

1st MSE Winter School
Ohlstadt, 2nd – 6th February 2015

Part I – Renewable Energy Systems

Christoph Hackl

Munich School of Engineering (MSE)
Research group “Control of Renewable Energy Systems (CRES)”
Technische Universität München (TUM)

03.02.2015

MSE Research group

“Control of renewable energy systems (CRES)”



C. Dirscherl, M.Sc.



C. Hackl, Dr.-Ing.

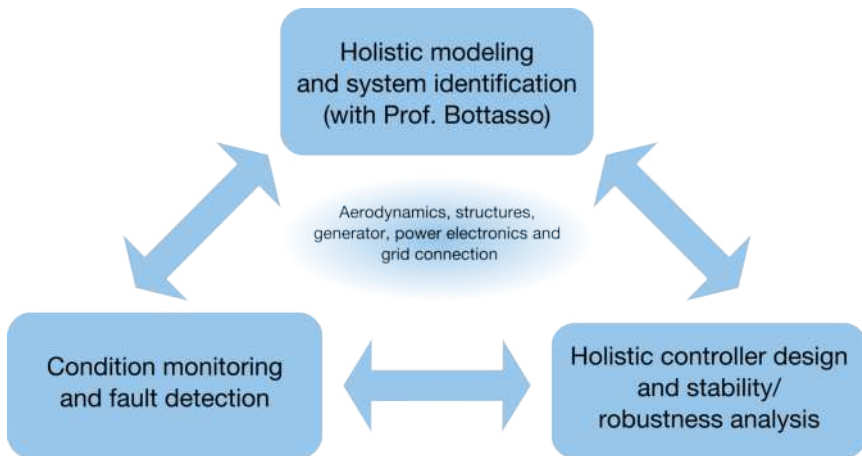


K. Schechner, M.Sc.

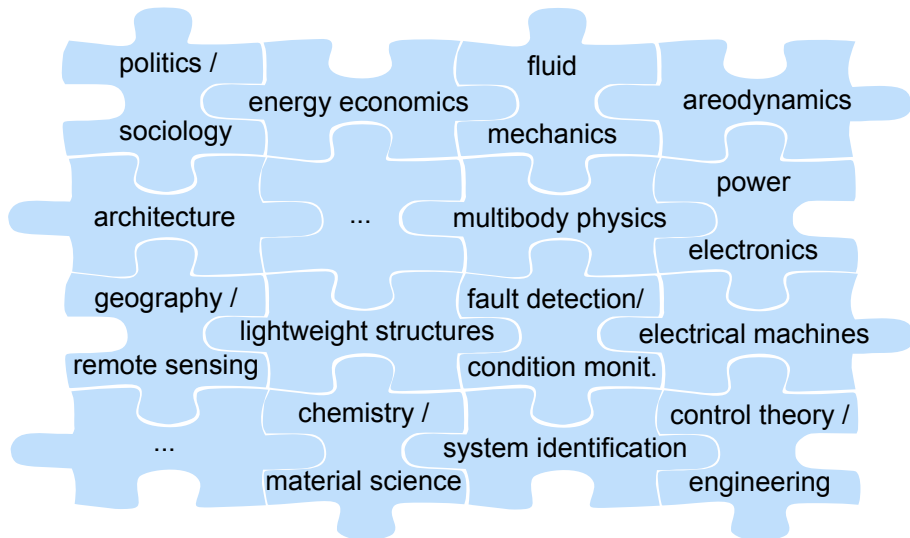
Contact and further reading

- phone: (+49) (0)89 289 16688 / email: christoph.hackl@tum.de
- **www:** <http://www.cres.mse.tum.de>
- **teaching materials (dropbox):** https://www.dropbox.com/sh/1s9jvpt0zq7l111/AABRSUwkqcwEw2_PnUmN9Tdia?dl=0
- most content from: *C. Dirscherl, C. Hackl, K. Schechner, “Modellierung und Regelung von modernen Windkraftanlagen: Eine Einführung”, Chapter 24 in D. Schröder, “Elektrische Antriebe – Regelung von Antriebssystemen”, Springer-Verlag, Berlin, 2014 (see [1])*

CRES Research foci



Energy research = interdisciplinary



Outcome of Part I – Renewable Energy Systems

After this day you should be able to:

- to understand, analyze and implement basic models of (electrical) components and actuators of renewable energy systems
- to understand, design and implement standard control methods for generator- and grid-side
- to understand the basic operation management of renewable energy systems

Required prior knowledge:

- *Linear algebra (norms, vectors, matrices, Eigenvalues, ...)*
- *Linear control theory (ODEs, transfer functions, poles/zeros, Hurwitz analysis, ...)*
- *Basic circuit theory (Kirchhof's laws, Ohm's law, voltage & current dynamics for capacitance or inductance, ...)*

Schedule:

Part I – Renewable Energy Systems

Date	Time	Content
03.02.2015	08:30–10:00	<i>1st Lecture:</i> Introduction and modeling (part 1)
	10:00–10:30	<i>Coffee break</i>
	10:30–12:00	<i>2nd Lecture:</i> Modeling (part 2) and controller design (part 1)
	12:00–13:30	<i>Lunch</i>
	13:30–15:00	<i>Session I (presentations):</i> Renewable Energy Systems
	15:00–15:30	<i>Coffee break</i>
	15:30–17:00	<i>3rd Lecture:</i> Controller design (part 2) and operation management
	17:00–17:30	<i>Coffee break</i>
	17:30–19:00	<i>Tutorial (exercise sheet):</i> Renewable energy systems
	19:00–20:30	<i>Dinner</i>

Outline

- 1 Introduction
- 2 Preliminaries
- 3 Modeling (part 1): Turbine, aerodynamics & power coefficient
- 4 Modeling (part 2): Electrical components
- 5 Control (part 1): Generator side
- 6 Control (part 2): Grid side
- 7 Operation management and outlook

Outline

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- Background information
 - Facts and figures
 - Evolution of wind power systems
 - Wind power in Germany
- Modern wind turbine systems
 - Core components
 - Configurations of wind turbine systems (WTS)
 - Component topologies
 - Operating regimes
 - Availability and failures

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■ Facts and figures

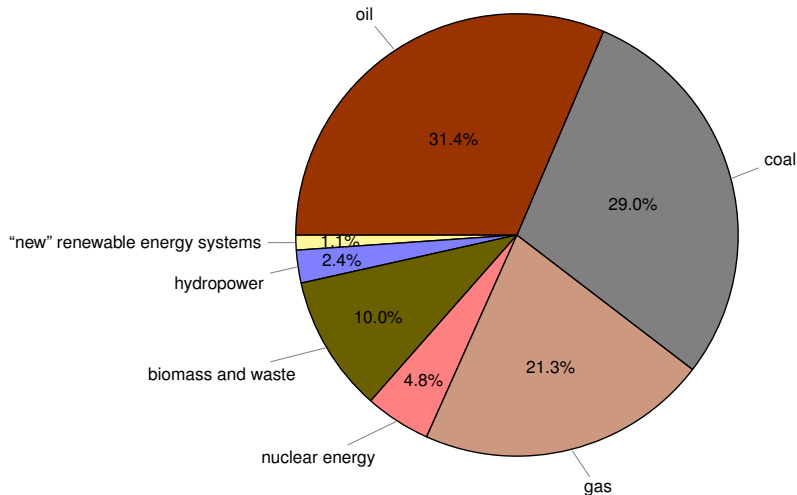
- Evolution of wind power systems
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■ Modern wind turbine systems

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Use of primary energy worldwide 2012

(overall 13 371 Mtoe* = $1,555 \cdot 10^{11}$ kWh, from [2])

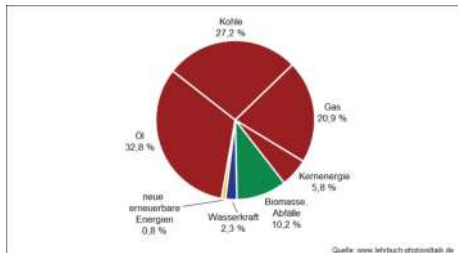


* Mtoe = Million *tonne of oil equivalent* (TOE).

Use of primary energy worldwide

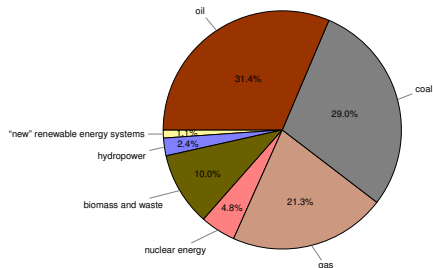
⇒ Use of fossil energy is increasing!

2009



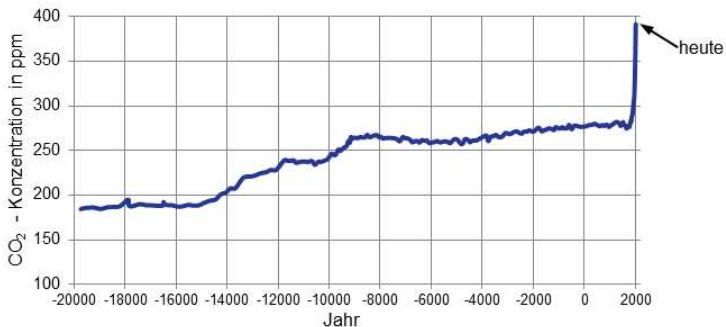
80,9 % fossil

2012



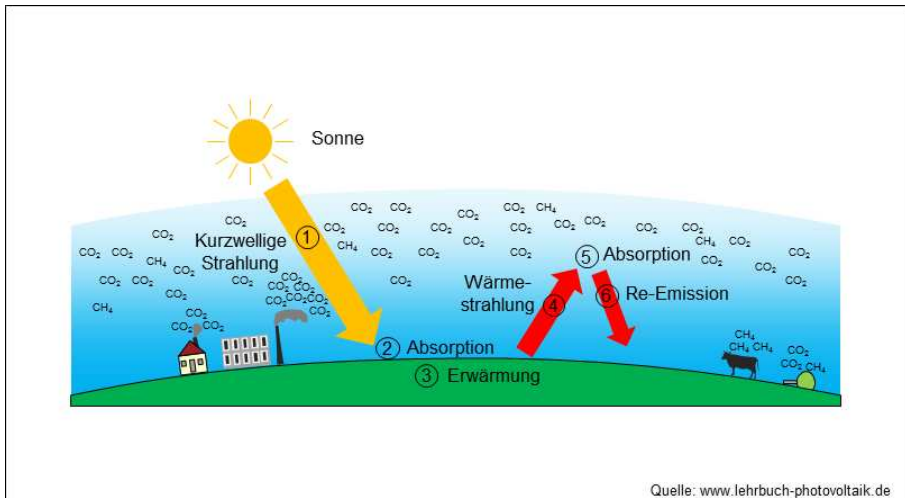
81,7 % fossil

Trend of CO₂ concentration in the atmosphere

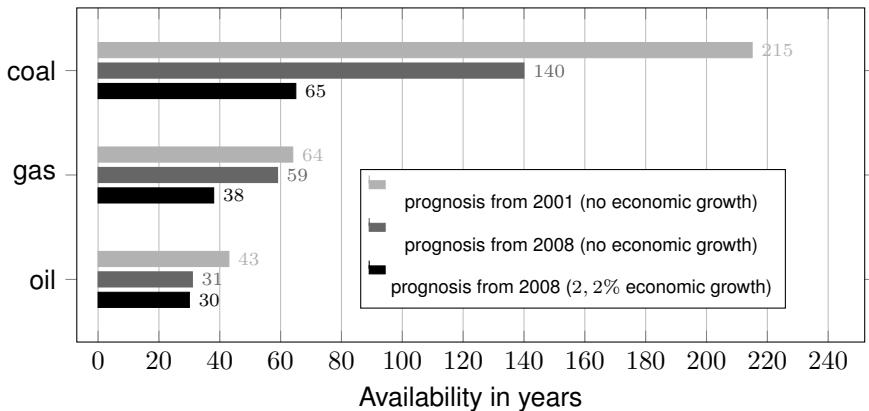


Quelle: www.lehrbuch-photovoltaik.de

Greenhouse effect $\Rightarrow$ Global warming

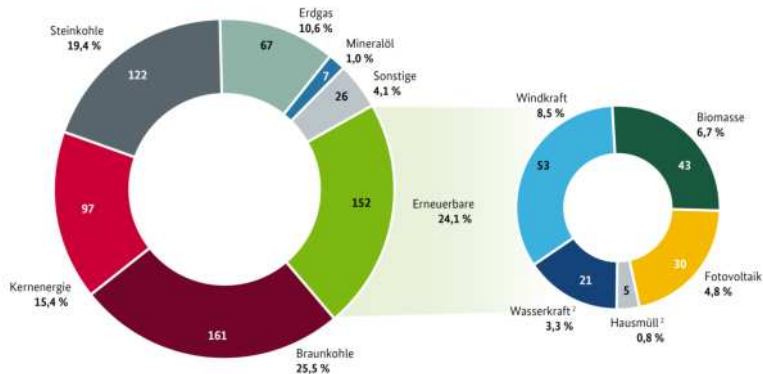


Scarcity of resources



(Fig. based on [3, Tab. 1.3]; without shale gas)

