

Hochschule
München
University of
Applied Sciences

Faculty of Electrical
Engineering and Information
Technology (FK04)

Institute for Sustainable
Energy Systems (ISES)

Artificial Intelligence for electrical drive systems

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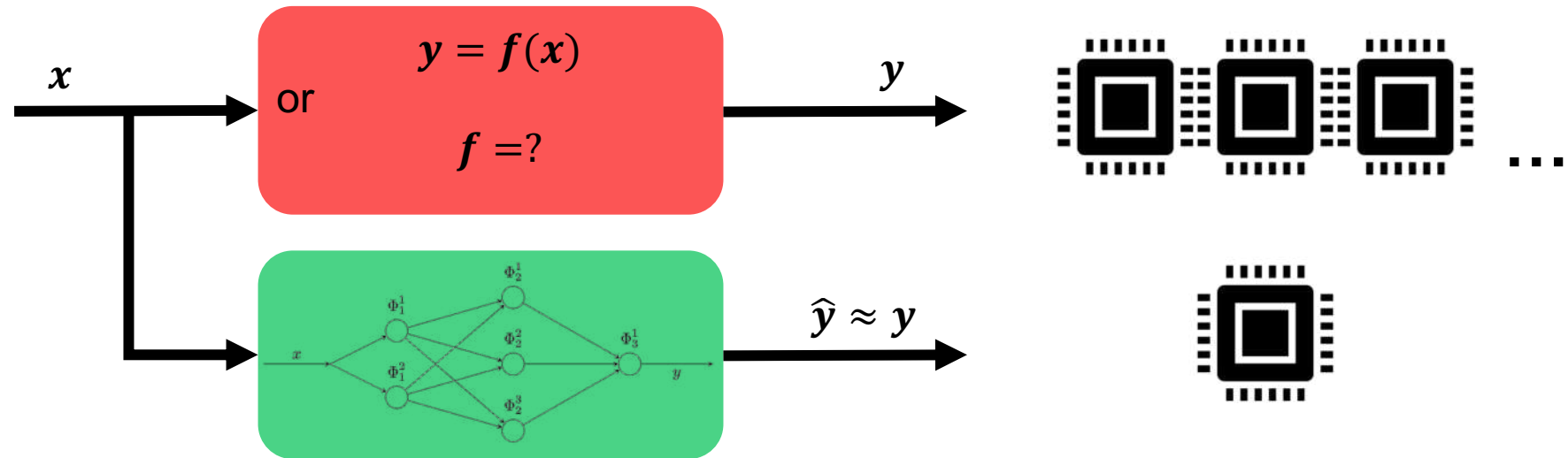
Agenda

- Motivation & applications
- Framework for design of ANN-based approaches for electrical drive systems
- Hands-on example 1: System identification
- Hands-on example 2: ANN-based Maximum Torque per Losses (MTPL)
- Hands-on example 3: ANN-based Optimal Feedforward Torque Control (OFTC) of an IPMSM

Motivation

Reasons for Artificial Intelligence for electrical drive systems

- Problem:
 - Machine's nonlinearities lead to iterative and computationally intensive algorithms



- Idea:
 - Use ANNs as approximation (interpolation and extrapolation)
 - Fixed and feasible execution time
 - Acceptable storage requirement

Motivation

ANN-based OFTC for IPMSM



Article

Artificial Neural Network Based Optimal Feedforward Torque Control of Interior Permanent Magnet Synchronous Machines: A Feasibility Study and Comparison with the State-of-the-Art

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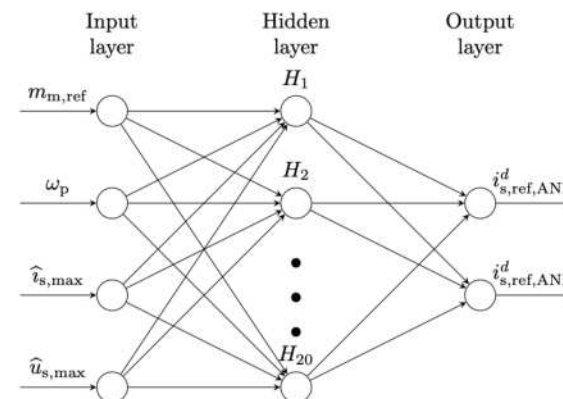
Abstract: A novel Artificial Neural Network (ANN) Based Optimal Feedforward Torque Control (OFTC) strategy is proposed which, after proper ANN design, training and validation, allows to analytically compute the optimal reference currents (minimizing copper and iron losses) for Interior Permanent Magnet Synchronous Machines (IPMSMs) with highly operating point dependent nonlinear electric and magnetic characteristics. In contrast to conventional OFTC, which either utilizes large look-up tables (LUTs; with more than three input parameters) or computes the optimal reference currents numerically or analytically but iteratively (due to the necessary online linearization), the proposed ANN-based OFTC strategy does not require iterations nor a decision tree to find the optimal operation strategy such as e.g., Maximum Torque per Losses (MTPL), Maximum Current (MC) or Field Weakening (FW). Therefore, it is (much) faster and easier to implement while (i) still machine nonlinearities and nonidealities such as e.g., magnetic cross-coupling and saturation and speed-dependent iron losses can be considered and (ii) very accurate optimal reference currents are obtained. Comprehensive simulation results for a real and highly nonlinear IPMSM clearly show these benefits of the proposed ANN-based OFTC approach compared to conventional OFTC strategies using LUT-based, numerical or analytical computation of the reference currents.

Keywords: electrical drive control system; operation management; optimal feedforward torque control; optimal reference current computation; transformer-like nonlinear machine model; artificial neural network; synchronous motor; interior permanent magnet synchronous machine; machine learning

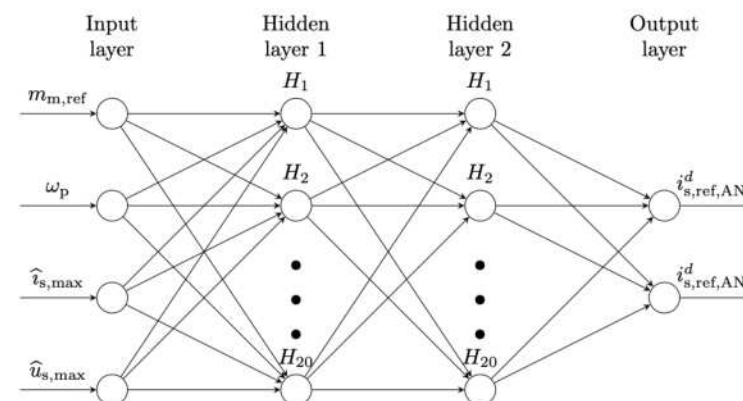


Citation: Buettner, M.A.; Monzen, N.; Hackl, C.M. Artificial Neural Network Based Optimal Feedforward Torque Control of Interior Permanent Magnet Synchronous Machines: A Feasibility Study and Comparison with the State-of-the-Art. *Energies* **2022**, *15*, 1838. <https://doi.org/10.3390/en15051838>

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(a) Architecture (A₁).

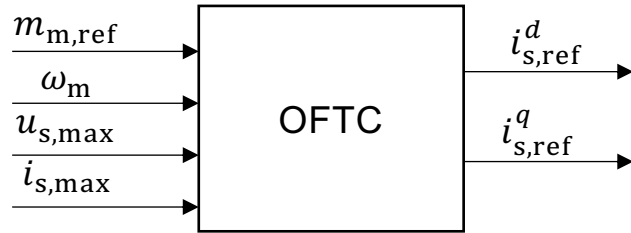


(b) Architecture (A₂).

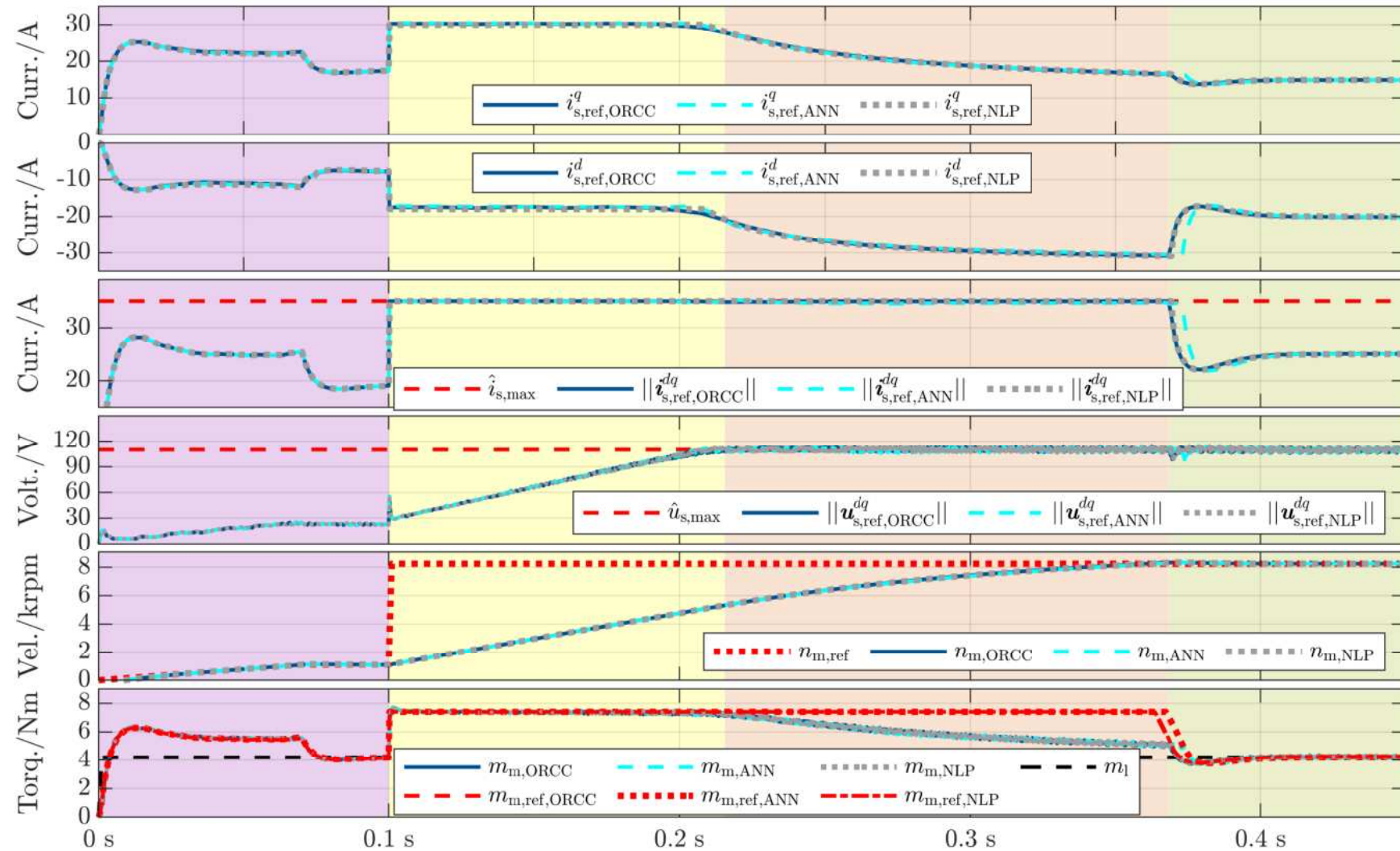
Figure 9. Illustration of the two implemented ANN architectures: Each architecture has four in layer neurons and two output layer neurons; Architecture (A₁) has one hidden layer, while Architecture (A₂) has two hidden layers with 20 hidden layer neurons each.

Motivation

ANN-based OFTC for IPMSM



- ✅ OFTC for IPMSM feasible, considering
 - Nonlinear flux linkages
 - Copper losses and nonlinear iron losses
 - Voltage and current limits
- ! Only a few and simple ANN architectures trained
- Execution time reduced from 440 μs (MTPX) to 6 μs



Performance measure	X=OFTC _{LUT}	X=OFTC _{NUM}	X=OFTC _{ANA}	X=OFTC _{ANN}
$\bar{t}_{exec,X}$	2 734.782 μs	448.745 μs	439.671 μs	5.855 μs

Motivation

ANN-based OFTC for EESM

Artificial neural network based optimal feedforward torque control of electrically excited synchronous machines

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Abstract—An Artificial Neural Network (ANN) based Optimal Feedforward Torque Control (OFTC) strategy for electrically excited synchronous machines (EESMs) is proposed. After design, data set creation, training and validation of the ANN, the analytical computation of the optimal stator and exciter currents is achieved which allows to minimize copper and iron losses and to produce the desired (or maximally feasible) machine torque. Voltage and currents constraints of stator and exciter are considered as well. In contrast to conventional OFTC, the proposed ANN-based OFTC strategy does not require iterations nor a decision tree to find the optimal current triple while machine nonlinearities, magnetic cross-coupling, saturation and speed-dependent iron losses are taken into account. In addition, the proposed ANN design procedure allows to consider *measurable* OFTC goals and computational resources that ensures a real-time capable implementation. Comprehensive simulation results for a real and nonlinear EESM clearly show these benefits by comparing the proposed ANN-based OFTC with results of a nonlinear optimization problem (NLP) solver (which cannot be used in real-time).

