}^q \end{pmatrix} = \Phi_4 \left(\mathbf{W}_4 \Phi_3 \left(\mathbf{W}_3 \Phi_2 \left(\mathbf{W}_2 \Phi_1 \left(\underbrace{\mathbf{W}_1 \begin{pmatrix} m_{m,ref} \\ \omega_p \\ \hat{i}_{s,max} \\ \hat{u}_{s,max} \end{pmatrix}}_{=: \mathbf{x}} + \mathbf{b}_1 \right) + \mathbf{b}_2 \right) \right) + \mathbf{b}_3 \right) + \mathbf{b}_4 \right).$$

Optimal operation: OFTC with ANN-based ORCC

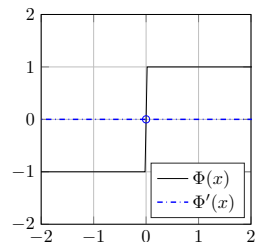
Artificial Neural Network Design: Possible and used ANN activation function(s)



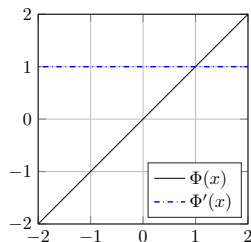
(a) Sigmoid.



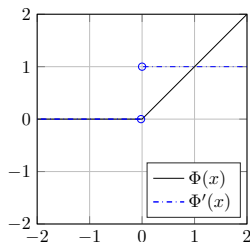
(b) Tangens hyperbolicus.



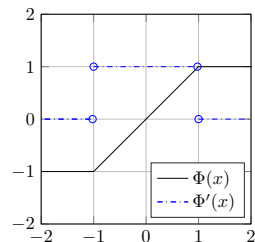
(c) Signum (sign).



(d) Identity.



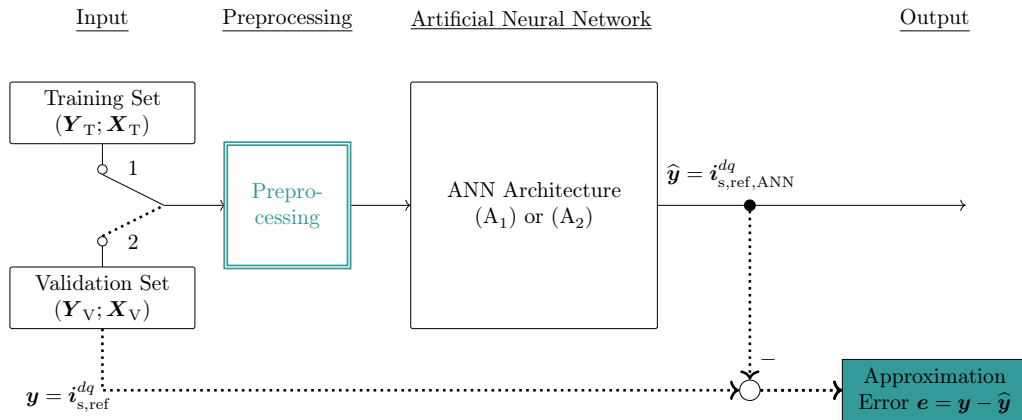
(e) Rectified Linear Unit (ReLU).



(f) Saturation.

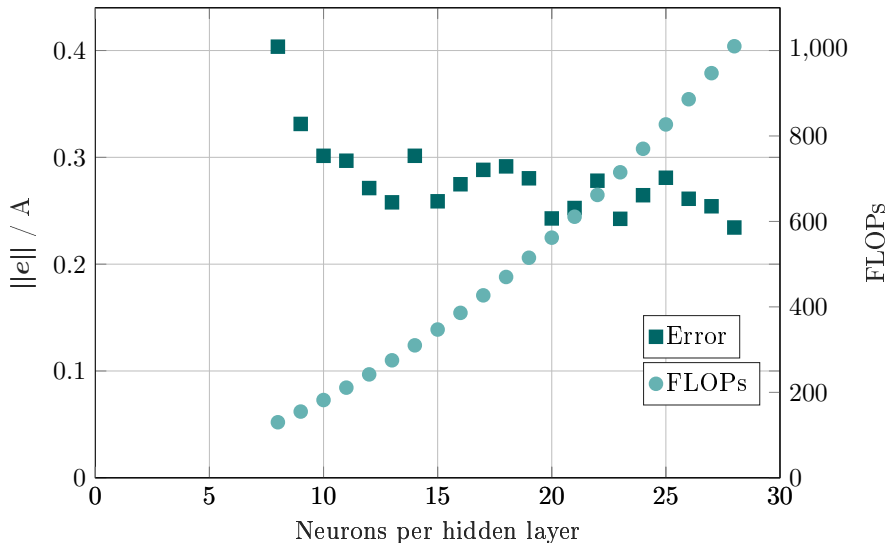
Optimal operation: OFTC with ANN-based ORCC