Gross power generation* in Germany 2013 (overall $631 \text{ TW h}^1 = 631 \cdot 10^9 \text{ kW h}$, aus [4])

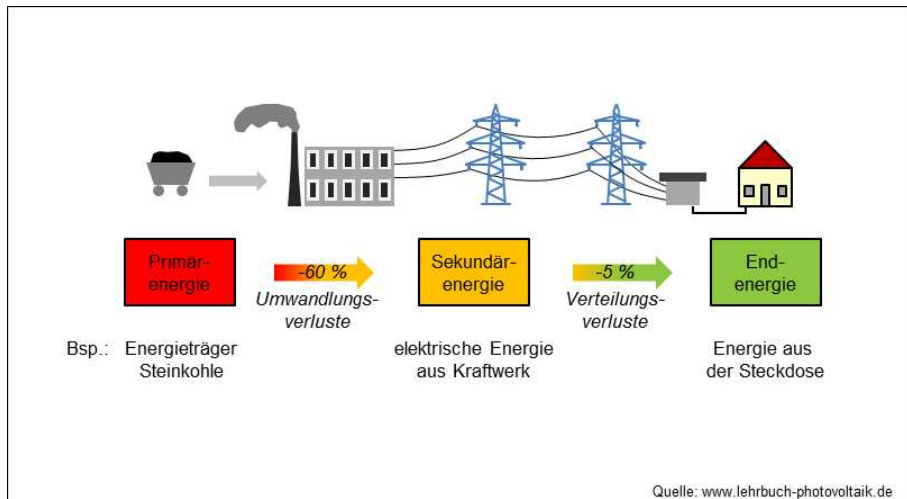


1 Vorläufig
2 Regenerativer Anteil

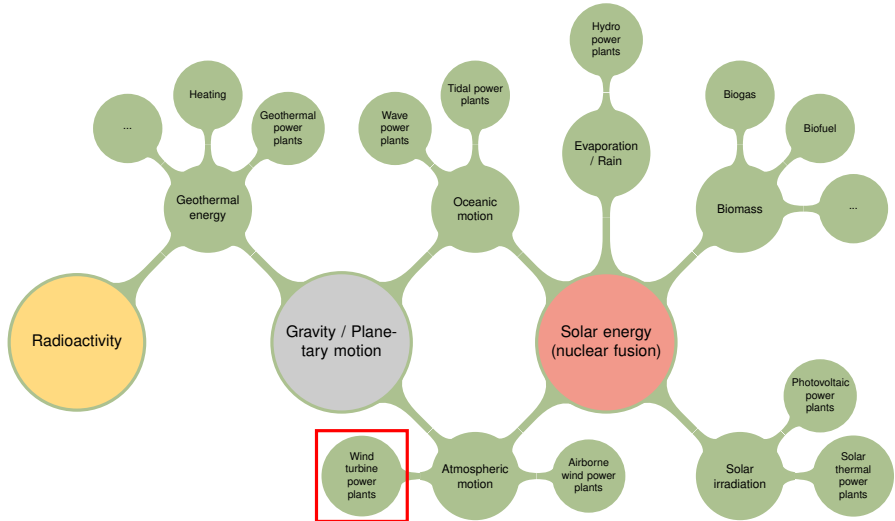
Quelle: Arbeitsgemeinschaft Energiebilanzen (AGEB)

(* net power generation = gross power generation – energy consumption of power plant)

Exemplary electricity production with black coal



“Primary energy” for renewable energy systems



Outline

1 Introduction

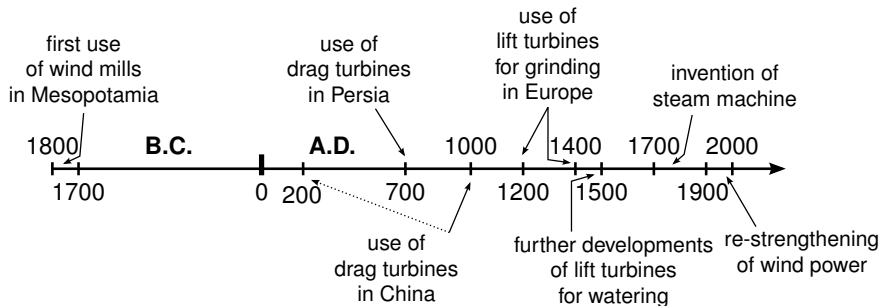
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History of wind power systems



Modern wind turbines (for power production)



(<http://www.siemens.com/>)



(<http://www.ge.com/>)

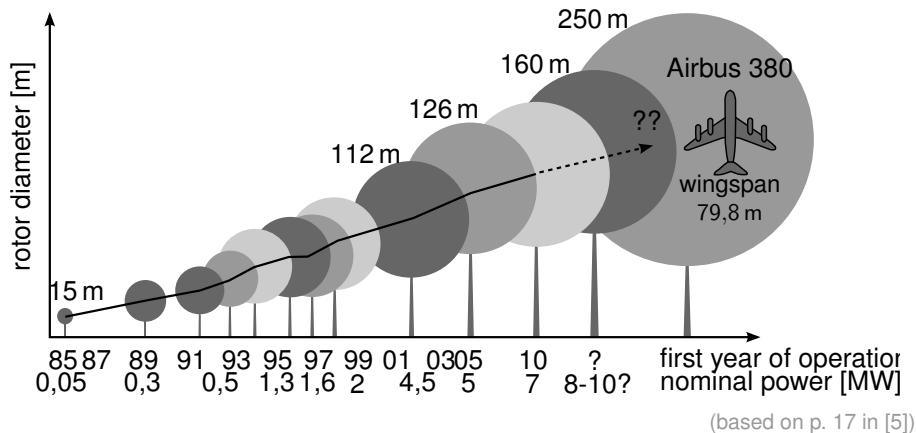


(<http://www.enercon.de/>)

Properties

- three rotor blades
- lift turbine
- windward (luf) rotor with horizontal axis
- typical generator topologies:
 - synchronous generator (SM) *without* gear (“direct drive”)
 - double-fed induction generator (DFIG) *with* gear

Evolution of wind turbine size



Outline

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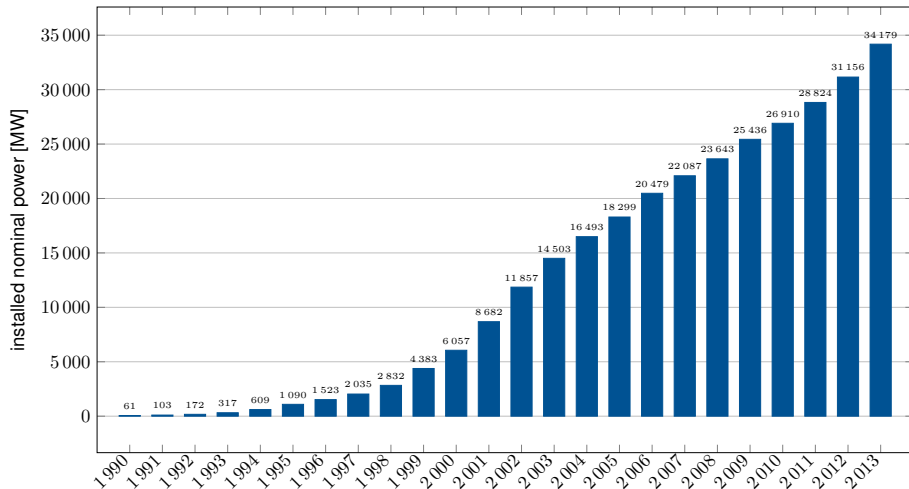
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■ Wind power in Germany

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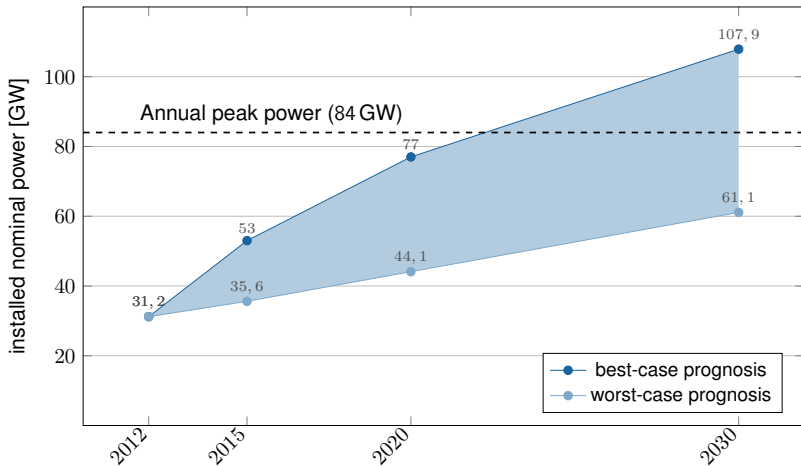
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Installed nominal wind power in Germany 1990-2013



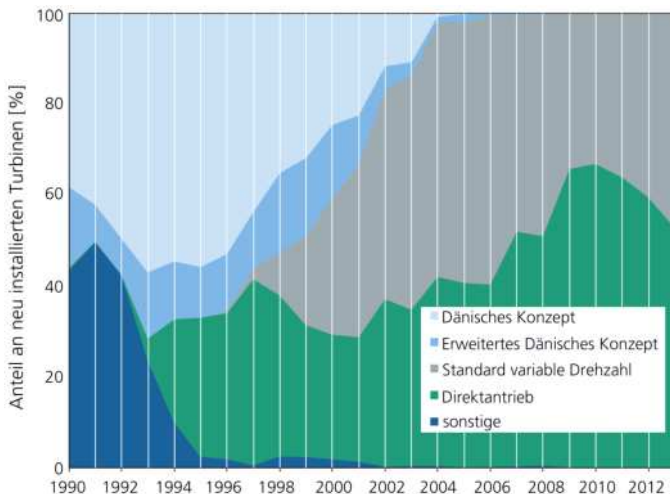
(based on Fig. 4 in [6])