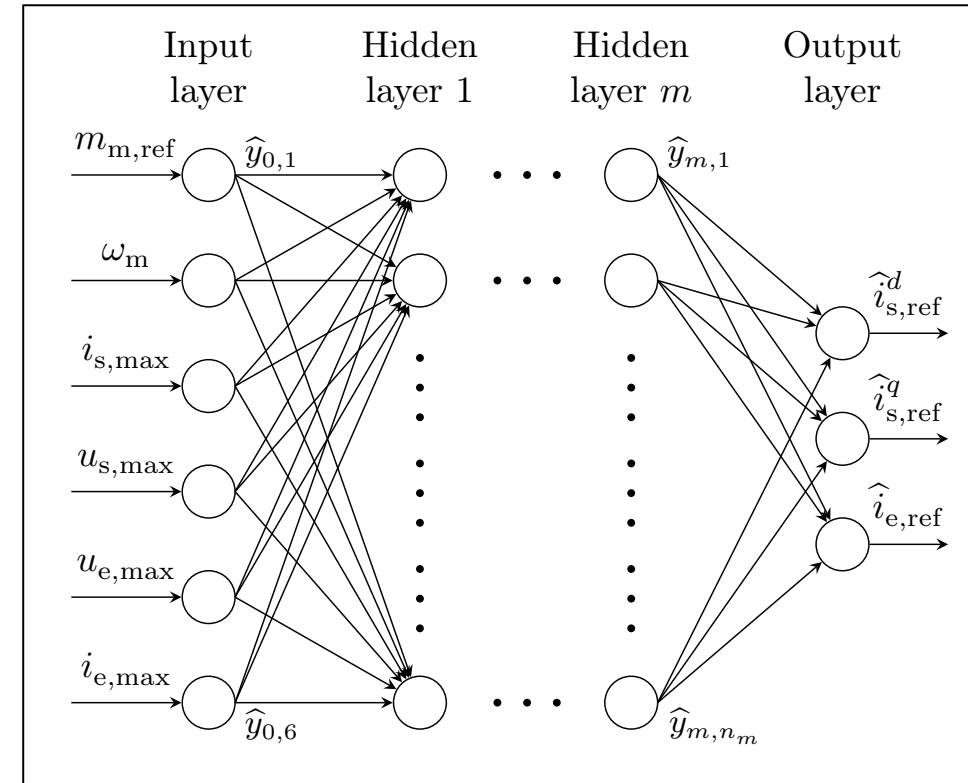
Index Terms—Artificial neural network (ANN), copper & iron losses, efficiency, electrically excited synchronous machine (EESM), machine learning, nonlinear machine model, optimal feedforward torque control (OFTC), optimal reference current computation, optimization.

a unified theory was presented that covers different operating strategies such as Maximum-Torque-per-Ampere (MTPA; or Maximum-Torque-per-Current (MTPC)), Field Weakening (FW), Maximum Current (MC) and Maximum-Torque-per-Voltage (MTPV) and allows to compute the optimal stator reference currents for RSM and PMSM analytically without simplifying assumptions on flux linkage nonlinearities or stator resistances. However, iron losses were neglected. This has been overcome by the extended analytical approach presented in [17, 24]. But EESMs, with additional exciter current, are not covered.

In [28–30] numerical identification and search algorithms are proposed as *offline* OFTC approaches for EESM that are not suitable for a real-time implementation.

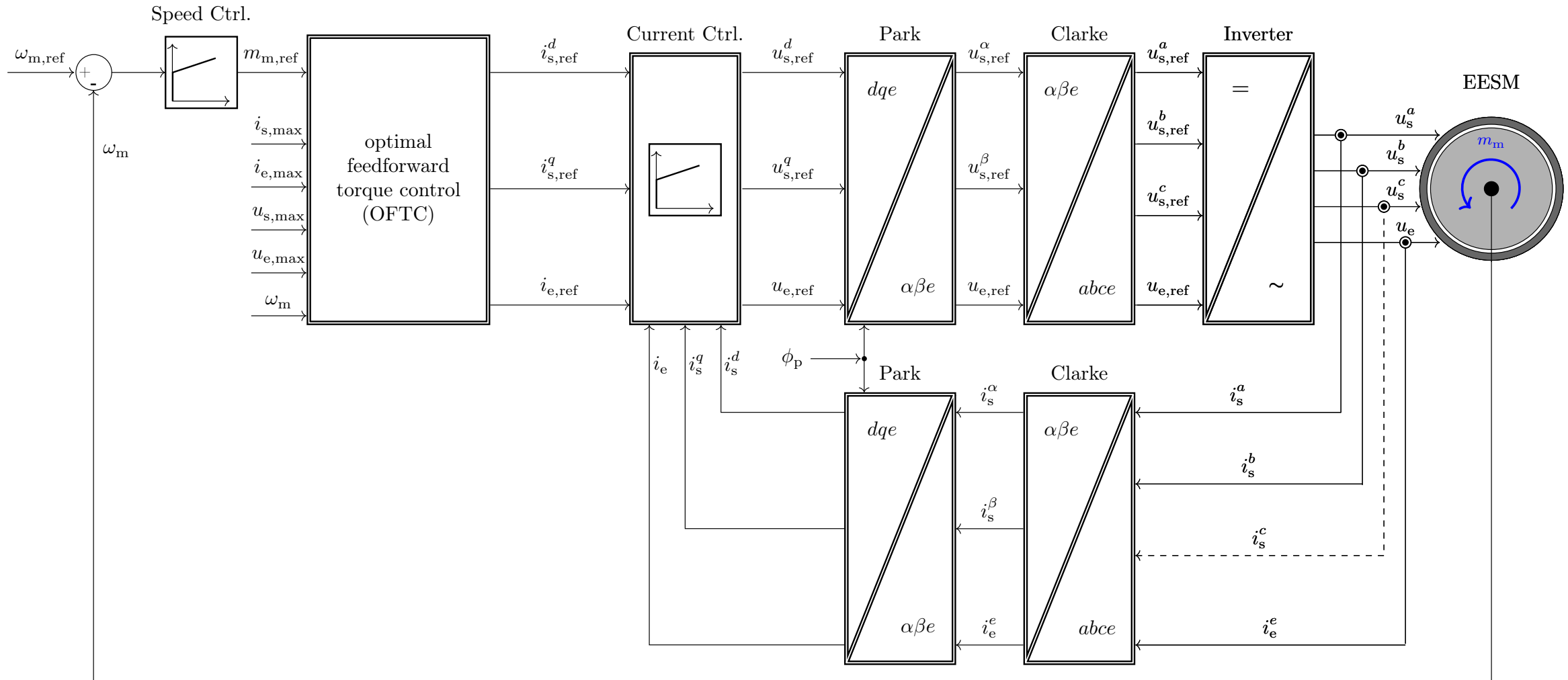
In [31], the MTPA problem for stator and exciter currents is solved by the use of a Lagrangian. However, electromagnetic cross-coupling and iron losses are not considered. Furthermore, the reference currents are computed offline and stored in look-up tables (LUTs) due to the computationally expensive solution of the MTPA problem.

In [32–36] online OFTC approaches are presented that are



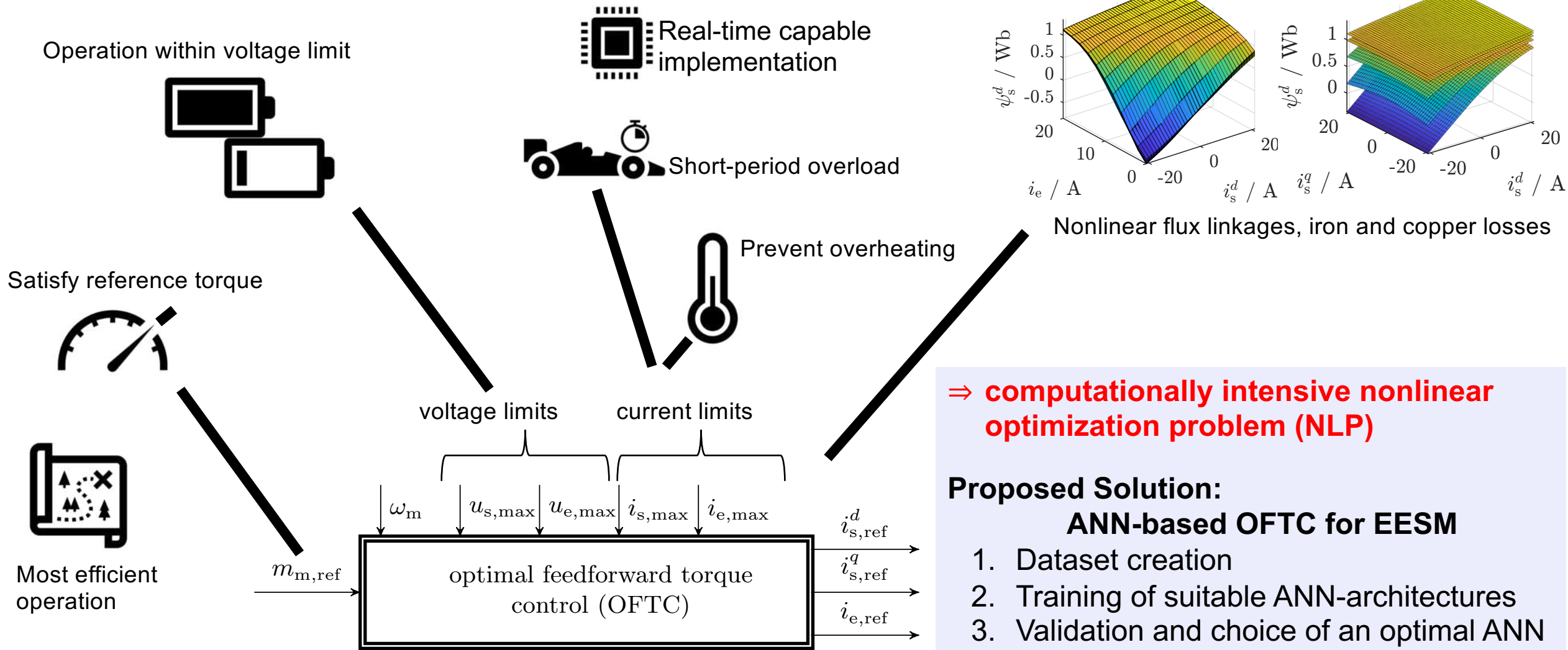
Motivation

Control of an electrically-excited synchronous machine (EESM) with optimal feedforward torque control (OFTC)



Motivation

ANN-based OFTC for EESM



ANN-based OFTC of EESM

Dataset creation

For the k -th input sample

$$\mathbf{x}[k] := (m_{m,\text{ref}}[k], \omega_m[k], u_{s,\text{max}}[k], i_{s,\text{max}}[k], u_{e,\text{max}}[k], i_{e,\text{max}}[k])^T$$

the corresponding output vector

$$\mathbf{y}[k] := (i_{s,\text{ref}}^d[k], i_{s,\text{ref}}^q[k], i_{e,\text{ref}}[k])^T$$

is the solution of the nonlinear optimization problems (NLP)

$$\mathbf{i}_{s,e,\text{ref}}^{dq}[k] = \arg \min_{\mathbf{i}_{s,e}^{dq}[k]} p_L(\mathbf{i}_{s,e}^{dq}[k])$$

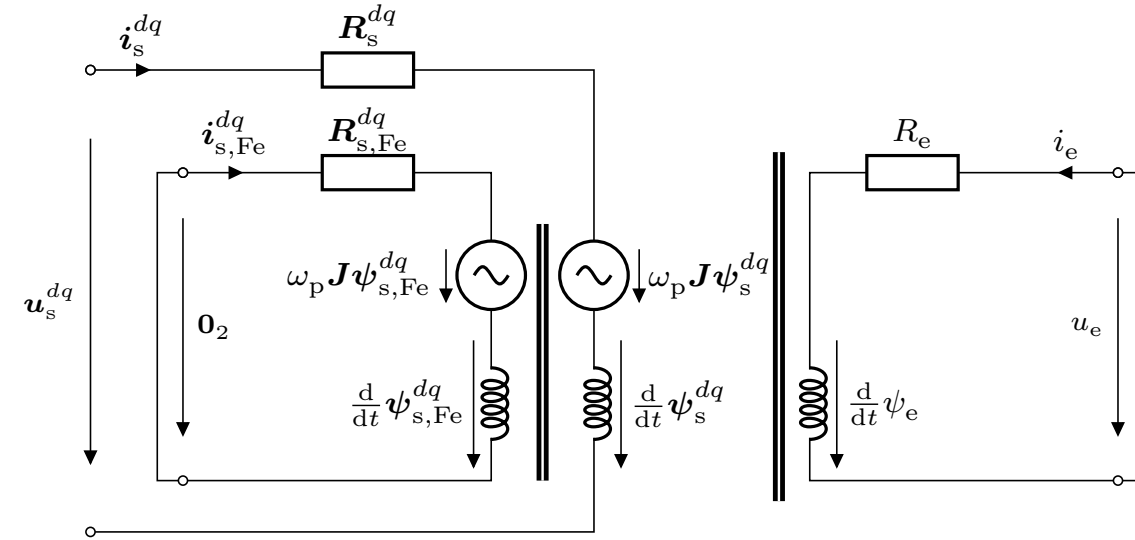
$$\begin{aligned} \text{s. t. } & \|\mathbf{u}_s^{dq}(\mathbf{i}_{s,e}^{dq}[k])\| \leq u_{s,\text{max}}[k], \\ & \|\mathbf{i}_s^{dq}(\mathbf{i}_{s,e}^{dq}[k])\| \leq i_{s,\text{max}}[k], \\ & |u_e(\mathbf{i}_{s,e}^{dq}[k])| \leq u_{e,\text{max}}[k], \\ & |i_e[k]| \leq i_{e,\text{max}}[k], \\ & m_m(\mathbf{i}_{s,e}^{dq}[k]) = \text{sat}_{m_{m,\text{max}}} m_{m,\text{ref}}[k] \end{aligned}$$

$$m_{m,\text{max}}[k] = \max_{\mathbf{i}_{s,e}^{dq}[k]} m_m(\mathbf{i}_{s,e}^{dq}[k])$$

$$\begin{aligned} \text{s. t. } & \|\mathbf{u}_s^{dq}(\mathbf{i}_{s,e}^{dq}[k])\| \leq u_{s,\text{max}}[k], \\ & \|\mathbf{i}_s^{dq}(\mathbf{i}_{s,e}^{dq}[k])\| \leq i_{s,\text{max}}[k], \\ & |u_e(\mathbf{i}_{s,e}^{dq}[k])| \leq u_{e,\text{max}}[k], \\ & |i_e[k]| \leq i_{e,\text{max}}[k]. \end{aligned}$$

→NLP can not be solved online in real-time!