Artificial Neural Network Design: ANN training and validation



Optimal operation: OFTC with ANN-based ORCC

Artificial Neural Network Design: Estimation accuracy (norm of estimation error) versus floating point operations

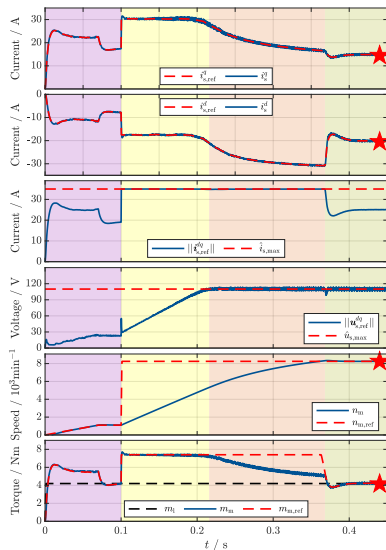


7 Optimal operation

- Motivation
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 - Overview
 - Artificial Neural Network Design
 - Implementation results

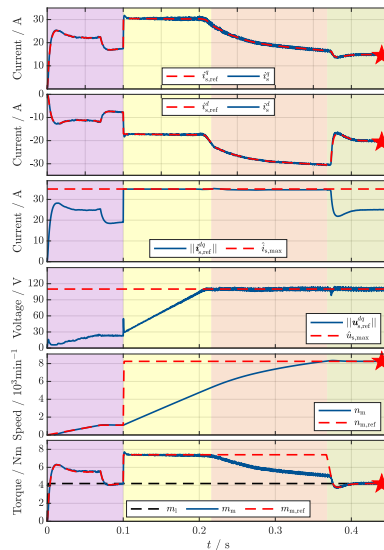
Optimal operation: OFTC with ANN-based ORCC

Implementation results: Time series



(a) OFTC with analytical ORCC (OFTC_{ANA}).

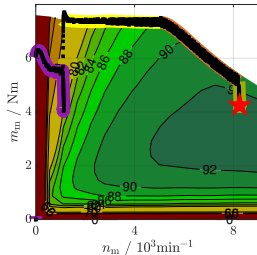
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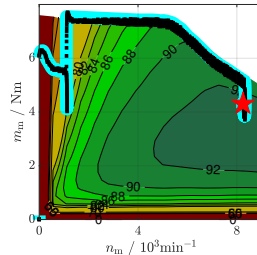
(b) ANN-based OFTC (OFTC_{ANN}).

Optimal operation: OFTC with ANN-based ORCC

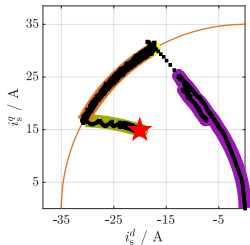
Implementation results: Speed-torque map



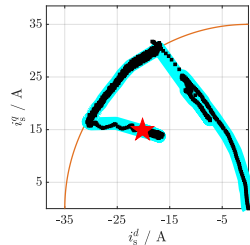
(a) Speed-torque map (OFTC_{ANA}).



(b) Speed-torque map (OFTC_{ANN}).



(c) Current locus (OFTC_{ANA}).



(d) Current locus (OFTC_{ANN}).