Prognosis of installed nominal wind power in Germany 2030

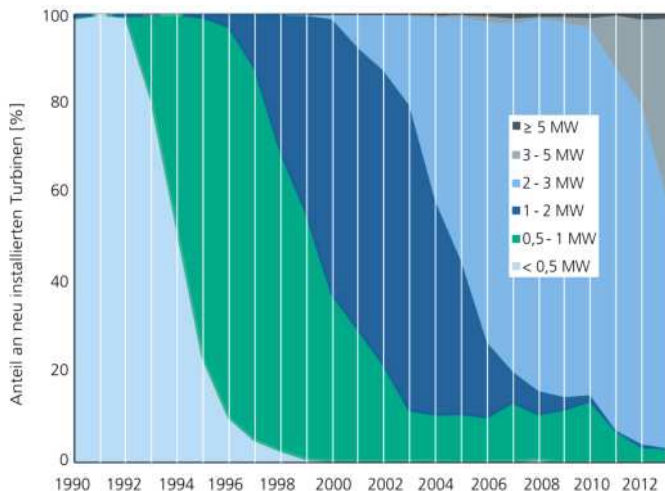


(Fig. based on p. 6 in [7])

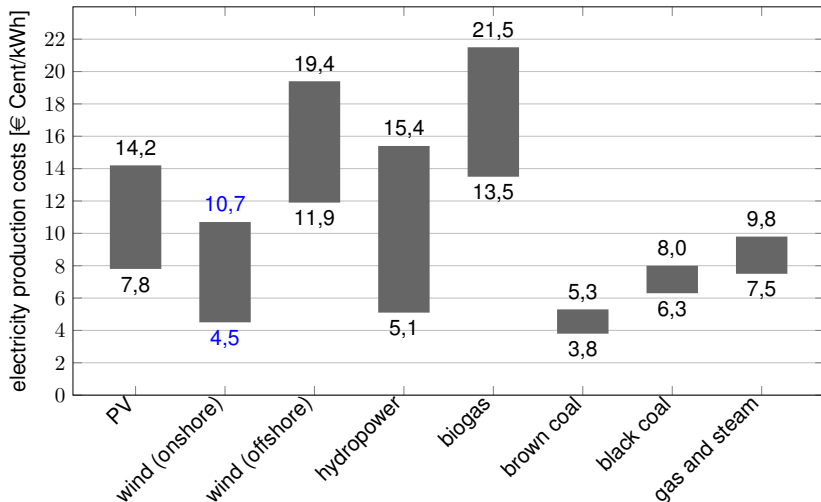
Percentage of installations after **generator topology** in Germany 1990-2013 (from [6])



Percentage of installations after **power size** in Germany 1990-2013 (from [6])



Electricity production costs in Germany 2013



(based on Fig. 1 in [8] and Tab. 4.12 (> 500 kW) in [9]).

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Core components: Inside a wind turbine



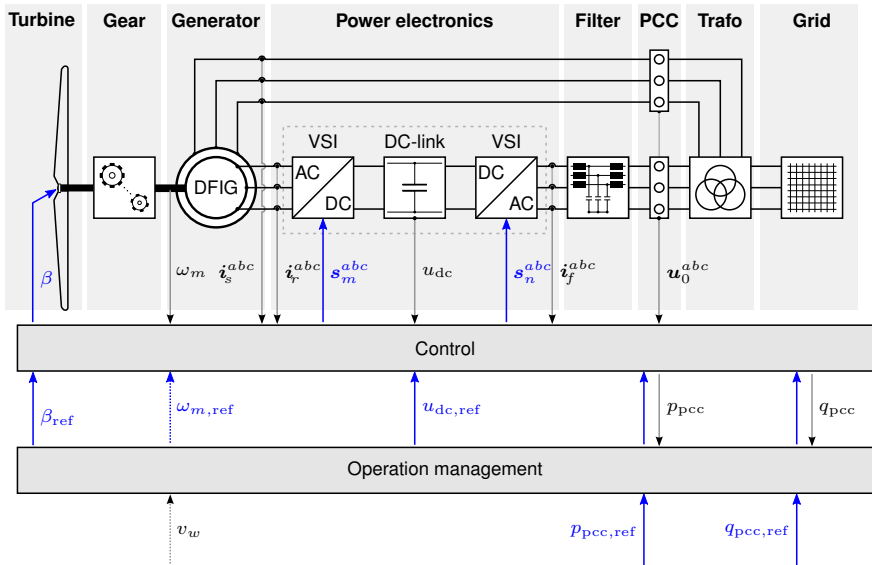
(<http://www.youtube.com/watch?v=NG1uGt6qUfM>; duration: 7:16 min)

Outline

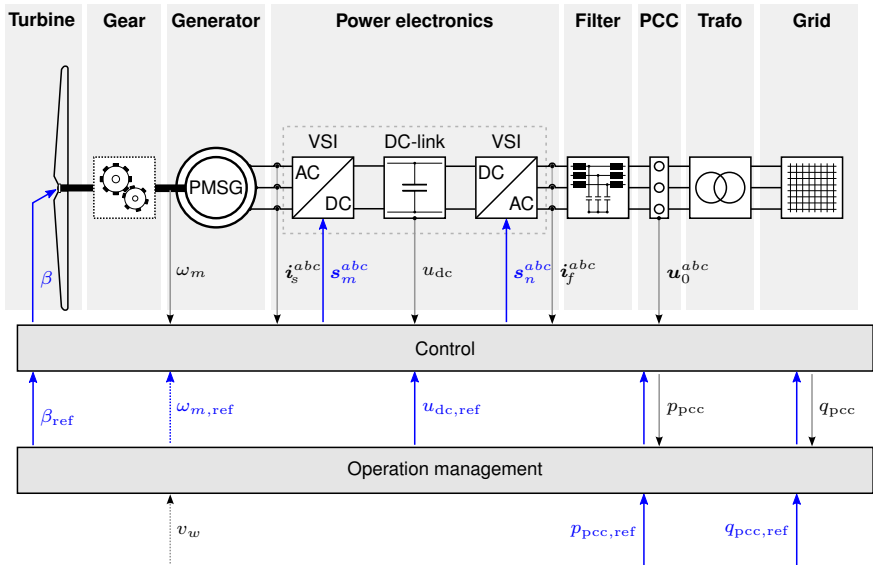
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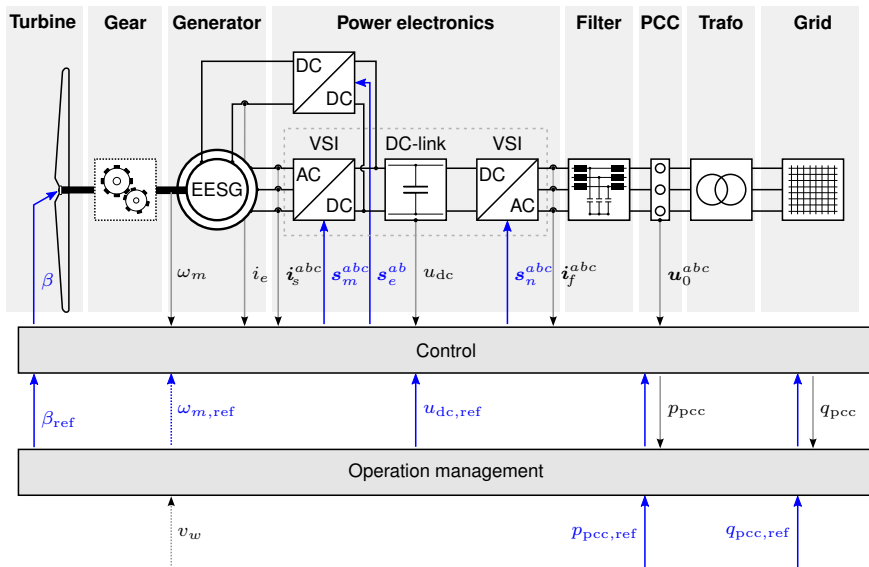
WTS with doubly-fed induction generator



WTS with permanent-magnet synchronous generator



WTS with electrically-excited synchronous generator



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Generator topologies

doubly-fed
induction generator
(DFIG, e.g. ABB)



electrically-excited
synchronous generator
(EESG, e.g. Enercon)

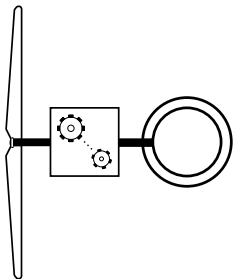


permanent-magnet
synchronous generator
(PMSG, e.g. Siemens)

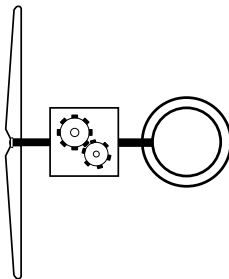


Gear topologies

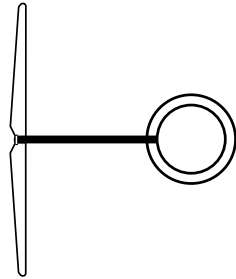
multi-stage gear



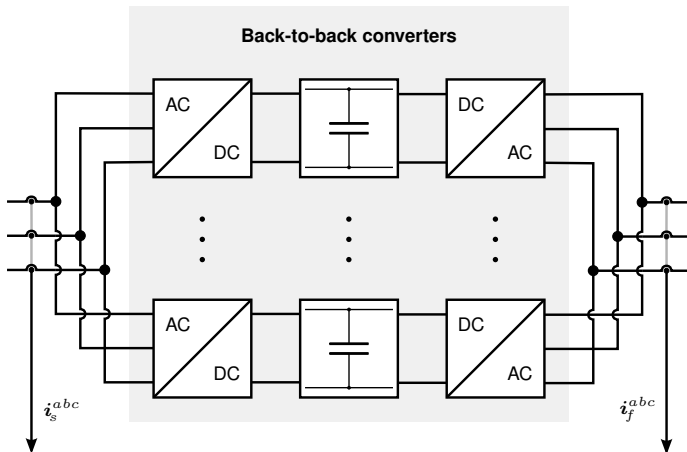
single-stage gear



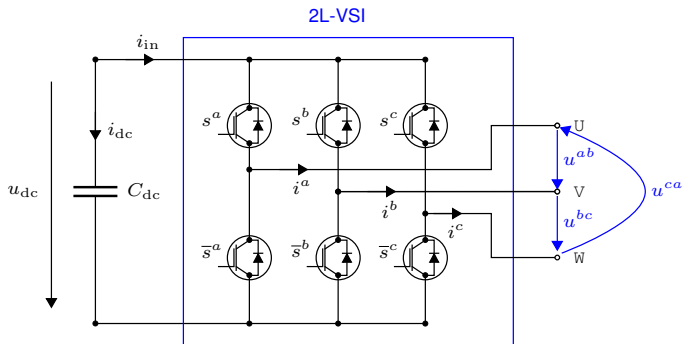
direct drive



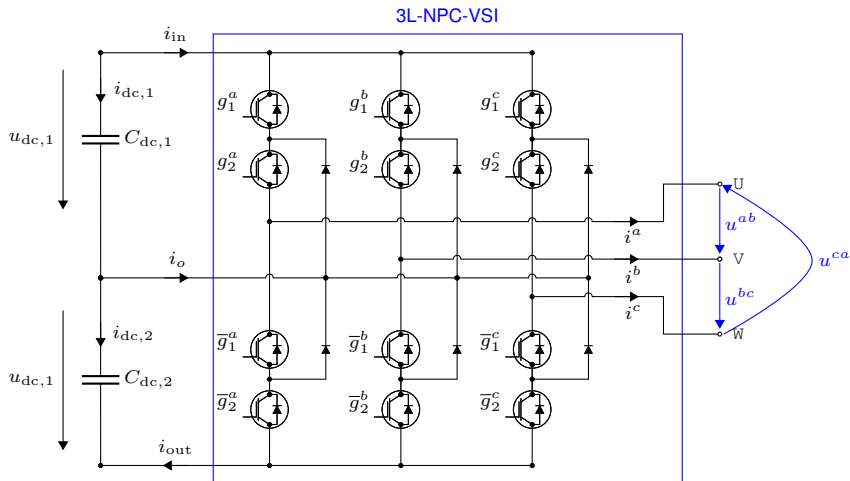
Parallel connection of back-to-back converters