→But offline to create a dataset with $k \in \{1, \dots, K\}$ samples.



$$\mathbf{u}_s^{dq} = \mathbf{R}_s^{dq} \mathbf{i}_s^{dq} + \omega_p \mathbf{J} \boldsymbol{\psi}_s^{dq} + \frac{d}{dt} \boldsymbol{\psi}_s^{dq}$$

$$u_e = R_e i_e + \frac{d}{dt} \psi_e$$

$$\mathbf{0}_2 = \mathbf{R}_{s,\text{Fe}}^{dq} \mathbf{i}_{s,\text{Fe}}^{dq} + \omega_p \mathbf{J} \boldsymbol{\psi}_s^{dq} + \frac{d}{dt} \boldsymbol{\psi}_s^{dq}$$

$$p_L = \underbrace{\frac{2}{3\kappa^2} (\mathbf{i}_s^{dq})^T \mathbf{R}_s^{dq} \mathbf{i}_s^{dq}}_{\text{copper losses}} + \underbrace{R_e i_e^2 + \frac{2}{3\kappa^2} (\mathbf{i}_{s,\text{Fe}}^{dq})^T \mathbf{R}_{s,\text{Fe}}^{dq} \mathbf{i}_{s,\text{Fe}}^{dq}}_{\text{iron losses}}$$

$$m_m = \frac{2n_p}{3\kappa^2} (\mathbf{i}_s^{dq} + \mathbf{i}_{s,\text{Fe}}^{dq})^T \mathbf{J} \boldsymbol{\psi}_s^{dq}$$

ANN-based OFTC of EESM

ANN Training

Neuron's output (i -th neuron of the j -th layer):

$$\hat{y}_{j,i} = \Phi_{j,i}(\mathbf{w}_{j,i}^\top \mathbf{x}_j + b_{j,i}) \in \mathbb{R}$$

Layer's output (j -th layer):

$$\hat{\mathbf{y}}_j = \begin{pmatrix} \hat{y}_{j,1} \\ \vdots \\ \hat{y}_{j,n_j} \end{pmatrix} = \begin{pmatrix} \Phi_{j,1}(\mathbf{w}_{j,1}^\top \mathbf{x}_j + b_{j,1}) \\ \vdots \\ \Phi_{j,n_j}(\mathbf{w}_{j,n_j}^\top \mathbf{x}_j + b_{j,n_j}) \end{pmatrix} \in \mathbb{R}^{n_j}$$

ANN output:

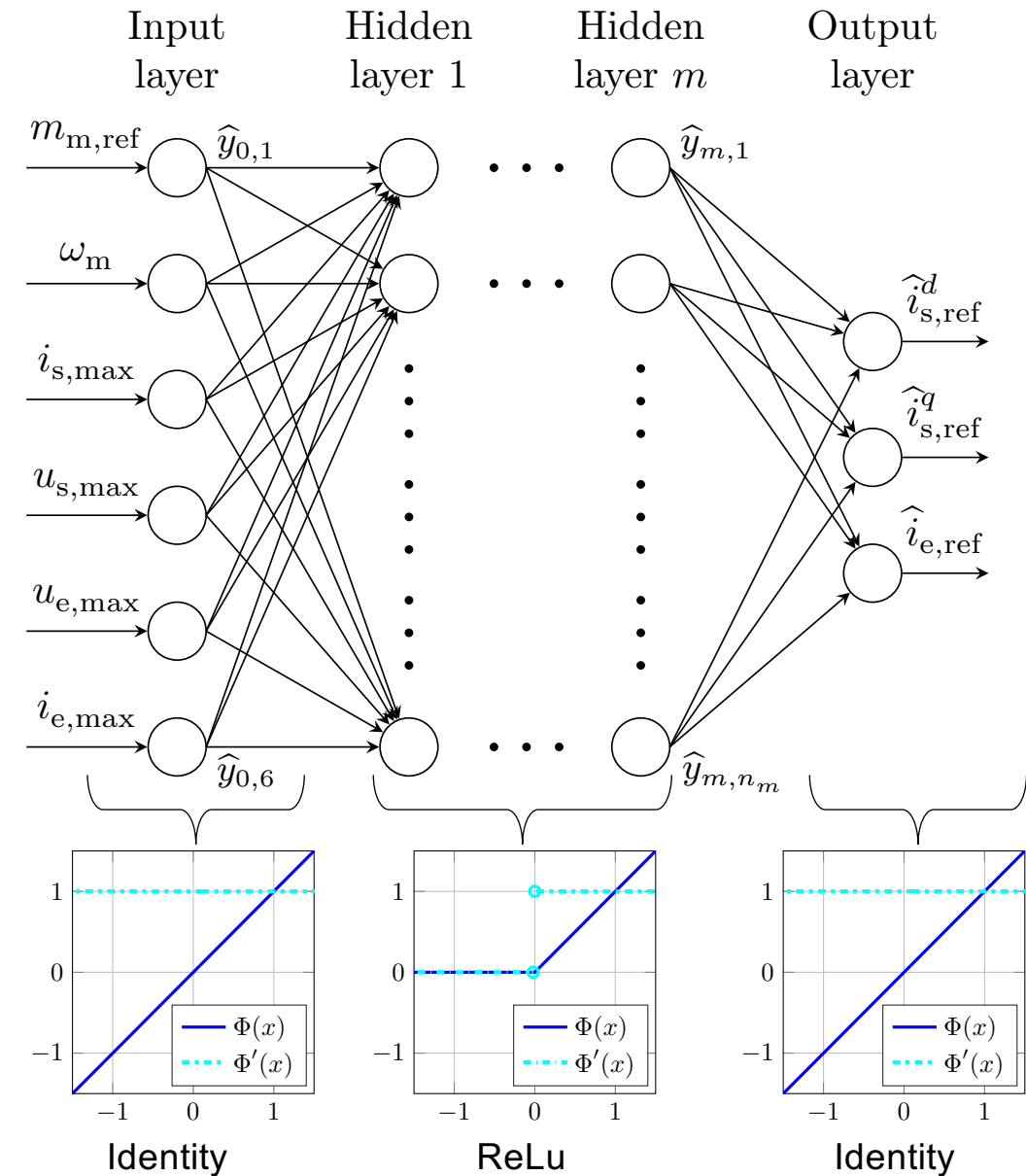
$$\hat{\mathbf{y}}[k] := (\hat{i}_{s,\text{ref}}^d[k], \hat{i}_{s,\text{ref}}^q[k], \hat{i}_{e,\text{ref}}[k])^\top = f(\mathbf{x}, \mathbf{w}, \mathbf{b})$$

Training:

$$(\mathbf{w}^*, \mathbf{b}^*) = \arg \min_{(\mathbf{w}, \mathbf{b})} \frac{1}{K} \sum_{k=1}^K \|\mathbf{y}[k] - \hat{\mathbf{y}}[k]\|^2$$

Different ANN architectures can be trained

→ Which one is the *best* trained ANN?



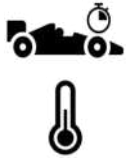
ANN-based OFTC of EESM

Choice and validation of an optimal ANN design



$$e_{u_s} = \frac{1}{K} \sum_{k=1}^K \max(0, \|\hat{u}_s^{dq}[k]\| - u_{s,\max}[k])^2$$

$$e_{u_e} = \frac{1}{K} \sum_{k=1}^K \max(0, |u_e[k]| - u_{e,\max}[k])^2$$



$$e_{i_s} = \frac{1}{K} \sum_{k=1}^K \max(0, \|\hat{i}_s^{dq}[k]\| - i_{s,\max}[k])^2$$

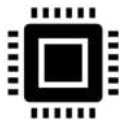
$$e_{i_e} = \frac{1}{K} \sum_{k=1}^K \max(0, |i_e[k]| - i_{e,\max}[k])^2$$



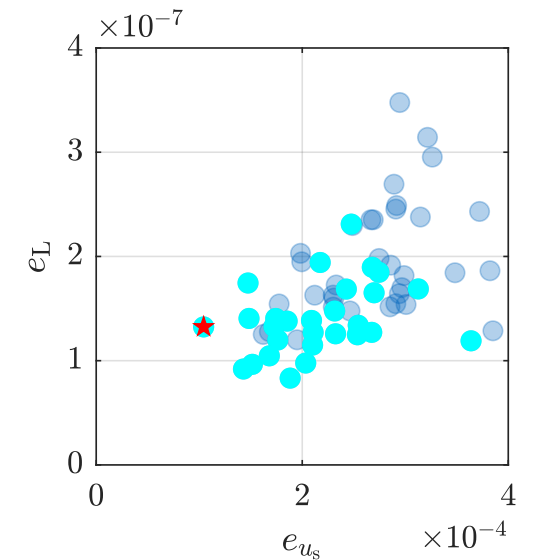
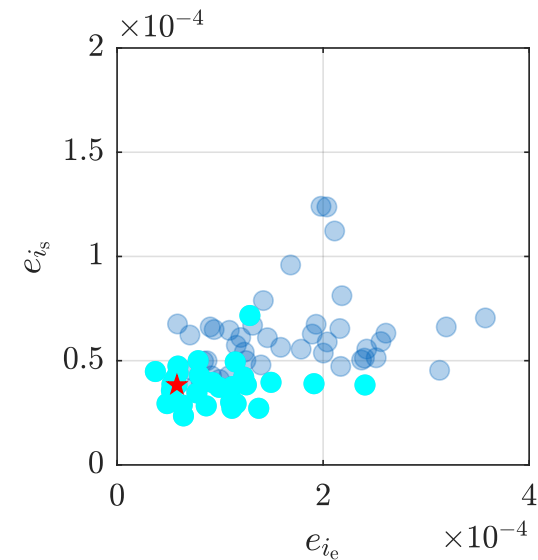
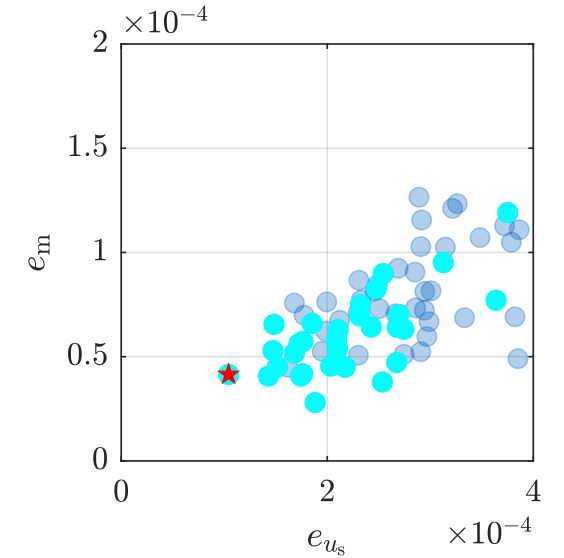
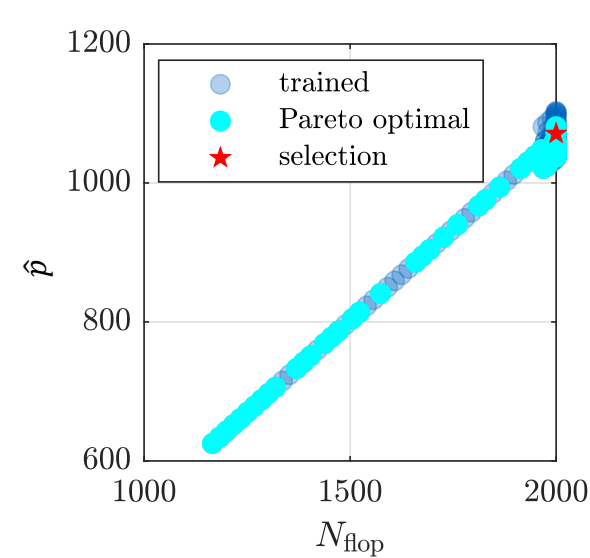
$$e_m = \frac{1}{K} \sum_{k=1}^K \left(\hat{m}_m[k] - \text{sat}_{m_{m,\max}} m_{m,\text{ref}}[k] \right)^2$$