Optimal operation: OFTC with ANN-based ORCC

Implementation results: Performance comparison and execution times

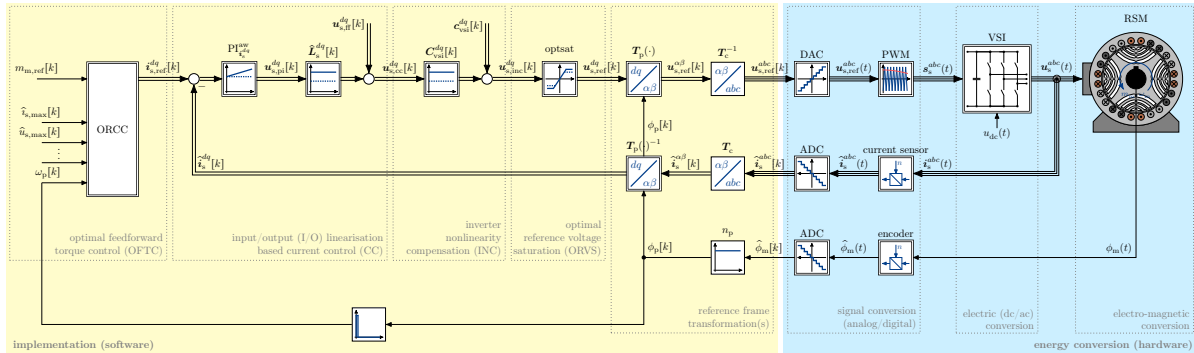
Performance measure	$\mathbf{X=OFTC}_{\text{LUT}}$	$\mathbf{X=OFTC}_{\text{NUM}}$	$\mathbf{X=OFTC}_{\text{ANA}}$	$\mathbf{X=OFTC}_{\text{ANN}}$
$\int i_{s,\text{ref},\text{OFTC}_{\text{NLP}}}^d - i_{s,\text{ref},\text{X}}^d dt$	0.176 As	0.186 As	0.384 As	0.227 As
$\int i_{s,\text{ref},\text{OFTC}_{\text{NLP}}}^q - i_{s,\text{ref},\text{X}}^q dt$	0.195 As	0.207 As	0.256 As	0.213 As
$\int m_{\text{ref},\text{X}} - m_{\text{m}} dt$	0.018 Nms	0.017 Nms	0.026 Nms	0.020 Nms
$\int \hat{u}_{s,\text{max}} - \ \mathbf{u}_{s,\text{ref},\text{X}}^{dq}\ dt$	0.445 Vs	0.446 Vs	0.454 Vs	0.459 Vs
$\int \hat{i}_{s,\text{max}} - \ \mathbf{i}_{s,\text{ref},\text{X}}^{dq}\ dt$	0.010 As	0.000 As	0.000 As	0.059 As
$\int n_{\text{m},\text{ref}} - n_{\text{m},\text{X}} dt$	$752.4 \frac{\text{s}}{\text{min}}$	$750.9 \frac{\text{s}}{\text{min}}$	$752.6 \frac{\text{s}}{\text{min}}$	$757.8 \frac{\text{s}}{\text{min}}$
$\bar{t}_{\text{exec},\text{X}}$	2 734.782 μs	448.745 μs	439.671 μs	5.855 μs

Outline

- 1 Introduction
- 2 Motivation
- 3 Preliminaries
- 4 Nonlinear modelling
- 5 Dynamic simulation
- 6 High-performance control
- 7 Optimal operation
- 8 Conclusion

Conclusion

Summary and future work



To take home

- **Generic** approach to modern electrical drive systems
 - **Nonlinear modelling**
 - **Dynamic simulation**
 - **High-performance control**
 - **Optimal operation**

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Future work

- more extensive experimental validation
- impact of (parameter/modelling/alignment) uncertainties
- new book series **“Moderne elektrische Antriebe”** with Springer in preparation (first volume to appear in 2025)



Article

Artificial Neural Network Based Optimal Feedforward Torque Control of Interior Permanent Magnet Synchronous Machines: A Feasibility Study and Comparison with the State-of-the-Art

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[†] These authors contributed equally to this work.