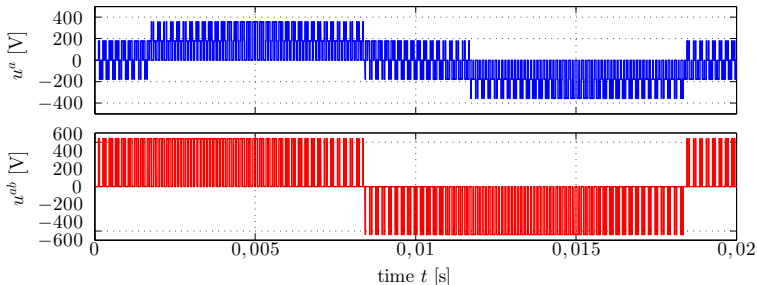
Converter topologies: Two-level voltage source inverter (2L-VSI)



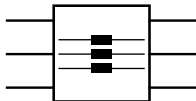
Three-level neutral-point-clamped voltage source inverter (3L-NPC-VSI)



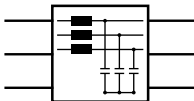
Filter topologies



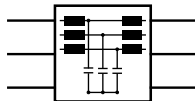
L-filter
(current control)



LC-filter
(voltage control)



LCL-filter
(current control)

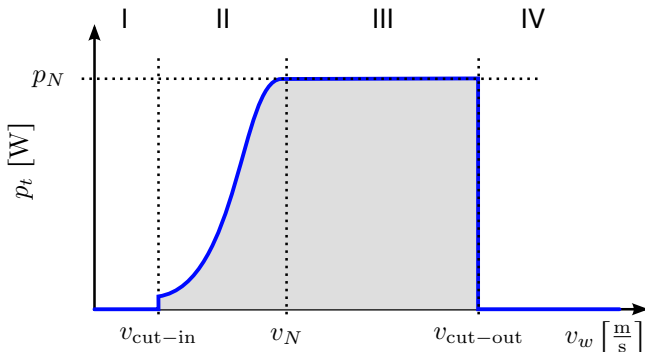


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Operating regimes for different wind speeds



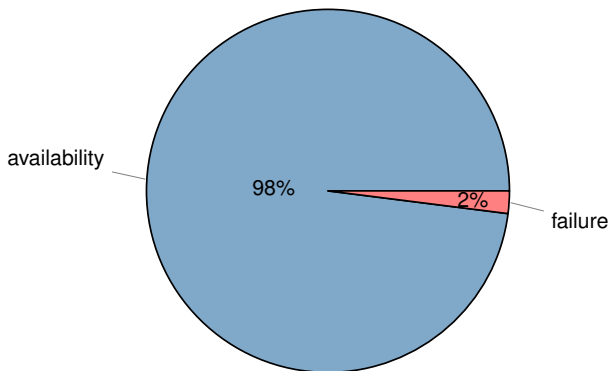
- Regime I: Stand still. No power output, i.e. $p_t = 0$.
- Regime II: Sub-nominal operation. Variable output power, i.e. $0 < p_t < p_n$ (Goal: Maximum power point tracking).
- Regime III: Nominal operation. Output of nominal power, i.e. $p_t = p_n$.
- Regime IV: Stand still (safety shut down), i.e. $p_t = 0$.

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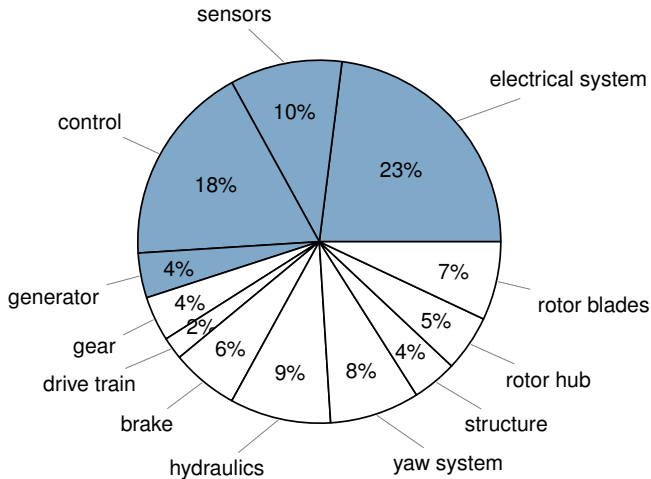
Availability (from a study with 1 500 WT, [10])



Average life span is 20 years (=7 400 days):

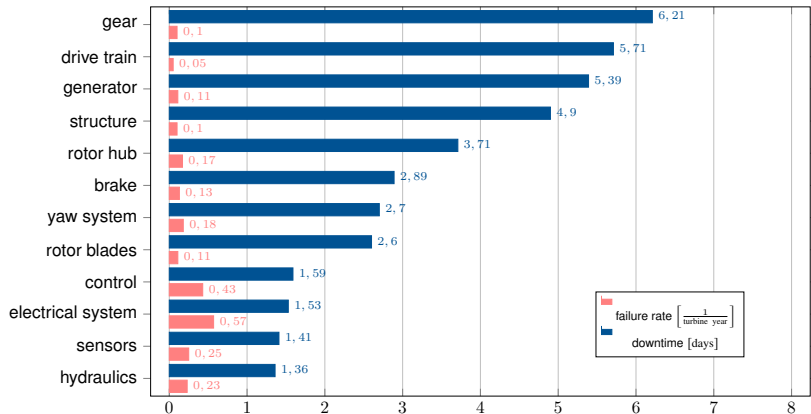
- Availability: 7 154 days
- Failure: 146 days (no production)

Share of failures of subsystems



(based on Fig. 2 in [10])

Failure rate and downtimes



Average down time (over 20 years):

(based on Fig. 2 in [11])

- electrical system: 18,9 days
- control: 16,7 days
- gear: 13,6 days

Seldom failure: Fire



(<http://www.youtube.com/watch?v=0Chtr76jJyA>; duration: 1:03 min)

Outline

2 Preliminaries

- Alternating current (AC) systems
 - One-phase systems
 - Three-phase systems
 - Interconnection of three-phase systems
 - Wiring of three-phase systems
 - Symmetrical three-phase systems
 - Examples: Symmetrical voltages and currents
- AC machines & space vector theory
 - AC machines: Examples
 - Space vector theory
 - Clarke transformation
 - Park transformation
- Power definitions
 - Classical power theory (sinusoidal signals)
 - Instantaneous power theory

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Signals in one-phase systems

Definition 1 (Phase signal ($x \in \{u, i\}$))

$$x: \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}, \quad t \mapsto x(t) := \hat{x}(t) \cos(\phi_x(t))$$

with *amplitude* $\hat{x}(\cdot) \in \mathcal{L}^\infty(\mathbb{R}_{\geq 0}; \mathbb{R})$ and *phase angle* $\phi_x(\cdot) \in \mathcal{C}^{\text{abs}}(\mathbb{R}_{\geq 0}; \mathbb{R})$ (differentiable a.e.).

Definition 2 (Moving average, average rectified value, root mean square [sinusoidal signals with $\hat{x}, T > 0$ and $\phi_x = \frac{2\pi}{T}t$])

- *Moving average:* $\forall t \geq T > 0: \bar{X}(t) := \frac{1}{T} \int_{t-T}^t x(\tau) d\tau \quad [= 0]$
- *Average rectified value:* $\forall t \geq T > 0: \bar{X}_{\text{dc}}(t) := \frac{1}{T} \int_{t-T}^t |x(\tau)| d\tau \quad [= \frac{2\hat{x}}{\pi}]$
- *Root mean square:* $\forall t \geq T > 0: X_{\text{rms}}(t) := \sqrt{\frac{1}{T} \int_{t-T}^t x(\tau)^2 d\tau} \quad [= \frac{\hat{x}}{\sqrt{2}}]$

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Signals in three-phase systems

Definition 3 (Phase signals in vector notation ($x \in \{u, i, \psi, \dots\}$))

$$\mathbf{x}^{abc}: \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}^3, \quad t \mapsto \mathbf{x}^{abc}(t) := \begin{pmatrix} x^a(t) \\ x^b(t) \\ x^c(t) \end{pmatrix} := \begin{pmatrix} \hat{x}^a(t) \cos(\phi_x^a(t)) \\ \hat{x}^b(t) \cos(\phi_x^b(t)) \\ \hat{x}^c(t) \cos(\phi_x^c(t)) \end{pmatrix}$$

with *amplitudes* $\hat{x}^a(\cdot), \hat{x}^b(\cdot), \hat{x}^c(\cdot) \in \mathcal{L}^\infty(\mathbb{R}_{\geq 0}; \mathbb{R})$ and *phase angles* $\phi_x^a(\cdot), \phi_x^b(\cdot), \phi_x^c(\cdot) \in \mathcal{C}^{\text{abs}}(\mathbb{R}_{\geq 0}; \mathbb{R})$ (differentiable a.e.).

Fact (Line-to-line signals)

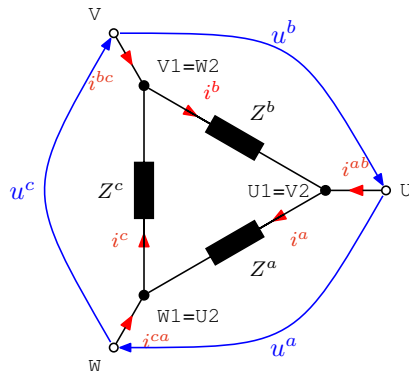
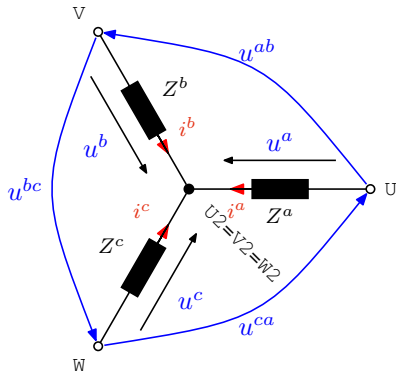
$$\forall t \geq 0: \quad \begin{pmatrix} x^{ab}(t) \\ x^{bc}(t) \\ x^{ca}(t) \end{pmatrix} := \begin{pmatrix} x^a(t) - x^b(t) \\ x^b(t) - x^c(t) \\ x^c(t) - x^a(t) \end{pmatrix} = \underbrace{\begin{bmatrix} 1 & -1 & 0 \\ 0 & 1 & -1 \\ -1 & 0 & 1 \end{bmatrix}}_{=: \mathbf{T}_V^*, \quad \det(\mathbf{T}_V^*)=0} \mathbf{x}^{abc}(t)$$

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Interconnection of three-phase systems



Fact (star / Y connection)

- $i^a + i^b + i^c = 0$
- $u^{ab} + u^{bc} + u^{ca} = 0$
- $(u^a + u^b + u^c \neq 0)$

Fact (delta / Δ connection)

- $i^{ab} + i^{bc} + i^{ca} = 0$
- $u^a + u^b + u^c = 0$
- $(i^a + i^b + i^c \neq 0)$

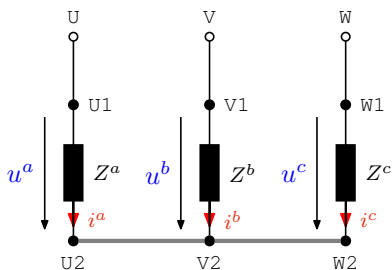
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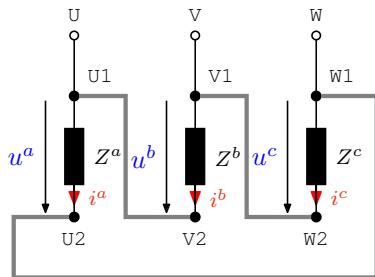
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Wiring of three-phase systems (connector panel)

star connection (symbol γ)



delta connection (symbol Δ)



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Symmetrical three-phase systems

$$\mathbf{x}^{abc}: \mathbb{R}_{\geq 0} \rightarrow \mathbb{R}^3, \quad t \mapsto \mathbf{x}^{abc}(t) := \begin{pmatrix} x^a(t) \\ x^b(t) \\ x^c(t) \end{pmatrix} := \begin{pmatrix} \hat{x}^a(t) \cos(\phi_x^a(t)) \\ \hat{x}^b(t) \cos(\phi_x^b(t)) \\ \hat{x}^c(t) \cos(\phi_x^c(t)) \end{pmatrix}$$

Assumption 1 (Identical phase amplitudes)

$$\forall t \geq 0: \quad \hat{x}(t) := \hat{x}^a(t) = \hat{x}^b(t) = \hat{x}^c(t)$$

Assumption 2 (Phase shift by $\frac{2}{3}\pi$, identical phase angle & offset)

$$\forall t \geq 0: \quad \phi_x(t) = \phi_x^a(t) = \phi_x^b(t) + \frac{2}{3}\pi = \phi_x^c(t) + \frac{4}{3}\pi.$$

with phase angle $\phi_x(\cdot) \in \mathcal{C}^{\text{abs}}(\mathbb{R}_{\geq 0}; \mathbb{R})$.