$$e_L = \frac{1}{K} \sum_{k=1}^K (\hat{p}_L[k] - p_L[k])^2$$

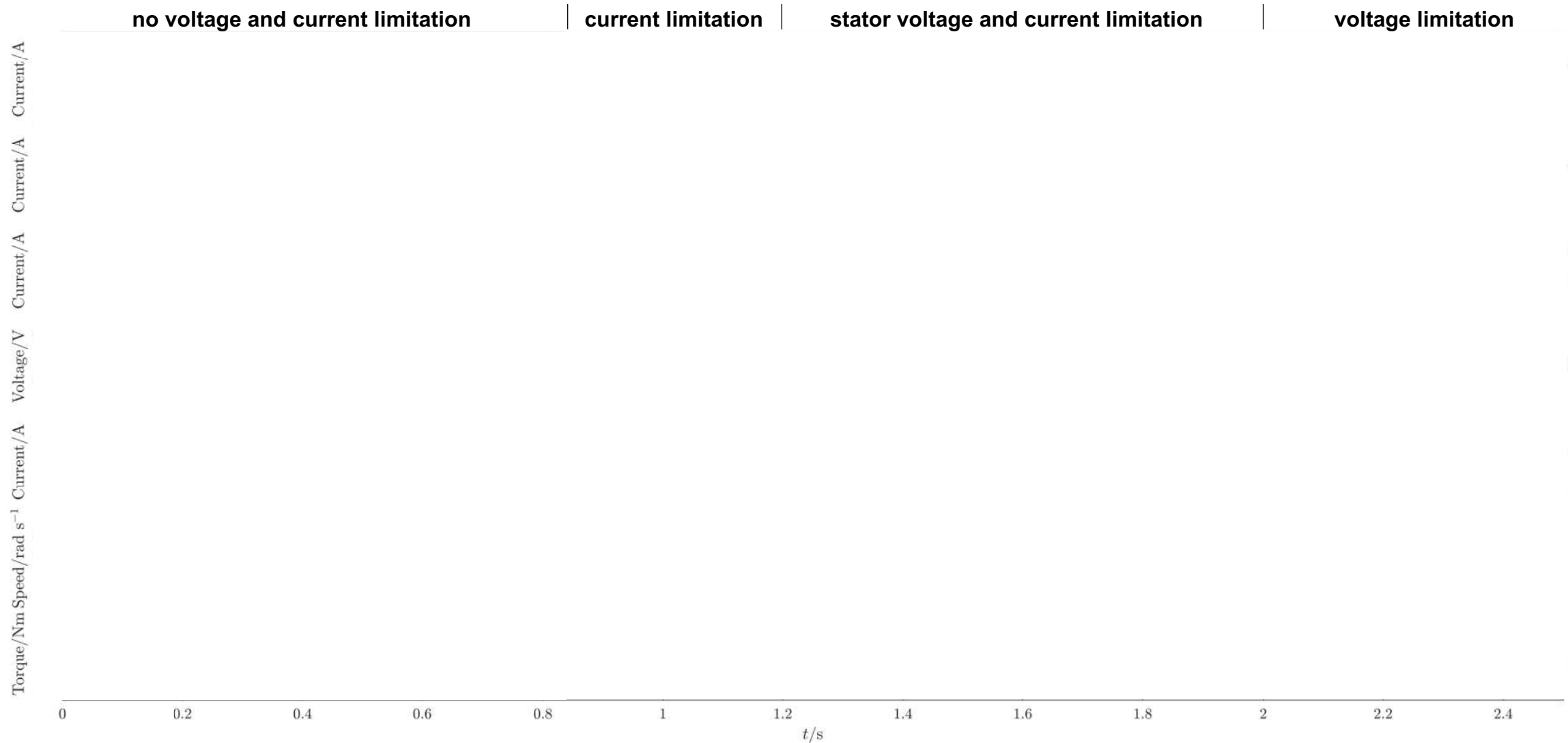


Number of parameters: $\hat{p}$
Number of floating-point-operations: N_{flop}



ANN-based OFTC of EESM

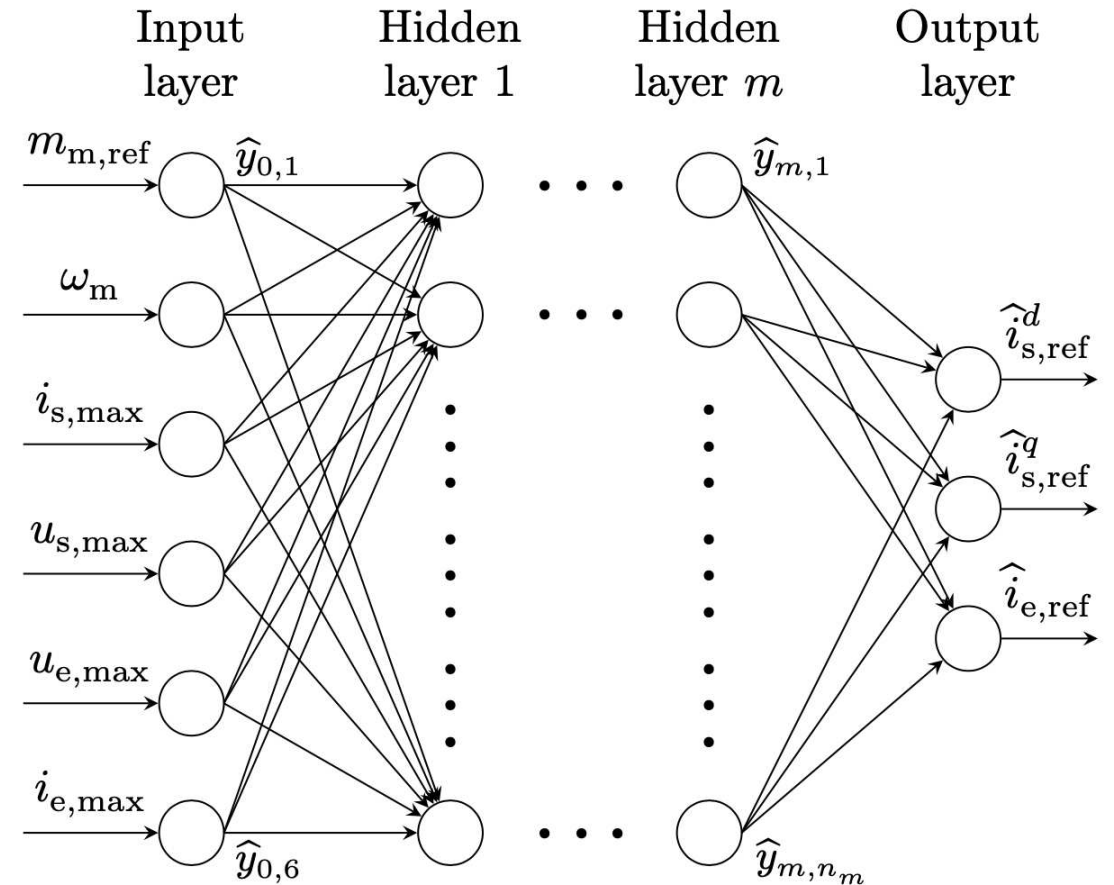
Simulation results



Motivation

ANN-based OFTC for EESM

-  ANN-based OFTC for EESM feasible, considering
 - machine nonlinearities, Iron and copper losses
 - stator and exciter voltage and current limits
 - real-time capable implementation
-  Complete framework to design ANN-based OFTC
 - dataset creation based on the nonlinear machine model
 - training (and validation) of suitable ANN architectures
 - optimal ANN design and choice as result of trade-off between the OFTC goals
-  Meta-study:
 - Number of hidden layers: 1...4
 - Numbers of neurons per hidden layer: 2...200
 - FLOPs limit: 1000...2000
 - 1.282.176 ANN architectures
 - *only* 200 ANN architectures chosen (50 with maximum number of FLOPs per number of hidden layers)



Motivation

ANN-based OFTC for RSM

Multi-objective optimization for artificial neural network based optimal feedforward control with application to optimal feedforward torque control of nonlinear synchronous machines

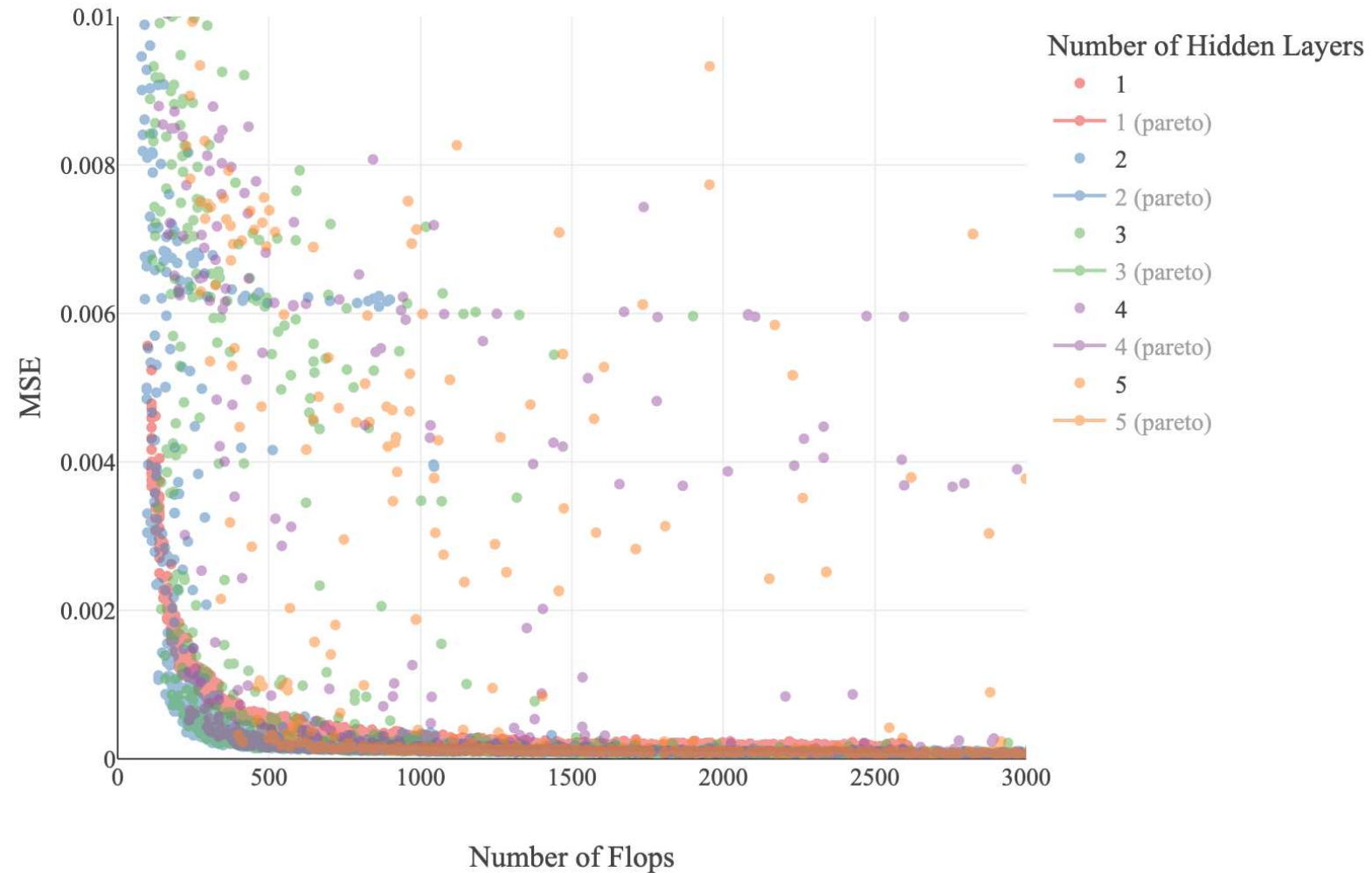
Work in progress...