Abstract: A novel Artificial Neural Network (ANN) Based Optimal Feedforward Torque Control (OFTC) strategy is proposed which, after proper ANN design, training and validation, allows to analytically compute the optimal reference currents (minimizing copper and iron losses) for Interior Permanent Magnet Synchronous Machines (IPMSMs) with highly operating point dependent nonlinear electric and magnetic characteristics. In contrast to conventional OFTC, which either utilizes large look-up tables (LUTs; with more than three input parameters) or computes the optimal reference currents numerically or analytically but iteratively (due to the necessary online linearization), the proposed ANN-based OFTC strategy does not require iterations nor a decision tree to find the optimal operation strategy such as e.g., Maximum Torque per Losses (MTPL), Maximum Current (MC) or Field Weakening (FW). Therefore, it is (much) faster and easier to implement while (i) still



Citation: Buettner, M.A.; Monzen, N.; Hackl, C.M. Artificial Neural Network Based Optimal Feedforward Torque Control of Interior Permanent Magnet Synchronous Machines: A Feasibility Study and Comparison with the State-of-the-Art. *Energies* 2022, 15, 1838. <https://doi.org/10.3390/en15051838>

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Journal article [105] (published 2017; doi: [10.1080/00207179.2017.1338359](https://doi.org/10.1080/00207179.2017.1338359))

INTERNATIONAL JOURNAL OF CONTROL, 2017
<https://doi.org/10.1080/00207179.2017.1338359>



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A unified theory for optimal feedforward torque control of anisotropic synchronous machines

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ABSTRACT

A unified theory for optimal feedforward torque control of anisotropic synchronous machines with *non-negligible stator resistance* and *mutual inductance* is presented which allows to *analytically* compute (1) the optimal direct and quadrature reference currents for all operating strategies, such as maximum torque per current (MTPC), maximum current, field weakening, maximum torque per voltage (MTPV) or maximum torque per flux (MTPF), and (2) the transition points indicating when to switch between the operating strategies due to speed, voltage or current constraints. The analytical solutions allow for an (almost) instantaneous selection and computation of actual operation strategy and corresponding reference currents. Numerical methods (approximating these solutions only) are *no longer* required. The unified theory is based on one simple idea: all optimisation problems, their respective constraints and the computation of the intersection point(s) of voltage ellipse, current circle or torque, MTPC, MTPV, MTPF hyperbolas are reformulated implicitly as *quadratics* which allows to invoke the Lagrangian formalism and to find the roots of fourth-order polynomials analytically. The proposed theory is suitable for any anisotropic synchronous machine. Implementation and measurement results illustrate effectiveness and applicability of the theoretical findings in real world.

ARTICLE HISTORY

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KEYWORDS

Maximum torque per ampere (MTPA); maximum torque per current (MTPC); maximum torque per voltage (MTPV); maximum torque per flux (MTPF); maximum current (MC); field weakening (FW); analytical solution; optimal feedforward torque control; efficiency; copper losses; anisotropy; synchronous machine; interior

Generic loss minimization for nonlinear synchronous machines by analytical computation of optimal reference currents considering copper and iron losses

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Abstract—The unified theory introduced in [1] allows to solve *analytically* the optimal feedforward torque control (OFTC) problem of anisotropic synchronous machines (SMs). In this paper, the theory is extended by considering relevant machine nonlinearities and incorporating copper and iron losses, thus minimizing the overall (steady-state) losses in the machine. Instead of the well known maximum torque per current (MTPC) operation strategy, *maximum torque per losses (MTPL)* is realized. The unified theory for the derivation of the analytical solution is briefly recapitulated. Moreover, current and speed dependent iron losses, as well as magnetic saturation and cross-coupling effects are considered. The resulting nonlinear optimization problem is solved via online linearization of the relevant expressions.

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for synchronous machines (SMs) with anisotropic rotor designs, e.g. interior permanent magnet synchronous machines (IPMSMs), reluctance synchronous machines (RSMs) or PM-assisted RMSs (PMA-RSMs), efficiency can be increased by optimal feedforward torque control (OFTC) [3, 4].