Fact (Zero sequence vanishes for symmetrical systems)

$$\forall t \geq 0: \quad x^0(t) := x^a(t) + x^b(t) + x^c(t) \stackrel{(\text{A.1}), (\text{A.2})}{=} 0$$

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Examples: Symmetrical voltages and currents

Examples 1

Symmetrical phase voltages:

$$\forall t \geq 0: \quad \mathbf{u}^{abc}(t) := \begin{pmatrix} u^a(t) \\ u^b(t) \\ u^c(t) \end{pmatrix} = \hat{u}(t) \begin{pmatrix} \cos(\phi_u(t)) \\ \cos\left(\phi_u(t) - \frac{2}{3}\pi\right) \\ \cos\left(\phi_u(t) - \frac{4}{3}\pi\right) \end{pmatrix}$$

Symmetrical phase currents:

$$\forall t \geq 0: \quad \mathbf{i}^{abc}(t) := \begin{pmatrix} i^a(t) \\ i^b(t) \\ i^c(t) \end{pmatrix} = \hat{i}(t) \begin{pmatrix} \cos(\phi_i(t)) \\ \cos\left(\phi_i(t) - \frac{2}{3}\pi\right) \\ \cos\left(\phi_i(t) - \frac{4}{3}\pi\right) \end{pmatrix}$$

Definition 4 (Phase shift)

$$\forall t \geq 0: \quad \varphi(t) := \phi_u(t) - \phi_i(t)$$

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AC machines: Examples

Induction machine (IM)



<http://www.automation.siemens.com/bilddb/>

Synchronous machine (SM)



<http://www.automation.siemens.com/bilddb/>

Induction machine (cutaway view)



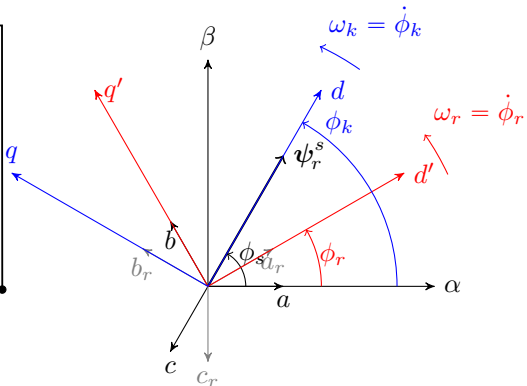
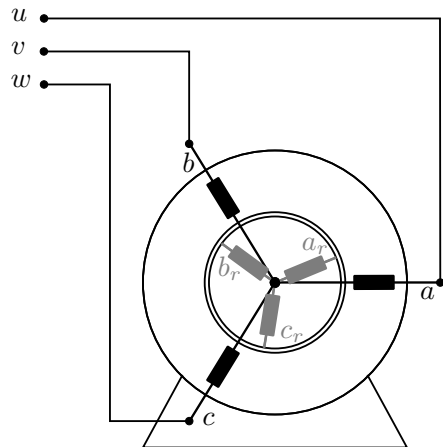
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Outline

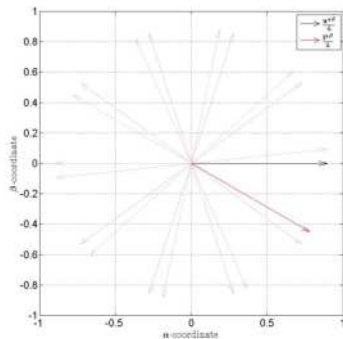
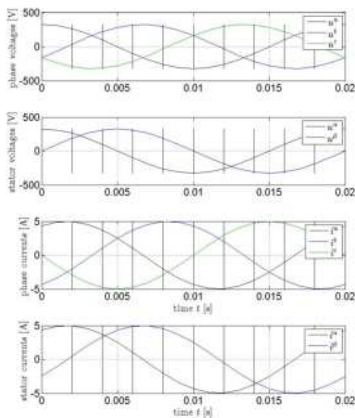
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Space vector theory



Space vector theory (animation)



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Clarke transformation $(a, b, c) \rightarrow (\alpha, \beta, 0)$

Definition 5 (Clarke transformation with $k \in \{2/3, \sqrt{2/3}\}$)

$$\mathbf{f}_C^*: \mathbb{R}^3 \rightarrow \mathbb{R}^3, \underbrace{\begin{pmatrix} x^a \\ x^b \\ x^c \end{pmatrix}}_{=: \mathbf{x}^{abc}} \mapsto \underbrace{\begin{pmatrix} x^\alpha \\ x^\beta \\ x^0 \end{pmatrix}}_{=: \mathbf{x}^{s,*}} := \mathbf{f}_C(\mathbf{x}^{abc}) := \underbrace{k \begin{bmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} \\ 0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2} \\ \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{bmatrix}}_{=: \mathbf{T}_C^* \in \mathbb{R}^{3 \times 3}, \forall k \neq 0: \det(\mathbf{T}_C^*) \neq 0} \begin{pmatrix} x^a \\ x^b \\ x^c \end{pmatrix}$$

$$(\mathbf{T}_C^*)^{-1} = \frac{1}{k} \begin{bmatrix} \frac{2}{3} & 0 & \frac{\sqrt{2}}{3} \\ -\frac{1}{3} & \frac{1}{\sqrt{3}} & \frac{\sqrt{2}}{3} \\ -\frac{1}{3} & -\frac{1}{\sqrt{3}} & \frac{\sqrt{2}}{3} \end{bmatrix}, \text{ hence } \mathbf{x}^{abc} = (\mathbf{f}_C^*)^{-1}(\mathbf{x}^{s,*}) = (\mathbf{T}_C^*)^{-1} \mathbf{x}^{s,*}.$$

Remark 1 (Choice of k)

$$k = \frac{2}{3} \implies \text{amplitude-correct transformation, i.e. } |x^a| = |x^\alpha|.$$

$$k = \sqrt{\frac{2}{3}} \implies \text{power-correct transformation, i.e. } (\mathbf{u}^{abc})^\top \mathbf{i}^{abc} = (\mathbf{u}^s)^\top \mathbf{i}^s.$$

Simplified Clarke transformation $(a, b, c) \rightarrow (\alpha, \beta)$

For **symmetrical systems**, i.e. $x^a(t) + x^b(t) + x^c(t) = 0$ for all $t \geq 0$:

$$\mathbf{f}_C: \mathbb{R}^3 \rightarrow \mathbb{R}^2, \underbrace{\begin{pmatrix} x^a \\ x^b \\ x^c \end{pmatrix}}_{=: \mathbf{x}^{abc}} \mapsto \underbrace{\begin{pmatrix} x^\alpha \\ x^\beta \end{pmatrix}}_{=: \mathbf{x}^s} := \mathbf{f}_C(\mathbf{x}^{abc}) = k \underbrace{\begin{bmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} \\ 0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2} \end{bmatrix}}_{=: \mathbf{T}_C \in \mathbb{R}^{2 \times 3}} \begin{pmatrix} x^a \\ x^b \\ x^c \end{pmatrix}$$

where

$$(\mathbf{T}_C)^{-1} := \frac{1}{k} \begin{bmatrix} \frac{2}{3} & 0 \\ -\frac{1}{3} & \frac{1}{\sqrt{3}} \\ -\frac{1}{3} & -\frac{1}{\sqrt{3}} \end{bmatrix}, \text{ hence } \mathbf{x}^{abc} = (\mathbf{T}_C)^{-1} \mathbf{x}^s.$$

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Park transformation $(\alpha, \beta) \rightarrow (d, q)$

Definition 6 (Park transformation (simplified))

$$\mathbf{f}_P: \mathbb{R}^2 \times \mathbb{R} \rightarrow \mathbb{R}^2,$$

$$\underbrace{\begin{pmatrix} x^\alpha \\ x^\beta \end{pmatrix}}_{=: \mathbf{x}^s}, \phi \mapsto \underbrace{\begin{pmatrix} x^d \\ x^q \end{pmatrix}}_{=: \mathbf{x}^k} := \mathbf{f}_P(\mathbf{x}^s, \phi) = \underbrace{\begin{bmatrix} \cos(\phi) & -\sin(\phi) \\ \sin(\phi) & \cos(\phi) \end{bmatrix}}_{=: \mathbf{T}_P(\phi) \in \mathbb{R}^{2 \times 2}, \forall \phi \in \mathbb{R}: \det(\mathbf{T}_P) \neq 0} \begin{pmatrix} x^\alpha \\ x^\beta \end{pmatrix}$$

Properties

- $\forall \phi_1, \phi_2 \in \mathbb{R}: \quad \mathbf{T}_P(\phi_1 + \phi_2) = \mathbf{T}_P(\phi_1) + \mathbf{T}_P(\phi_2)$
- $\forall \phi \in \mathbb{R}: \quad \mathbf{T}_P(\phi)^{-1} = \begin{bmatrix} \cos(\phi) & \sin(\phi) \\ -\sin(\phi) & \cos(\phi) \end{bmatrix} = \mathbf{T}_P(-\phi) = \mathbf{T}_P(\phi)^\top$
- $\mathbf{J} := \mathbf{T}_P(\frac{\pi}{2}) = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$ and $\forall \phi \in \mathbb{R}: \quad \mathbf{J}\mathbf{T}_P(\phi) = \mathbf{T}_P(\phi)\mathbf{J}$
- $\forall \phi(\cdot) \in \mathcal{C}^{\text{abs}}(\mathbb{R}_{\geq 0}; \mathbb{R}): \quad \omega(\cdot) := \frac{d}{dt}\phi(\cdot) \wedge \frac{d}{dt}\mathbf{T}_P(\phi(t)) = \omega(t)\mathbf{J}\mathbf{T}_P(\phi(t))$

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2 Preliminaries

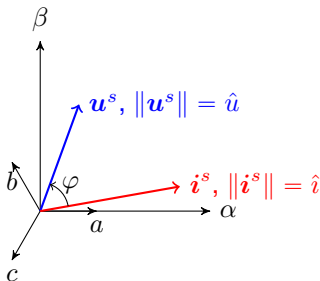
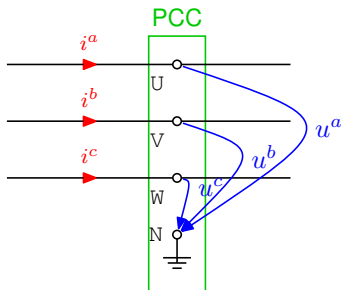
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Classical power theory (sinusoidal signals)