Motivation

ANN-based OFTC for RSM

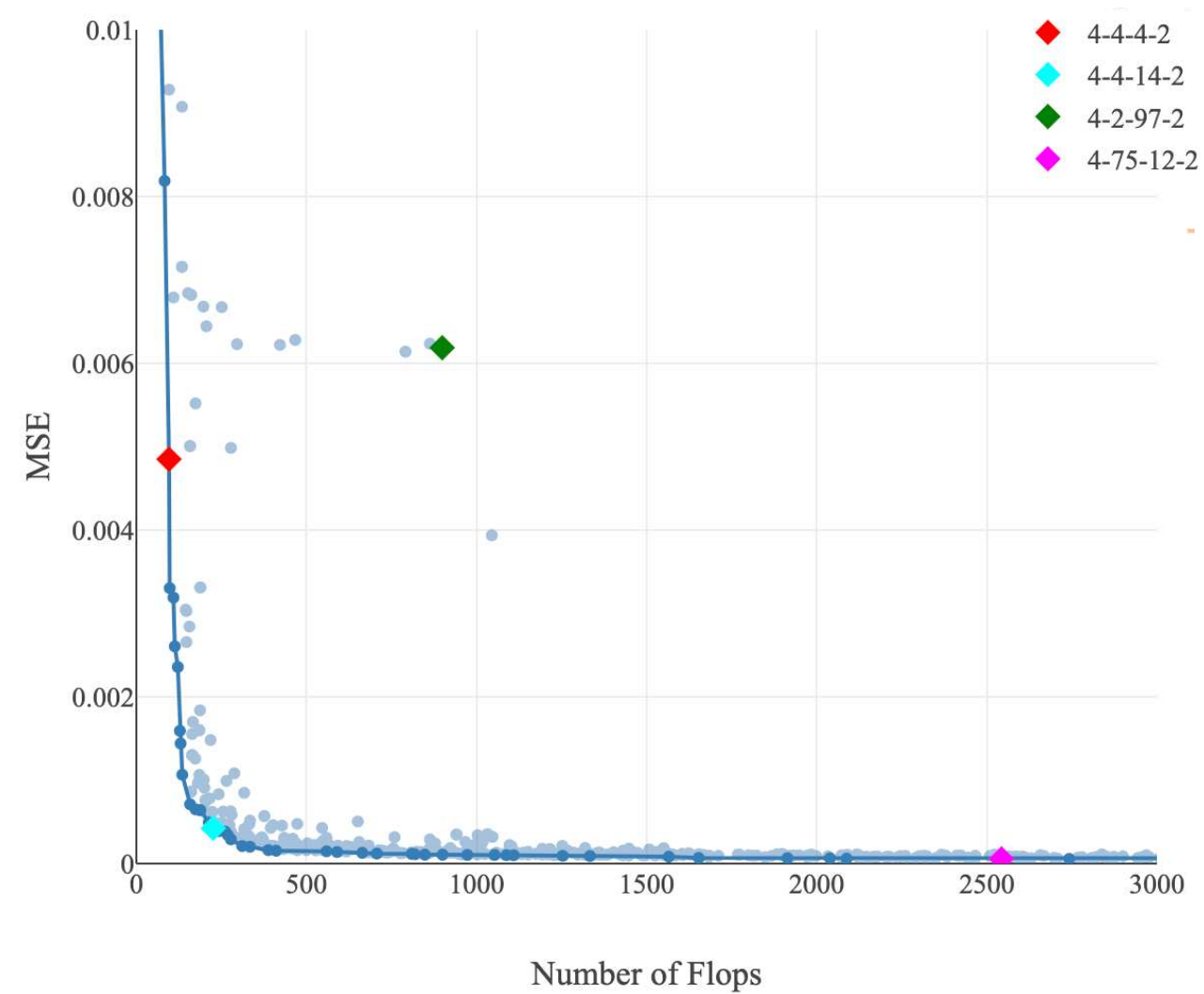
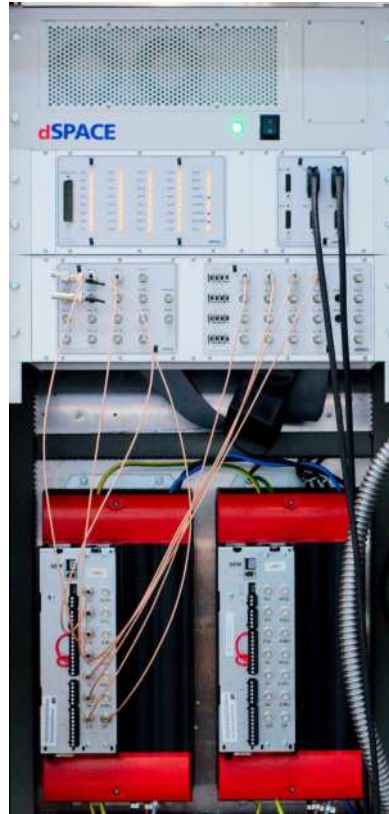
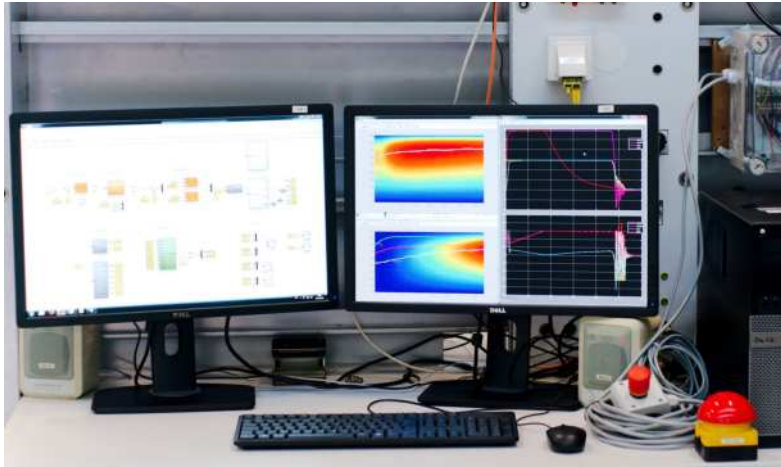
- Multi-Objective Optimization (MOO)
- Target space:
 - Number of FLOPs
 - MSE of outputs
- Hyperparameters:
 - Numbers of neurons per hidden layers
- Goal:
 - minimize all targets simultaneously

RSM ANN Architecture Study - Target Space



Motivation

ANN-based OFTC for RSM

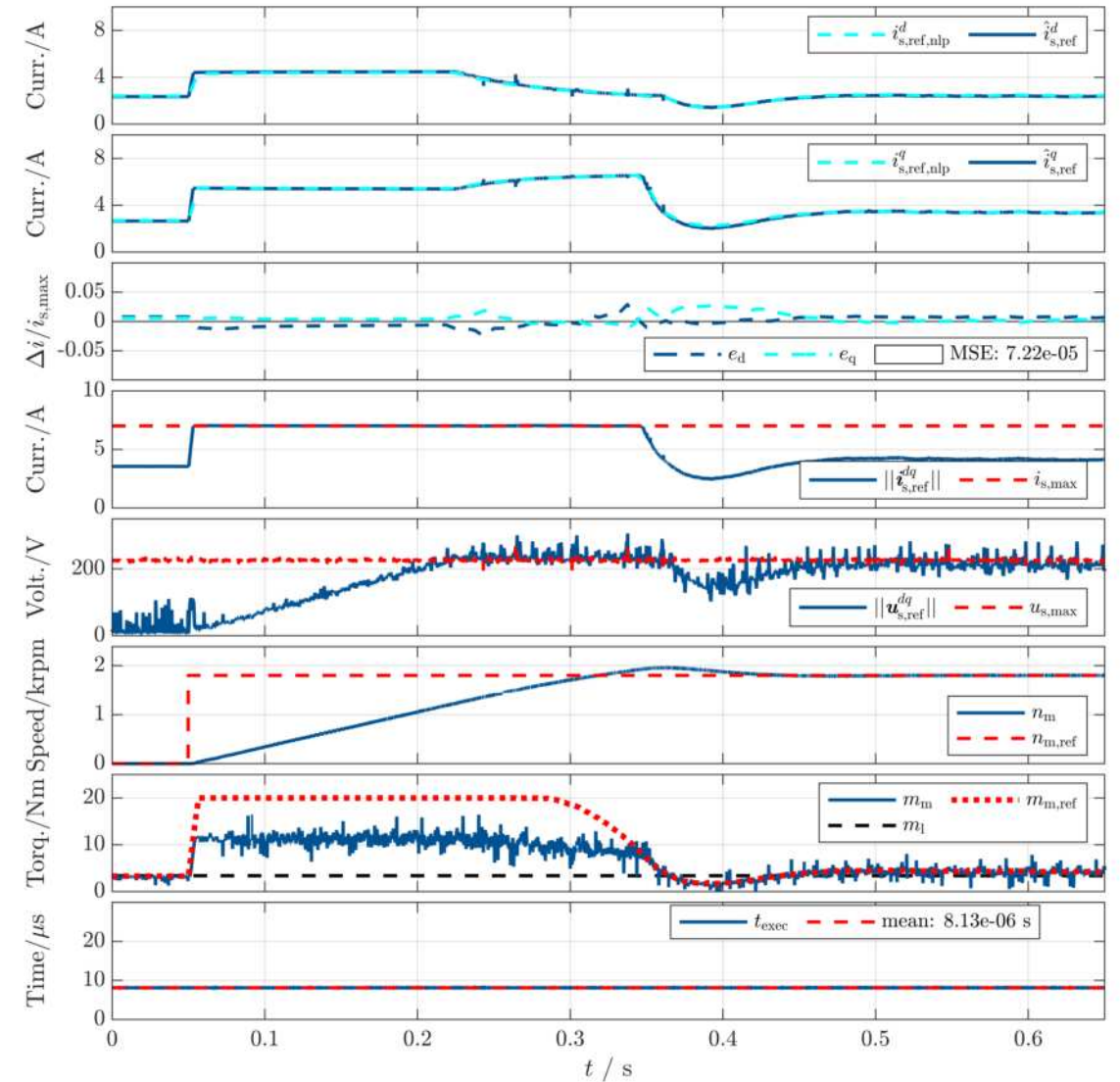
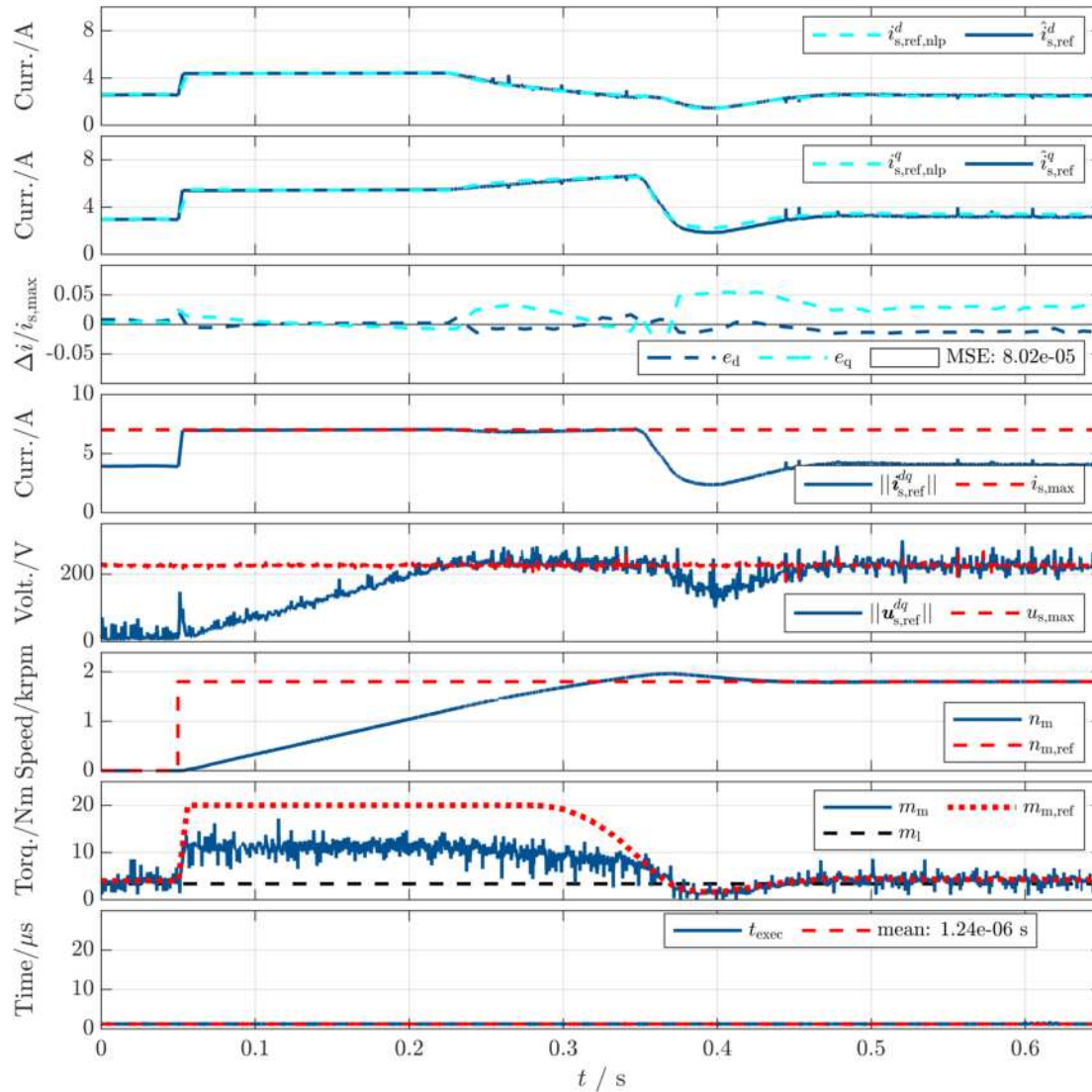