The main idea of OFTC is to exploit the ambiguity in the selection of the stator current's *direct* and *quadrature* components (producing the same amount of torque), such that losses are minimized while physical constraints are satisfied (e.g. current or voltage limits). Depending on the actual operating conditions, different optimization problems may be formulated

Optimal feedforward torque control for nonlinear induction machines considering stator & rotor copper losses and current & voltage limits

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Abstract—In order to *analytically* solve the optimal feedforward torque control (OFTC) problem of induction machines (IMs), the unified theory for synchronous machine introduced in [1] is extended by considering relevant IM *nonlinearities* and incorporating stator and rotor copper losses. Instead of the well known *Maximum Torque per (stator) Current* (MTPC) operation strategy, *Maximum Torque per (copper) Losses* (MTPL_{Cu}) is realized and extended by the *Maximum (rotor) Current* (MC_{r, ext}) strategy due to stator and rotor current limitations. Modeling magnetic saturation and cross-coupling effects leads to a constrained *nonlinear* optimization problem which is solved based on the idea of *sequential quadratic programming* (SQP). The second order Taylor approximations are formulated in implicit

generic approach can also be applied to other types of inverter-fed IMs, such as squirrel-cage induction machines (SCIMs).

The highest priority of the OFTC is to provide the reference torque while minimizing losses. In a wide operating range, there usually exist (infinitely many) different combinations of reference currents resulting in the same torque. Thus, an optimal reference current computation (ORCC) is desirable which minimizes the current-dependent IM losses while reaching the reference torque and taking into account operating (e.g. current & voltage) limits. To do so, this paper proposes a physics-based nonlinear IM model for analytical ORCC.

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References

Chapter 6.9 in [175] (published in 2020 in Schröder “Elektrische Antriebssysteme”; doi: [10.1007/978-3-662-62700-6](https://doi.org/10.1007/978-3-662-62700-6))

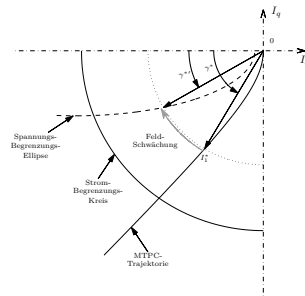
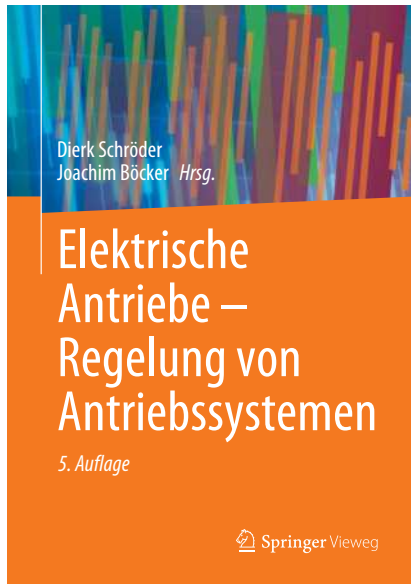


Abb. 6.101 Wirkungsweise der Feldschwächregelung mit Spannungsrückkopplung

6.9 Optimale Betriebsführung von nichtlinearen Synchronmaschinen

C. M. Hackl, J. Kullick, N. Monzen

Der folgende Abschnitt basiert auf den Publikationen [HH16; Eld+16; EHK16; Eld+17b; Hac+17; Eld+17a]. Darin wurde die erste allgemeine Theorie zur analytischen Berechnung der optimalen (verlustminimierenden) Sollströme für anisotrope Synchronmaschinen mit konstanter Erregung vorgestellt. Die weithin verbreiteten und vereinfachten Annahmen wie z.B. die Vernachlässigung des Statorwiderstandes oder der magnetischen Kreuzkopplung konnten aufgehoben werden. Zusätzlich erlaubt die Theorie die Berücksichtigung von Eisenverlusten und Nicht-

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Monograph [46] (published May 2017; doi: [10.1007/978-3-319-55036-7](https://doi.org/10.1007/978-3-319-55036-7))



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