Definition 7 (Active power [for $T, \hat{u}, \hat{i}, \varphi > 0$])

$$\forall t \geq T := \frac{2\pi}{\omega}: \quad P_{3\sim}(t) := \frac{1}{T} \int_{t-T}^t \mathbf{u}^{abc}(\tau)^\top \mathbf{i}^{abc}(\tau) d\tau \quad [= \frac{3}{2} \hat{u} \hat{i} \cos(\varphi)]$$

Definition 8 (Reactive power [for $T, \hat{u}, \hat{i}, \varphi > 0$])

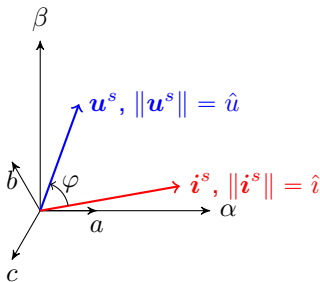
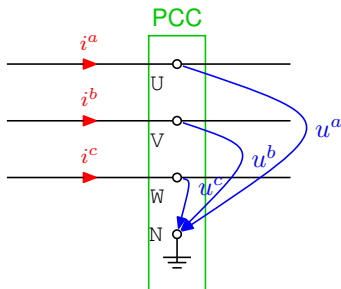
$$\forall t \geq T := \frac{2\pi}{\omega}: \quad Q_{3\sim}(t) := \frac{1}{T} \int_{t-T}^t \mathbf{u}^{abc}(\tau)^\top \mathbf{i}^{abc}(\tau - \frac{T}{4}) d\tau \quad [= \frac{3}{2} \hat{u} \hat{i} \sin(\varphi)]$$

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Instantaneous power theory



Definition 9 (Instantaneous active power)

$$\forall t \geq 0: \quad p_{3\sim}(t) := \frac{3}{2} \mathbf{u}^s(t)^\top \mathbf{i}^s(t) \quad [= \mathbf{u}^{abc}(t)^\top \mathbf{i}^{abc}(t) = \frac{3}{2} \hat{u}(t) \hat{i}(t) \cos(\varphi(t))]$$

Definition 10 (Instantaneous reactive power)

$$\forall t \geq 0: \quad q_{3\sim}(t) := \frac{3}{2} \mathbf{u}^s(t)^\top \mathbf{J} \mathbf{i}^s(t) \quad [= \frac{3}{2} \hat{u}(t) \hat{i}(t) \sin(\varphi(t))]$$

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3 Modeling (part 1): Turbine, aerodynamics & power coefficient

- Example: Wind turbine systems with synchronous generator
- Power flow (simplified)
- Aerodynamics and power coefficient
 - Tip speed ratio and power coefficient
 - Power coefficient (without pitch system)
 - Power coefficient (with pitch system)
 - Aerodynamic torque (turbine torque)
- Dynamics of the mechanical system (simplified)
- Résumé: Modeling (part 1)

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Example: Wind turbine systems with direct drive

Wind turbine SWT-2.3-113

permanent-magnet
synchronous generator (PMSG)

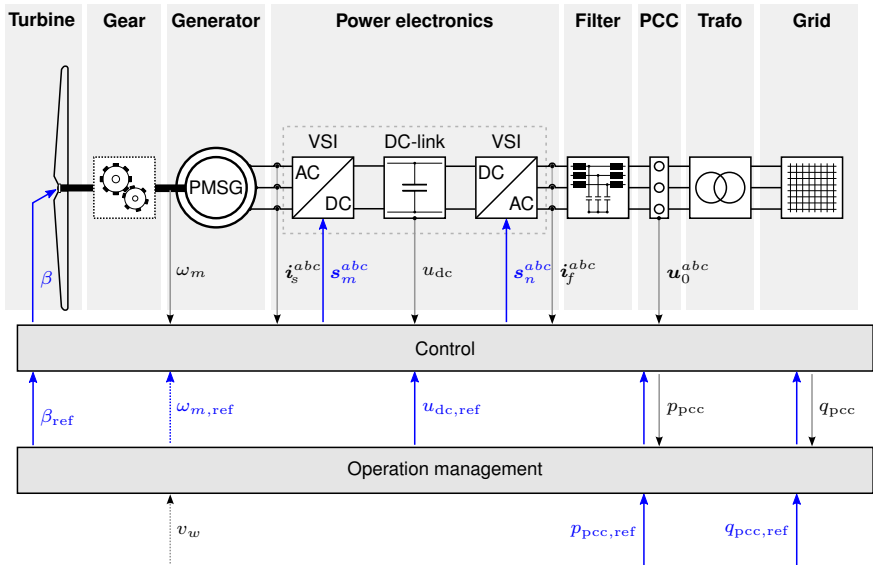


<http://www.siemens.com/press/de/pressebilder/>



<http://www.siemens.com/press/de/pressebilder/>

Wind turbine systems with PMSG – core components

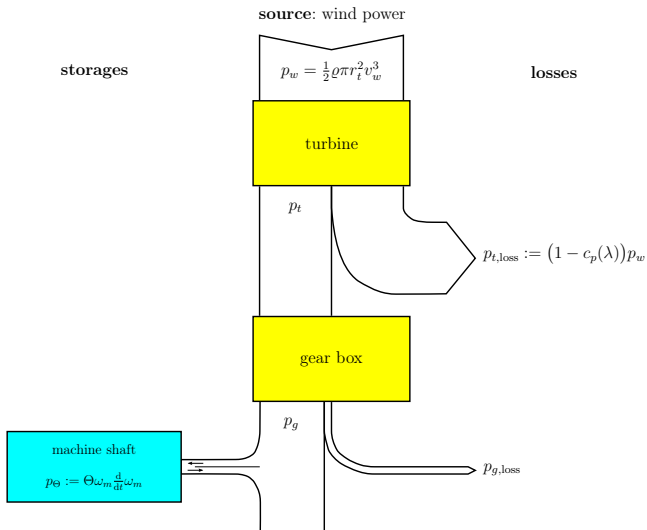


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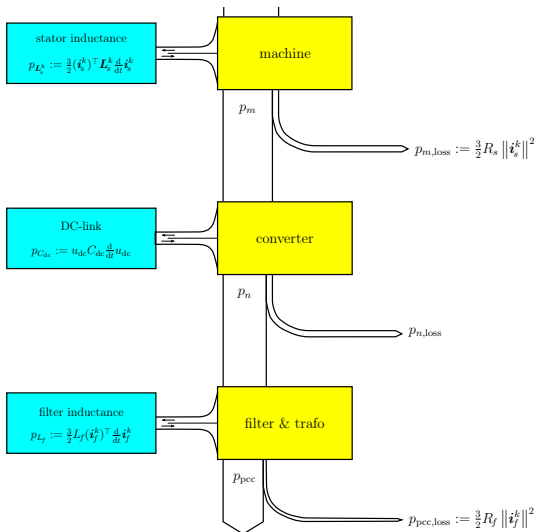
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Power flow (part 1)



Power flow (part 2)



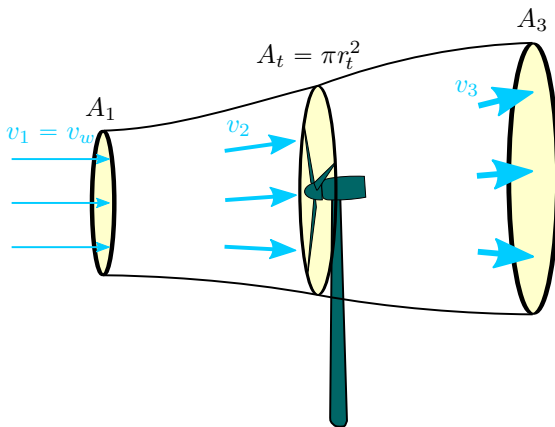
sink: point of common coupling (PCC)

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Wind power, turbine power and Betz limit



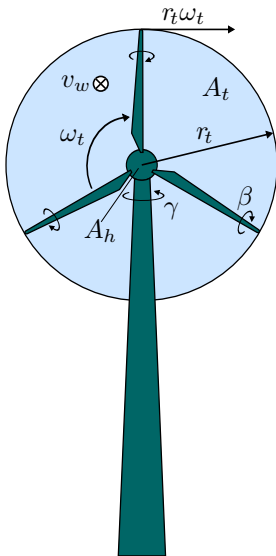
$$p_w(t) = \frac{1}{2} \rho \pi r_t^2 v_w(t)^3 \Rightarrow p_t(t) = c_p(\cdot, \cdot) p_w(t) \leq \underbrace{c_{p, \text{Betz}}}_{=16/27 \approx 0,59} p_w(t) \quad (1)$$

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Tip speed ratio and power coefficient



Tip speed ratio

$$\lambda := \lambda(\omega_m, v_w) := \frac{r_t \omega_m}{g_r v_w} [1], \quad \omega_m = g_r \omega_t. \quad (2)$$

Power coefficient

$$c_p: \overline{\mathcal{D}} \rightarrow \mathbb{R}_{\geq 0}, \quad (\beta, \lambda) \mapsto c_P(\beta, \lambda) := c_1 [c_2 f(\beta, \lambda) - c_3 \beta - c_4 \beta^x - c_5] e^{-c_6 f(\beta, \lambda)}$$

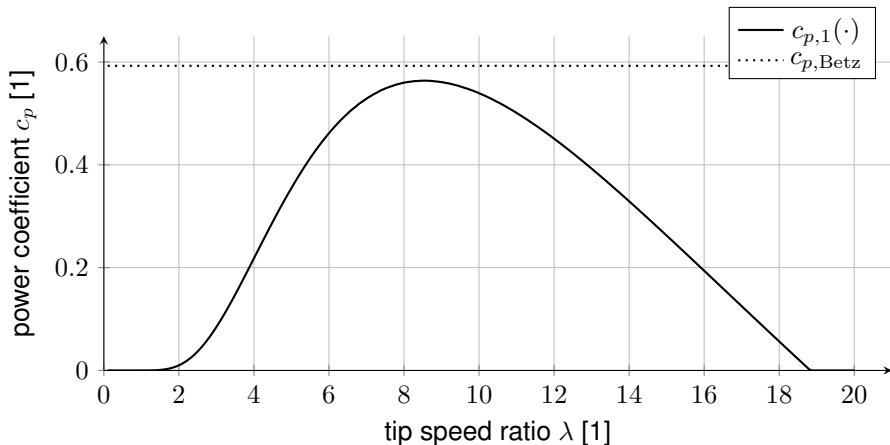
where $\mathcal{D} := \{ (\beta, \lambda) \in \mathbb{R}_{\geq 0} \times \mathbb{R}_{\geq 0} \mid c_P(\beta, \lambda) > 0 \}$ (3)

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Power coefficient (without pitch system)



Turbine power (power capture)

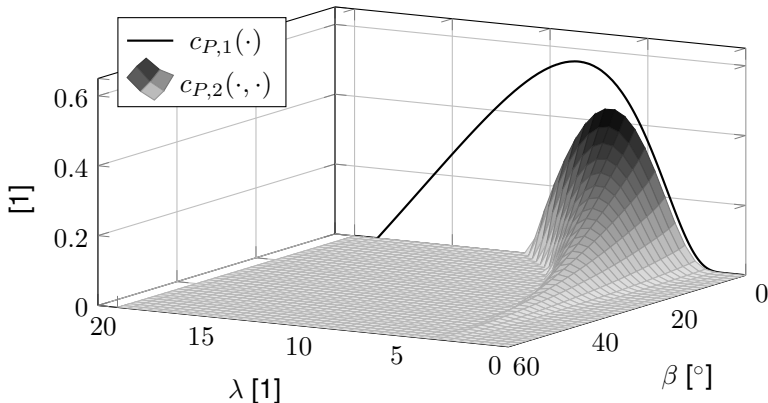
$$p_t = c_{p,1}(\lambda)p_w(t) = c_{p,1}(\lambda) \frac{1}{2} \rho \pi r_t^2 v_w(t)^3 \leq c_{p,Betz} \frac{1}{2} \rho \pi r_t^2 v_w(t)^3 \quad (4)$$