Motivation

ANN-based OFTC for RSM

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4-75-12-2

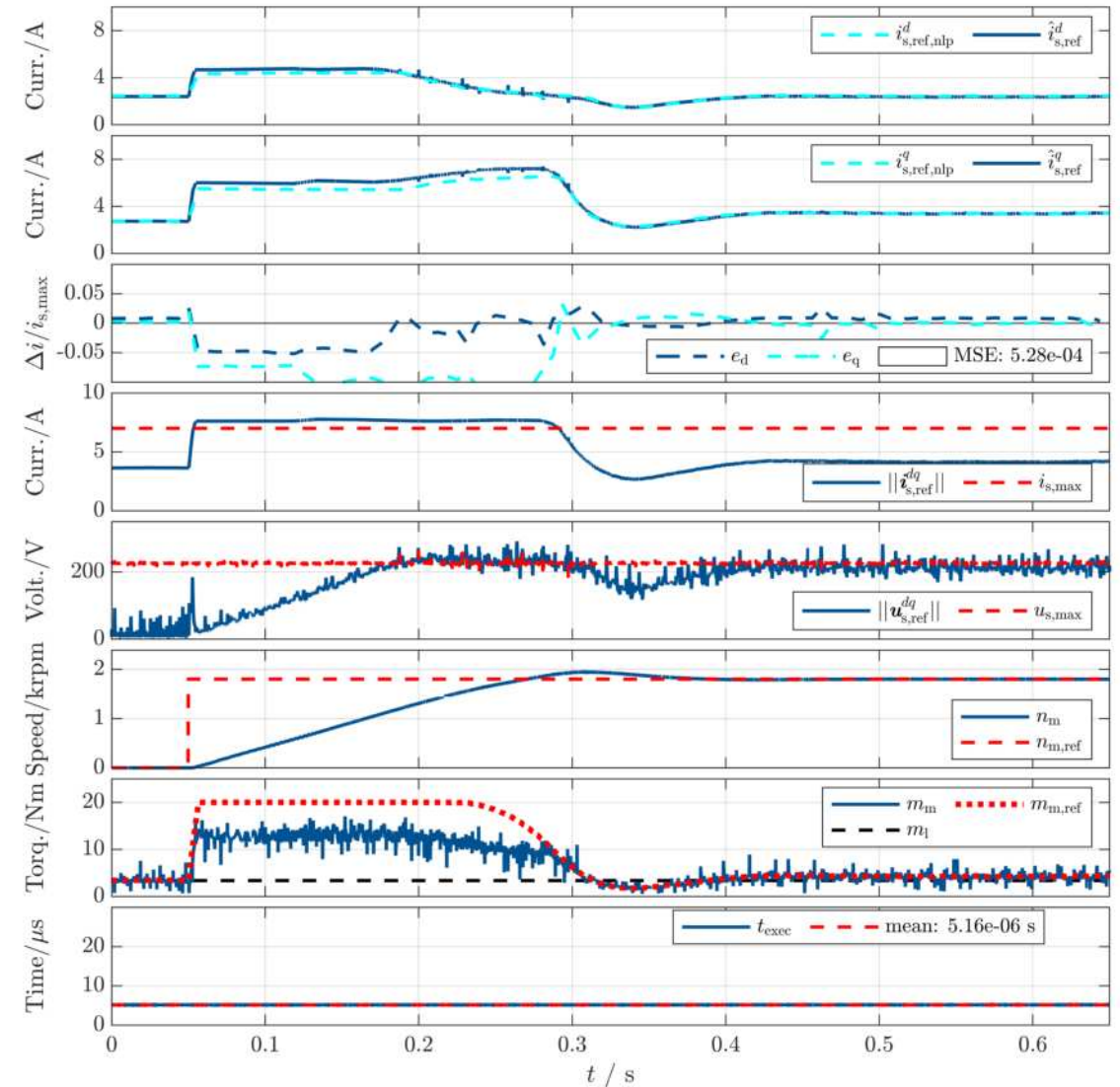
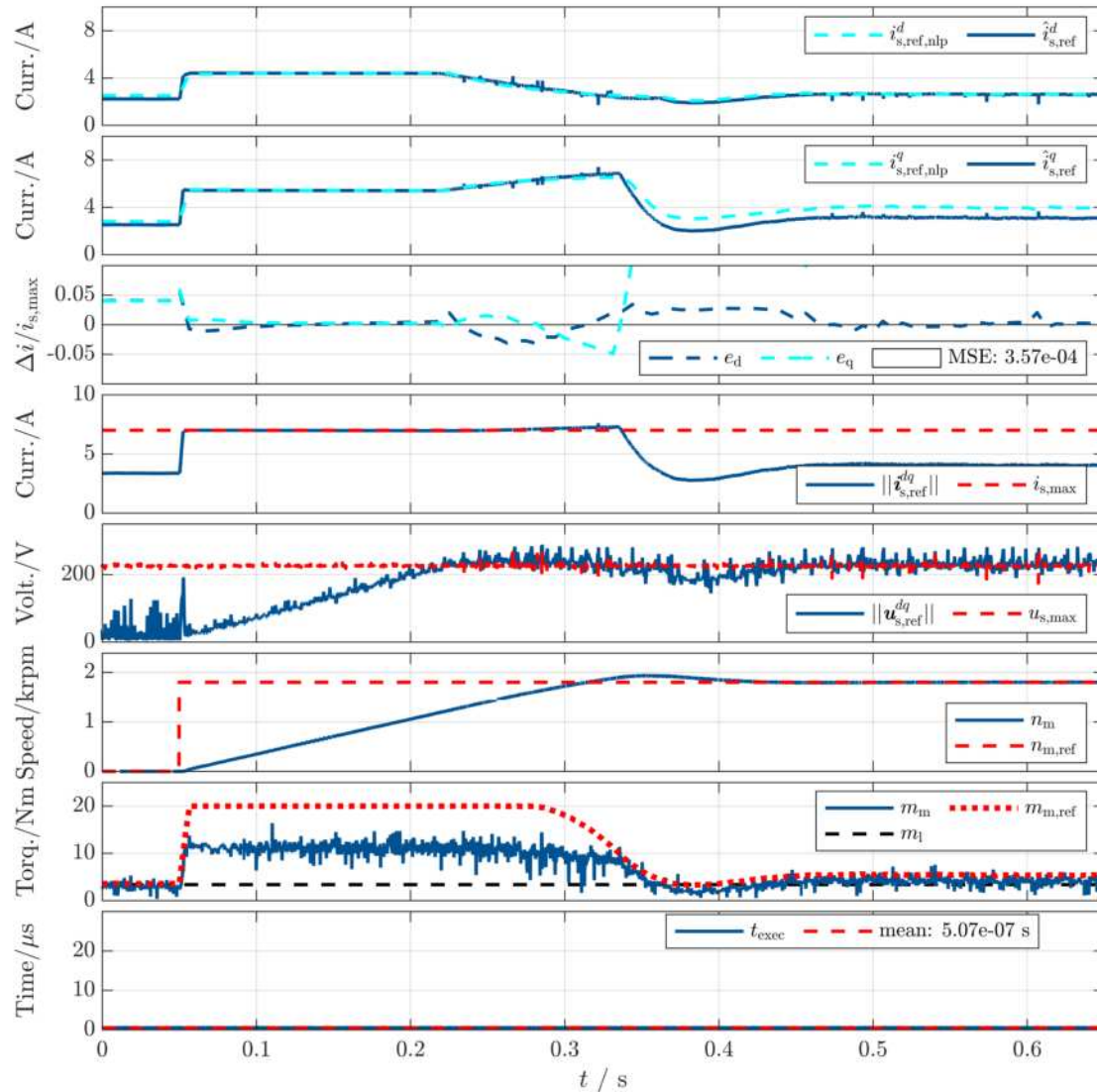


Motivation

ANN-based OFTC for RSM

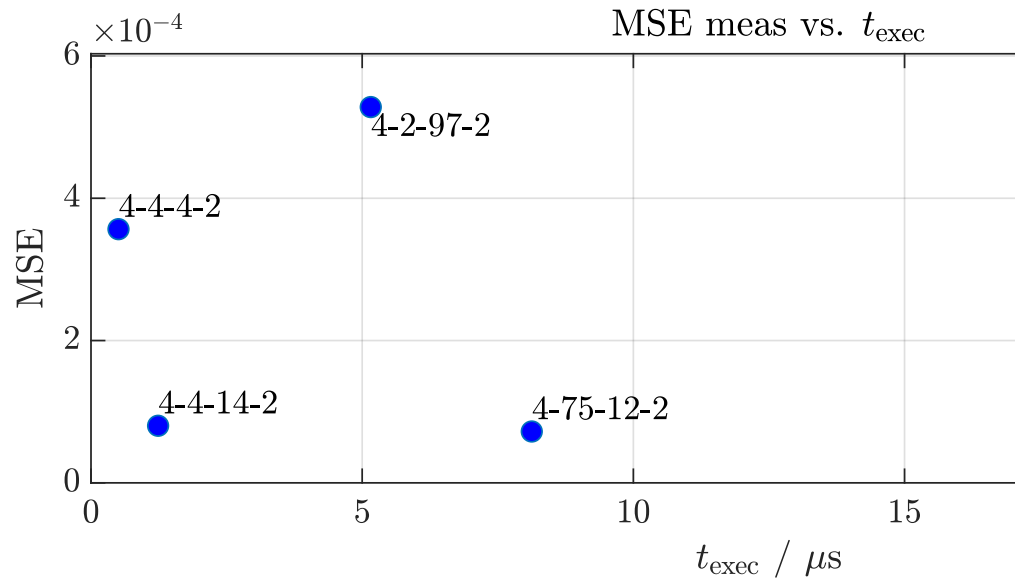
◆ 4-4-4-2

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Motivation

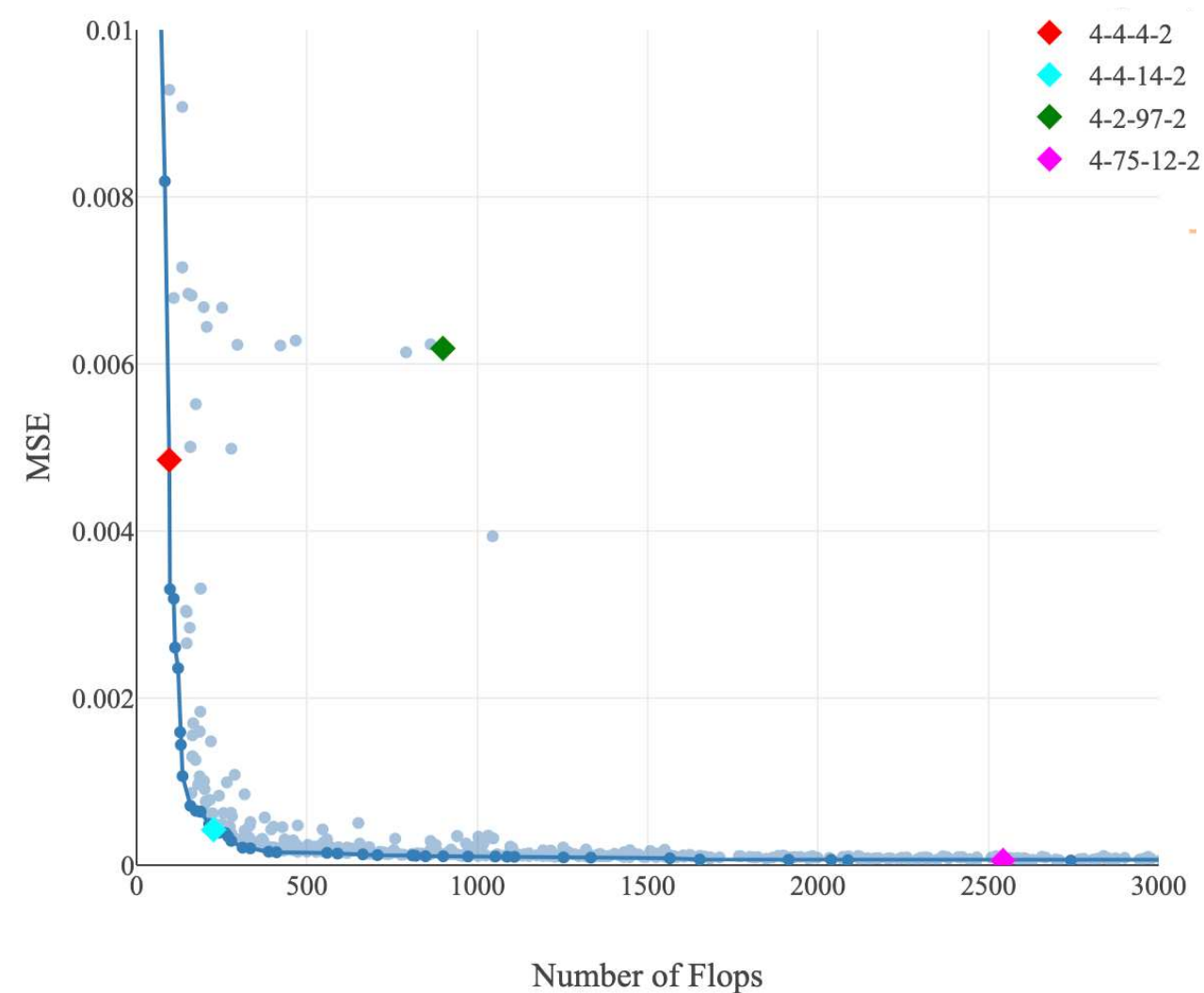
ANN-based OFTC for RSM



→ Experimental validation of ANN-based OFTC for RSM

→ best ANN architecture is trade-off between execution time and MSE

→ execution time and MSE is significantly lower compared to MTPX-approach

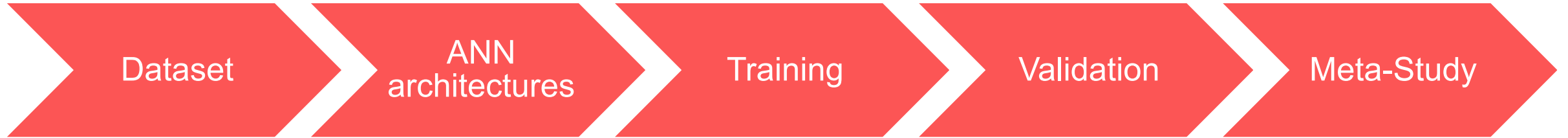


Agenda

- Motivation & applications
- **Framework for design of ANN-based approaches for electrical drive systems**
- Hands-on example 1: System identification
- Hands-on example 2: ANN-based Maximum Torque per Losses (MTPL)
- Hands-on example 3: ANN-based Optimal Feedforward Torque Control (OFTC) of an IPMSM