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Power coefficient (with pitch system)



Turbine power (power capture)

$$p_t(t) = c_{p,2}(\lambda, \beta) p_w(t) = c_{p,2}(\lambda, \beta) \frac{1}{2} \rho \pi r_t^2 v_w(t)^3 \leq c_{p,\text{Betz}} \frac{1}{2} \rho \pi r_t^2 v_w(t)^3 \quad (5)$$

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Aerodynamic torque (turbine torque)

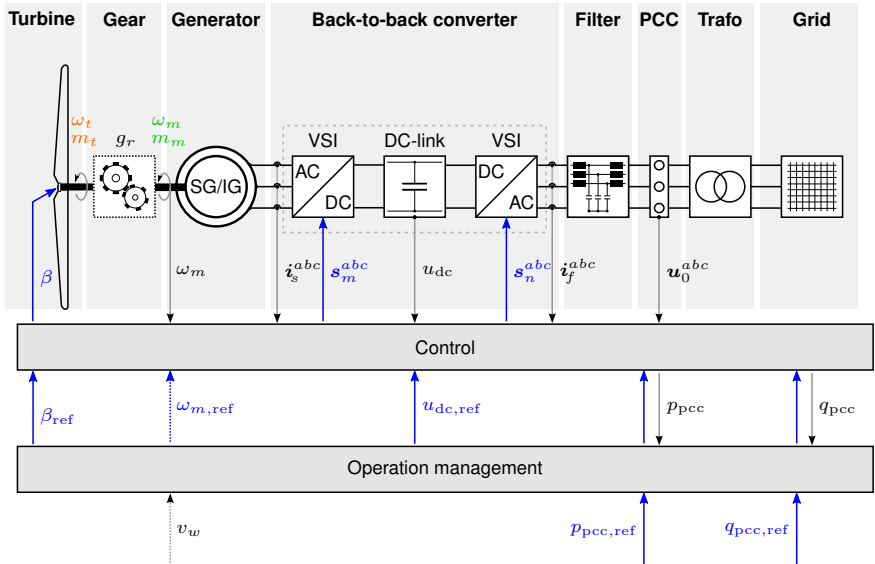
... discussion on whiteboard ...

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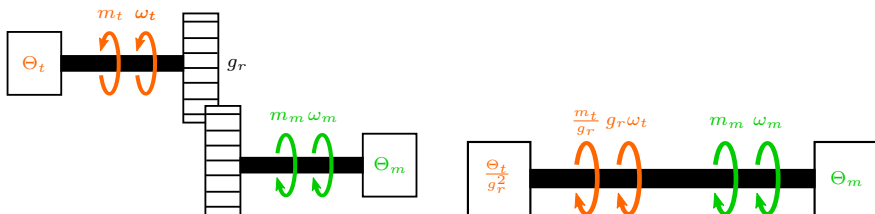
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Core components (recap.)



Effect of the gear ratio



Gear ratio

$$g_r := \frac{\omega_m}{\omega_t} \geq 1 \quad (\text{for wind turbine systems})$$

Energy and power balance

$$\forall t \geq 0: \quad \frac{1}{2} (\Theta_t \omega_t(t)^2 + \Theta_m \omega_m(t)^2) = \text{const.} \quad \wedge \quad m_t(t) \omega_t(t) = m_m(t) \omega_m(t)$$

Dynamics of the mechanical system (stiff coupling)

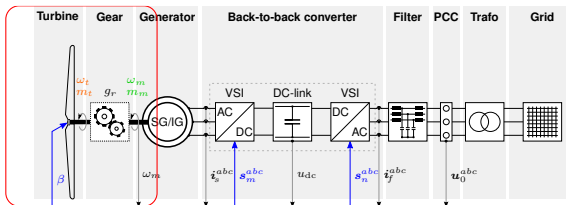
... discussion on whiteboard ...

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Résumé: Modeling (part 1)



Facts (Wind power, turbine torque and mechanical system)

Wind power
$$p_w(v_w) = \frac{1}{2} \rho \pi r_t^2 v_w^3 \geq 0$$

Tip speed ratio
$$\lambda := \lambda(\omega_t, v_w) := \frac{r_t \omega_t}{v_w}, \quad \omega_m = g_r \omega_t$$

Power coefficient
$$c_p(\beta, \lambda) \approx c_1 [c_2 f(\beta, \lambda) - c_3 \beta - c_4 \beta^x - c_5] e^{-c_6 f(\beta, \lambda)}$$

Turbine power
$$p_t(\beta, v_w, \omega_t) = c_p(\beta, v_w, \omega_t) p_w(v_w)$$

Turbine torque
$$m_t(\beta, v_w, \omega_t) = \frac{p_t(\beta, v_w, \omega_t)}{\omega_t} \geq 0, \quad \forall \omega_t \geq 0$$

Mech. dynamics
$$\frac{d}{dt} \omega_m = \frac{1}{\Theta} \left(\frac{m_t(\beta, v_w, \omega_t)}{g_r} + m_m(?) \right), \quad \Theta := \Theta_m + \frac{\Theta_t}{g_r^2} > 0$$

Outline

4 Modeling (part 2): Electrical components

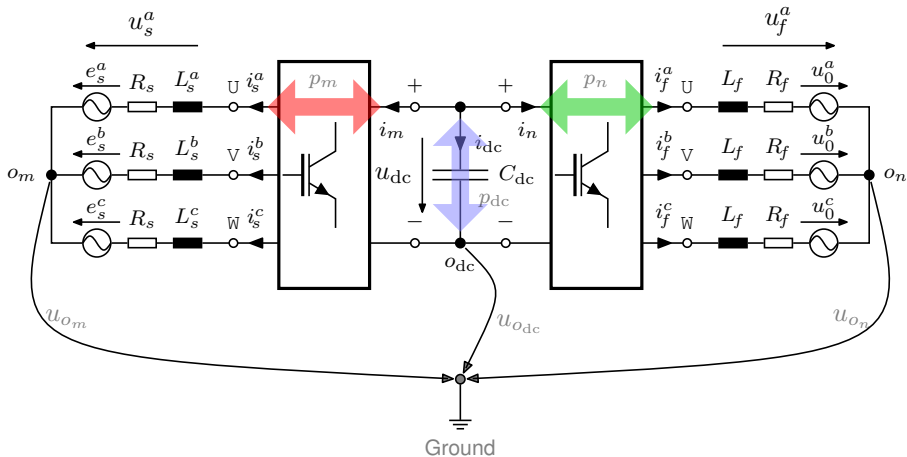
- Electrical network of wind turbine system (overview)
- Generator dynamics (permanent-magnet synchronous generator)
- Grid-side dynamics (filter, grid voltage)
- Voltage source inverter (VSI)
 - Electrical network and output voltages
 - “Output space”: Voltage hexagon
 - Pulse width modulation (PWM)
- DC-link dynamics
- Résumé: Modeling (part 2)

Outline

4 Modeling (part 2): Electrical components

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Electrical network of wind turbine system (overview)



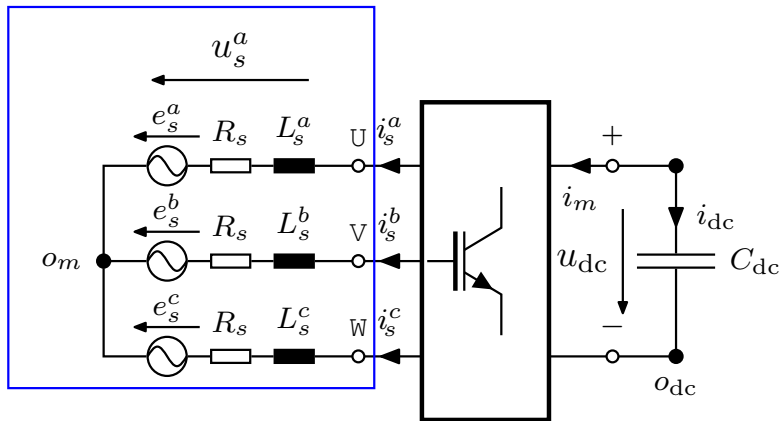
⇒ Dynamics on machine and grid side (in (α, β) -CoSy) and of DC-link?

Outline

4 Modeling (part 2): Electrical components

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Electrical network on machine side



⇒ Generator dynamics in stationary reference frame (i.e. (α, β) -CoSy) ?

Generator dynamics in (α, β) -CoSy

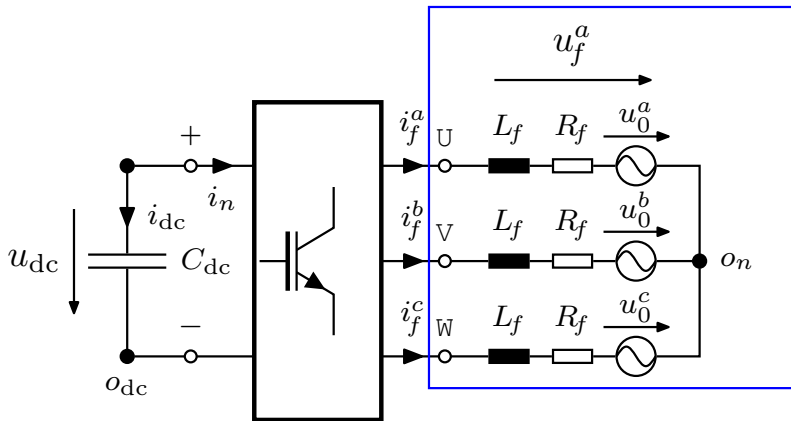
... discussion on whiteboard ...

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Electrical network on grid side



⇒ Grid-side dynamics in stationary reference frame (i.e. (α, β) -CoSy) ?

Grid side dynamics in (α, β) -CoSy

... discussion on whiteboard ...

Outline

4 Modeling (part 2): Electrical components

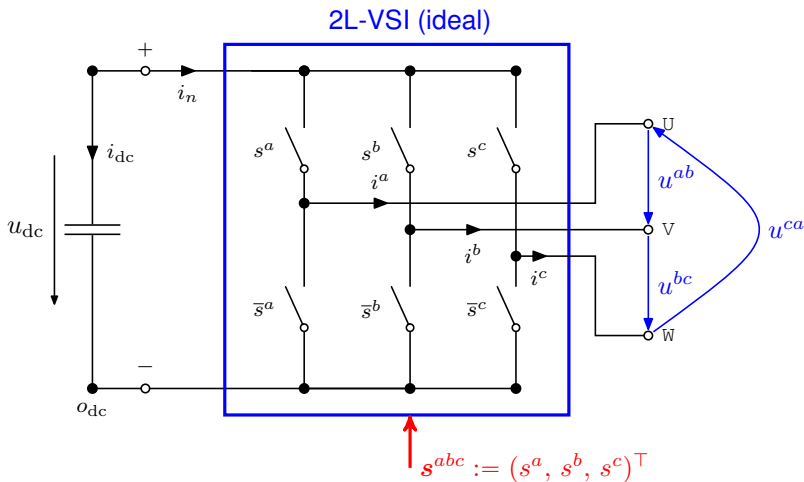
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Electrical network



$\Rightarrow$ Output voltages $u_{1tl}^{abc} = (u^{ab}, u^{bc}, u^{ca})^T = f(s^{abc}, u_{dc})$?