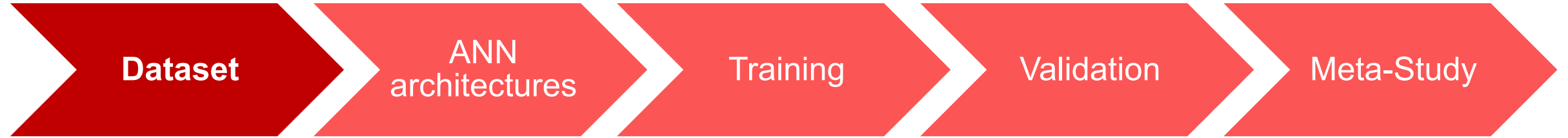
Design of ANNs for electrical drive systems

Overview



Design of ANNs for electrical drive systems

Overview



- The data must be created by measurements, FEA or model-based computations.
- Collecting all individual input-output sample sets

$$(x[k]; y[k]) \text{ for all } k \in \{1, \dots, K\}$$

- Leads to the overall, trainings and validation input-output dataset

$$(\mathbb{X}, \mathbb{Y}) = (\mathbb{X}_T, \mathbb{Y}_T) \cup (\mathbb{X}_V, \mathbb{Y}_V)$$

- Normalization: scaling to a range of usually 0...1 (or sometimes -1 to +1)

$$x_N = \frac{x - x_{\min}}{x_{\max} - x_{\min}}$$

For the k -th input sample

$$x[k] := (m_{m,\text{ref}}[k], \omega_m[k], u_{s,\text{max}}[k], i_{s,\text{max}}[k], u_{e,\text{max}}[k], i_{e,\text{max}}[k])^T$$

the corresponding output vector

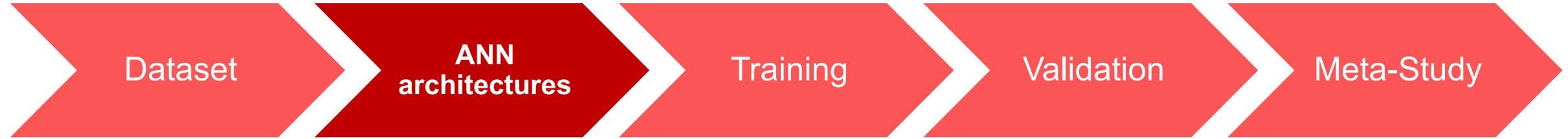
$$y[k] := (i_{s,\text{ref}}^d[k], i_{s,\text{ref}}^q[k], i_{e,\text{ref}}[k])^T$$

is the solution of the nonlinear optimization problems (NLP)

$$\begin{aligned} i_{s,e,\text{ref}}^{dqe}[k] &= \arg \min_{i_{s,e}^{dqe}[k]} p_L(i_{s,e}^{dqe}[k]) & m_{m,\text{max}}[k] &= \max_{i_{s,e}^{dqe}[k]} m_m(i_{s,e}^{dqe}[k]) \\ \text{s. t. } \|u_s^{dq}(i_{s,e}^{dqe}[k])\| &\leq u_{s,\text{max}}[k], & \text{s. t. } \|u_s^{dq}(i_{s,e}^{dqe}[k])\| &\leq u_{s,\text{max}}[k], \\ \|i_s^{dq}(i_{s,e}^{dqe}[k])\| &\leq i_{s,\text{max}}[k], & \|i_s^{dq}(i_{s,e}^{dqe}[k])\| &\leq i_{s,\text{max}}[k], \\ |u_e(i_{s,e}^{dqe}[k])| &\leq u_{e,\text{max}}[k], & |u_e(i_{s,e}^{dqe}[k])| &\leq u_{e,\text{max}}[k], \\ |i_e[k]| &\leq i_{e,\text{max}}[k], & |i_e[k]| &\leq i_{e,\text{max}}[k]. \\ m_m(i_{s,e}^{dqe}[k]) &= \text{sat}_{m_{m,\text{max}}} m_{m,\text{ref}}[k] \end{aligned}$$

Design of ANNs for electrical drive systems

Overview

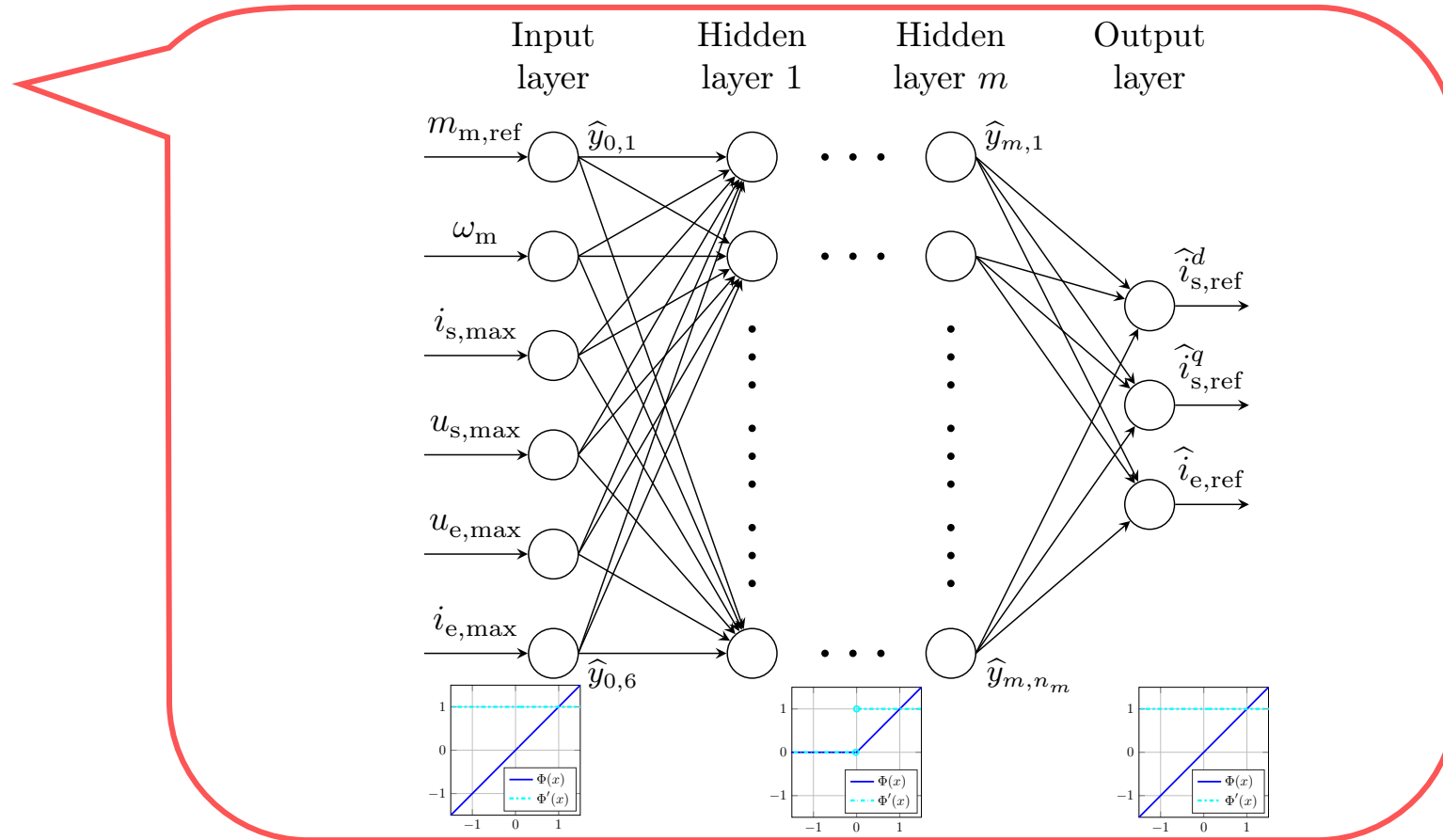


- The ANN architecture is defined by
 - Number of input neurons
 - Number of output neurons
 - Number of hidden layers
 - Numbers of neurons per hidden layers
 - Activation functions

Layer's output (j -th layer):

$$\hat{\mathbf{y}}_j = \begin{pmatrix} \hat{y}_{j,1} \\ \vdots \\ \hat{y}_{j,n_j} \end{pmatrix} = \begin{pmatrix} \Phi_{j,1}(\mathbf{w}_{j,1}^\top \mathbf{x}_j + b_{j,1}) \\ \vdots \\ \Phi_{j,n_j}(\mathbf{w}_{j,n_j}^\top \mathbf{x}_j + b_{j,n_j}) \end{pmatrix} \in \mathbb{R}^{n_j}$$

ANN output: $\hat{\mathbf{y}}[k] = f(\mathbf{x}[k], \hat{\boldsymbol{\theta}})$



Design of ANNs for electrical drive systems

Overview



- Define the error function (or loss function)

$$E(\hat{\theta})$$

- Optimization

$$\hat{\theta}^* = \operatorname{argmin}_{\hat{\theta}} E(\hat{\theta})$$

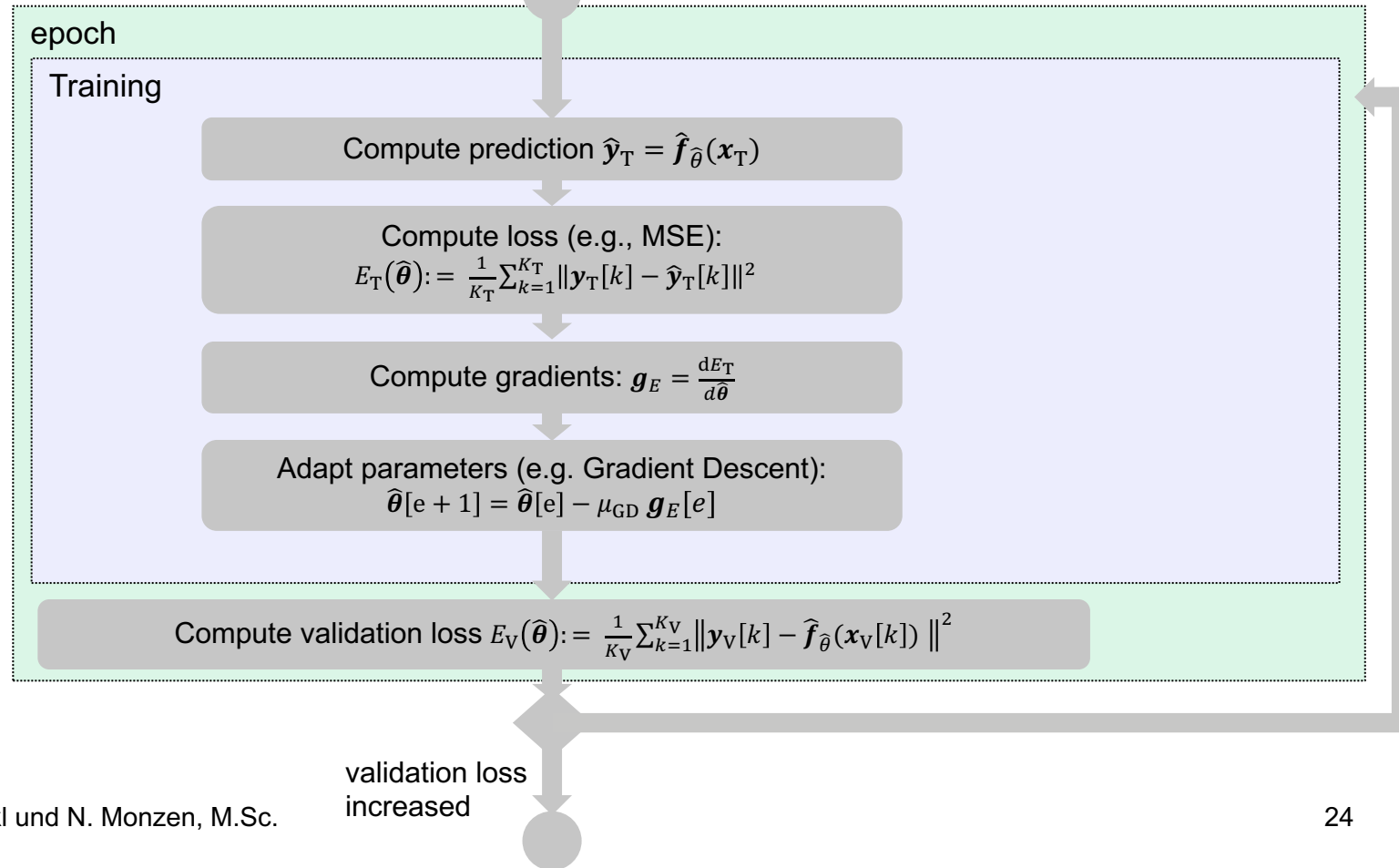
- Compute gradients (backpropagation)

$$\text{gradients: } \mathbf{g}_E = \frac{dE}{d\hat{\theta}}$$

- Iterative optimization of error

$$\hat{\theta}[b+1] = \hat{\theta}[b] + \mathbf{H}_{\hat{f}}^{-1} \mathbf{J}_{\hat{f}}^{\top} \mathbf{e}[b]$$

- Prevent overfitting



Design of ANNs for electrical drive systems

Overview



- Define the error function (or loss function)

$$E(\hat{\theta})$$

- Optimization

$$\hat{\theta}^* = \operatorname{argmin}_{\hat{\theta}} E(\hat{\theta})$$

- Compute gradients (backpropagation)

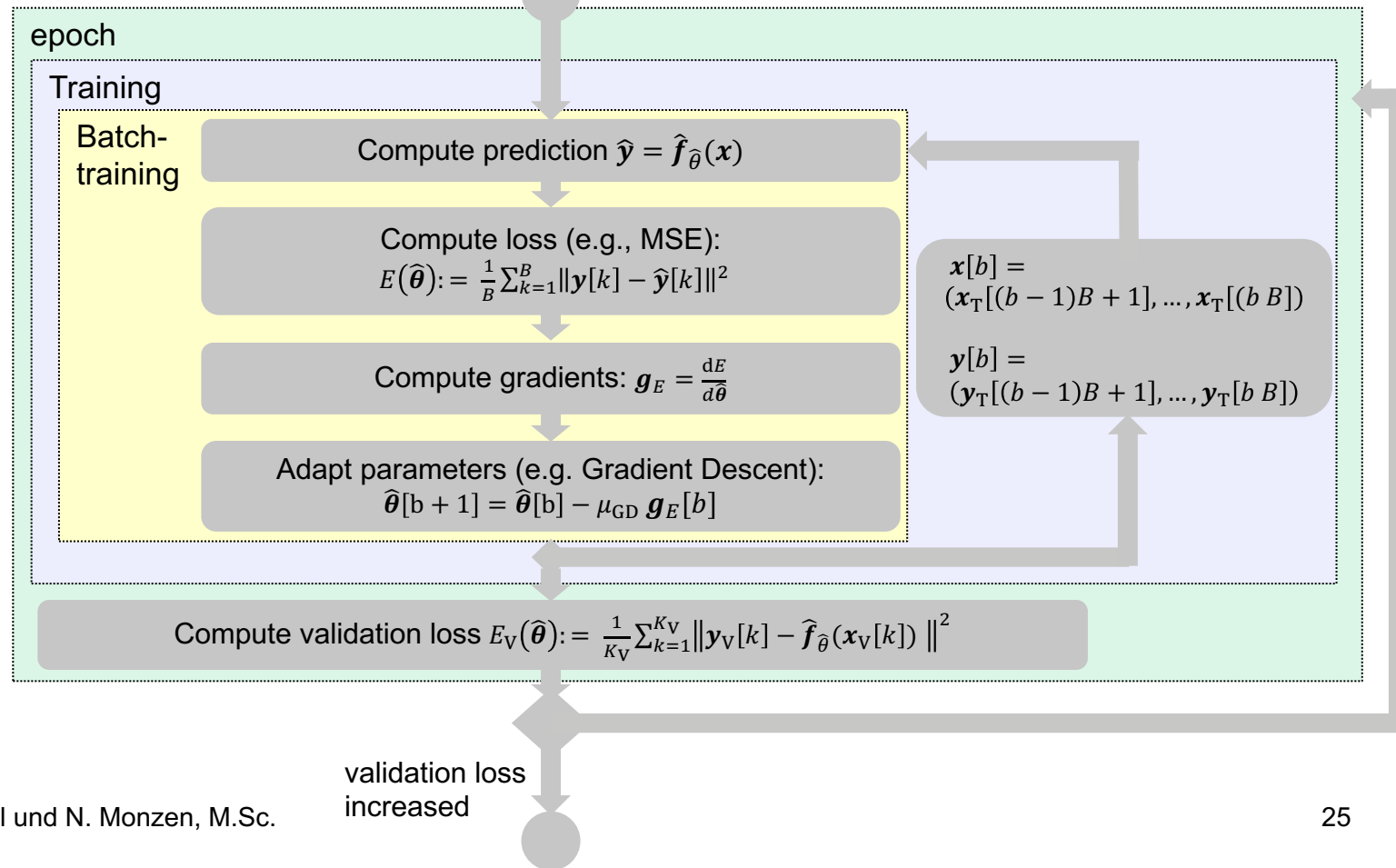
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- Iterative optimization of error

$$\hat{\theta}[b+1] = \hat{\theta}[b] + \mathbf{H}_{\hat{f}}^{-1} \mathbf{J}_{\hat{f}}^{\top} \mathbf{e}[b]$$

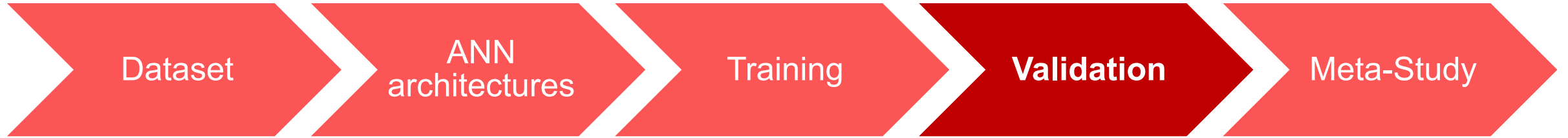
- Prevent overfitting

- Batched-training with batch-size B



Design of ANNs for electrical drive systems

Overview



- Compute MSE of test dataset

- Computational resources:
 - Number of parameters $\hat{p}$

$$\hat{p} := \sum_{l=1}^L \hat{p}_l = \sum_{l=1}^L m_l (m_{l-1} + 1)$$

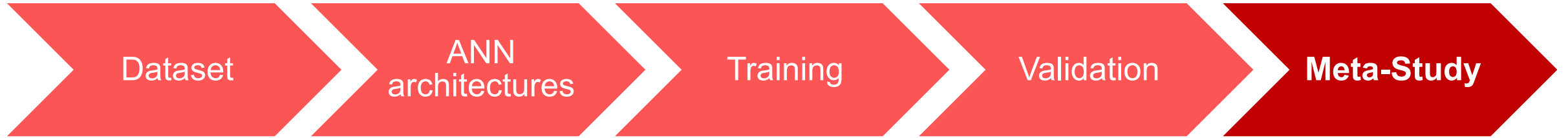
- Number of floating-point-operations: N_{flop}

$$N_{\text{flop}} = 2 n_0 + 2 n_{m+1} n_m + \sum_{k=1}^m n_k (2 n_{k-1} + 1)$$

- Dynamic simulation
- Experimental validation

Design of ANNs for electrical drive systems

Overview



- Parameter Study
- Multi-objective Optimization
- Targets:
 - MSE of all outputs
 - MSE of each output
 - Application motivated MSE (e.g., torque, voltage, current)
 - Number of parameters: N_{param}
 - Number of floating-point-operations: N_{flop}
- Hyperparameters
 - architectures
 - Numbers of neurons per hidden layers
 - Number of hidden layers
 - Activation functions
 - Optimization
 - Initialization of parameters
 - Optimizer & optimizer parameters
 - Dataset
 - Sampling (e.g., grid, latin-hypercube sampling)
 - Normalization

Design of ANNs for electrical drive systems

Tools

Dataset

ANN
architectures

Training

Validation

Meta-Study

Pros:

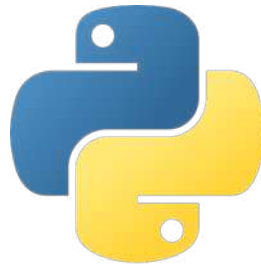
Distributed training, Azure integration, multi-language support (Python, C#, C++), production deployment.

Cons:

Smaller community, limited resources, Azure-centric, advanced customization



TensorFlow



Pros:

Distributed computing and GPU acceleration, high/low-level APIs (e.g. Keras)

Cons:

Steeper learning curve, compatibility issues.



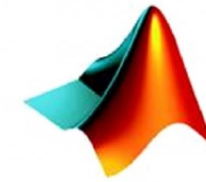
PyTorch

Pros:

Dynamic computation graph allows flexibility, GPU acceleration, Pythonic syntax

Cons:

Less optimized for production



MATLAB
SIMULINK

Deep Learning Toolbox

Pros:

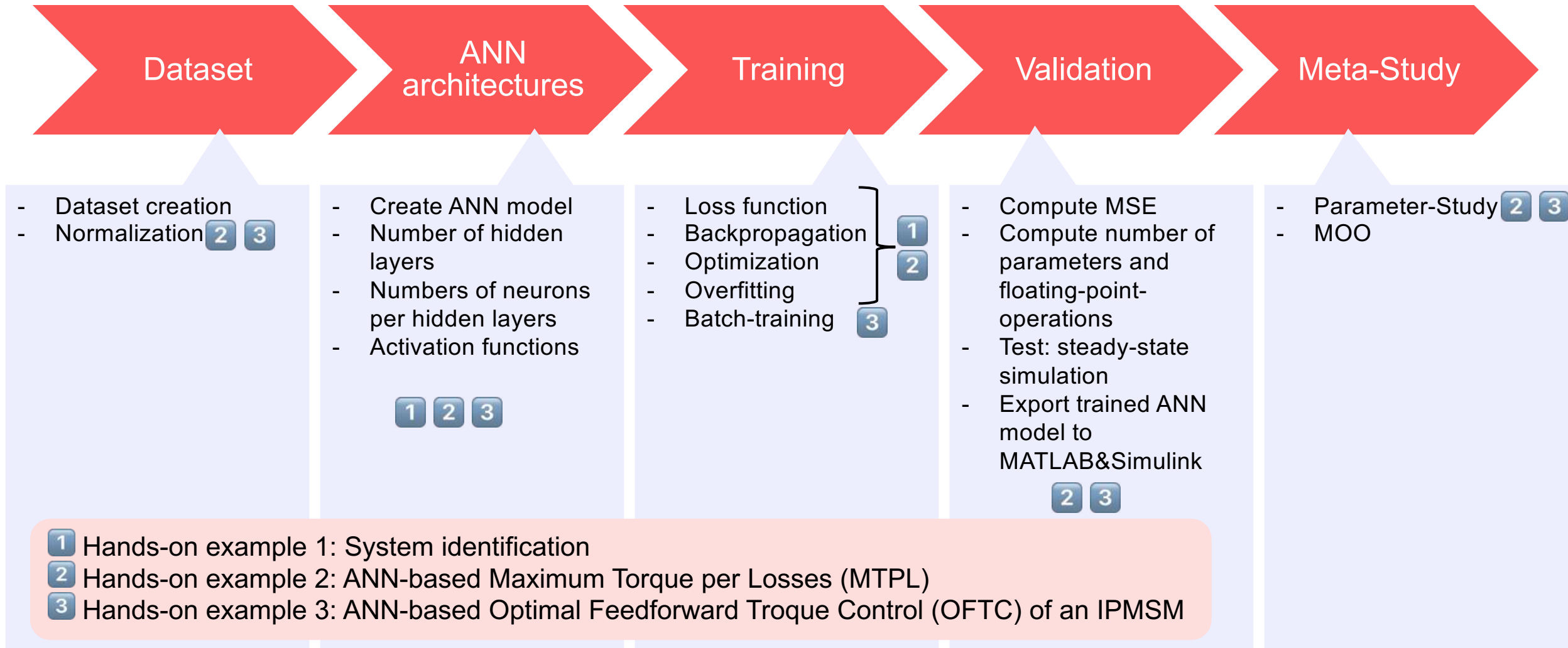
Wide range of built-in functions, user-friendly interface.

Cons:

Can be slow, limited support for distributed computing, limited advanced customization, proprietary software

Design of ANNs for electrical drive systems

Overview



Hands-on examples

Getting started

Install Python 3.X:

<https://www.python.org/downloads/>

Download and extract Workshop files:

https://monzen.pages.gitlab.lrz.de/data-sharing/Workshop_CPM_LMRES.zip

Set up virtual environment [optional]

- Open a terminal in `Workshop_CPM_LMRES` folder.
- Create a virtual environment `env`:

```
python -m venv env
```

- and activate the environment by

```
source env/bin/activate // MAC
env/Scripts/activate.bat // In CMD (WINDOWS)
env/Scripts/Activate.ps1 // In Powershell (WINDOWS)
```

Installation of python packages:

```
pip install -r requirements.txt
```

Start Jupyter Notebook `jupyter notebook`



Alternative: Google Colab

- Google account required
- Open the shared notebooks

Hands-on example 1: System identification

Hands-on example 2: ANN-based Maximum Torque per Losses (MTPL)

Hands-on example 3: ANN-based Optimal Feedforward Torque Control (OFTC) of an IPMSM