Output voltages $\mathbf{u}_{\text{ltl}}^{abc} = (u^{ab}, u^{bc}, u^{ca})^\top = f(\mathbf{s}^{abc}, u_{\text{dc}})$

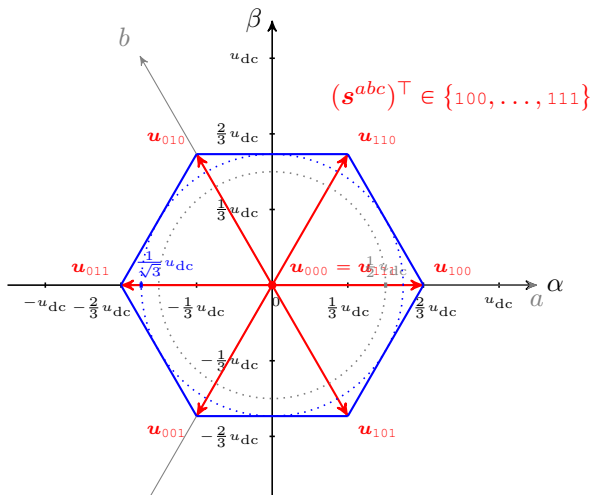
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“Output space”: Voltage hexagon

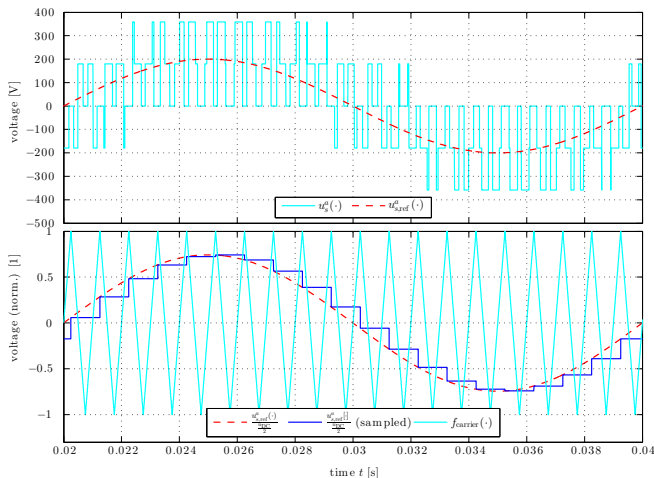


Outline

4 Modeling (part 2): Electrical components

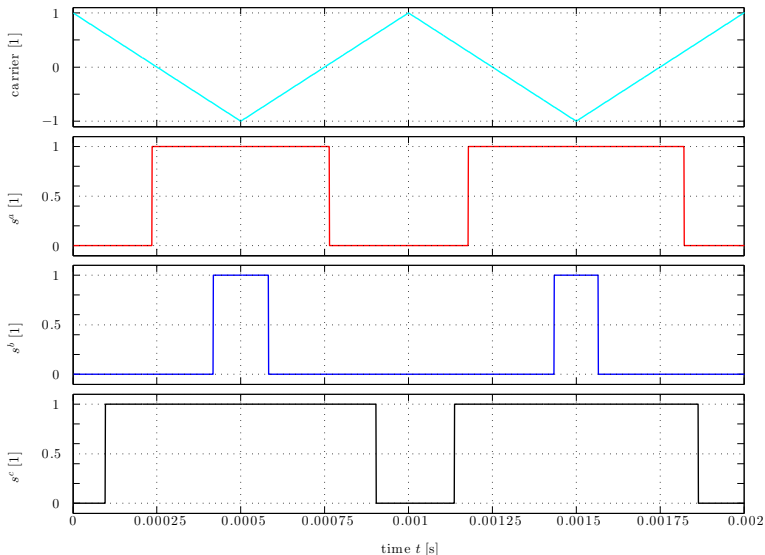
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Pulse width modulation (PWM): Symmetrical PWM



$\Rightarrow$ dead time $T_{dead} = f(T_{pwm})?$

Pulse width modulation (PWM): Symmetrical PWM

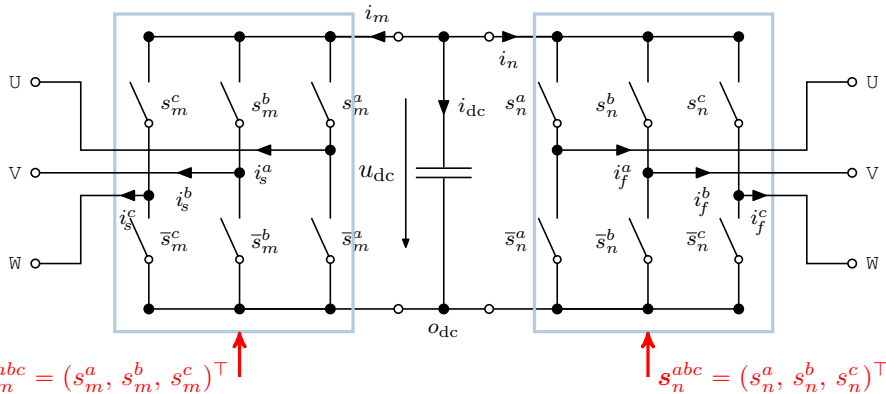


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Electrical network of DC-link



$\Rightarrow$ DC-link dynamics $\frac{d}{dt} u_{dc} = f(\mathbf{s}_m^{abc}, \mathbf{i}_s^{abc}, \mathbf{s}_n^{abc}, \mathbf{i}_f^{abc})$?

DC-link dynamics

$$\frac{d}{dt}u_{dc} = f(\mathbf{s}_m^{abc}, \mathbf{i}_s^{abc}, \mathbf{s}_n^{abc}, \mathbf{i}_f^{abc})$$

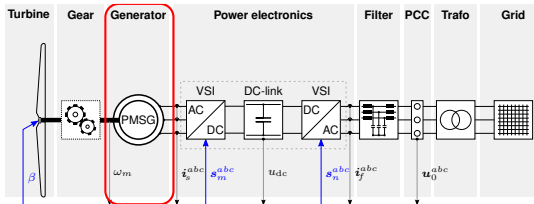
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- **Résumé: Modeling (part 2)**

Résumé: Modeling (part 2) – Generator



Facts (Generator dynamics (in (α, β) -CoSy))

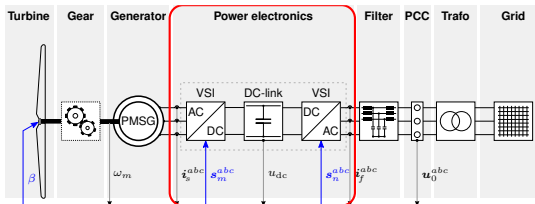
stator:
$$\mathbf{u}_s^s = R_s \mathbf{i}_s^s + \frac{d}{dt} \boldsymbol{\psi}_s^s$$

flux:
$$\boldsymbol{\psi}_s^k = \underbrace{\begin{bmatrix} L_s^d & 0 \\ 0 & L_s^q \end{bmatrix}}_{=: \mathbf{L}_s^k \in \mathbb{R}^{2 \times 2}} \mathbf{i}_s^k + \underbrace{\begin{pmatrix} \psi_{pm} \\ 0 \end{pmatrix}}_{=: \boldsymbol{\psi}_{pm}^k}$$

torque:
$$m_m(\mathbf{i}_s^s, \boldsymbol{\psi}_s^s) = \frac{3}{2} p (\mathbf{i}_s^s)^\top \mathbf{J} \boldsymbol{\psi}_s^s.$$

mechanics:
$$\frac{d}{dt} \omega_m = \frac{1}{\Theta} \left(\frac{m_T(\beta, v_w, \omega_t)}{g_r} + m_m(\mathbf{i}_s^s, \boldsymbol{\psi}_s^s) \right)$$

Résumé: Modeling (part 2) – Back-to-back converter



Facts (Back-to-back converter/VSI & DC-link (in (a, b, c) -CoSy))

DC-link dynamics:
$$\frac{d}{dt} u_{dc}(t) = \frac{1}{C_{dc}} \left(-s_m^{abc}(t)^\top i_s^{abc}(t) - s_n^{abc}(t)^\top i_f^{abc}(t) \right)$$

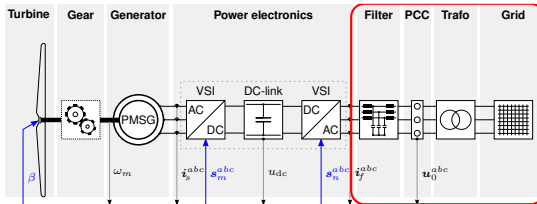
output voltage(s):
$$u_{s/f,tl}^{abc}(t) = u_{dc}(t) \begin{bmatrix} 1 & -1 & 0 \\ 0 & 1 & -1 \\ -1 & 0 & 1 \end{bmatrix} s_{m/n}^{abc}(t)$$

$$u^a + u^b + u^c = 0 \implies$$

$$u_{s/f}^{abc}(t) = \frac{u_{dc}(t)}{3} \begin{bmatrix} 2 & -1 & -1 \\ -1 & 2 & -1 \\ -1 & -1 & 2 \end{bmatrix} s_{m/n}^{abc}(t)$$

dead time:
$$T_{dead} \propto T_{pwm}$$

Résumé: Modeling (part 2) – Filter, power & grid



Facts (Filter dynamics, power & grid voltage (in (α, β) -CoSy))

filter:
$$\mathbf{u}_f^s(t) = R_f \mathbf{i}_f^s(t) + L_f \frac{d}{dt} \mathbf{i}_f^s(t) + \mathbf{u}_0^s(t)$$

active power:
$$p_{\text{PCC}}(t) = \frac{3}{2} \mathbf{u}_0^s(t)^\top \mathbf{i}_f^s(t)$$

reactive power:
$$q_{\text{PCC}}(t) = \frac{3}{2} \mathbf{u}_0^s(t)^\top \mathbf{J} \mathbf{i}_f^s(t)$$

grid voltage:
$$\mathbf{u}_0^s(t) = \hat{u}_0 \begin{pmatrix} \cos(\phi_0(t)) \\ \sin(\phi_0(t)) \end{pmatrix}$$

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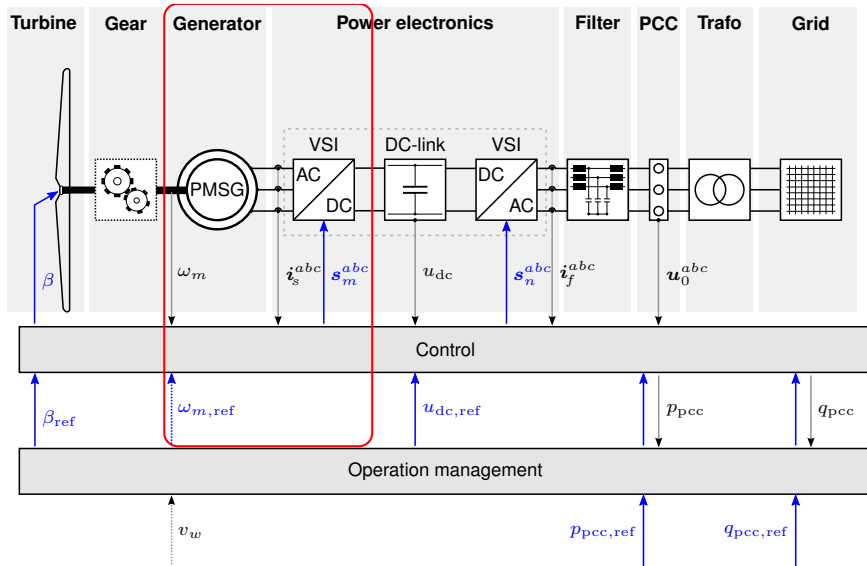
■ Speed control

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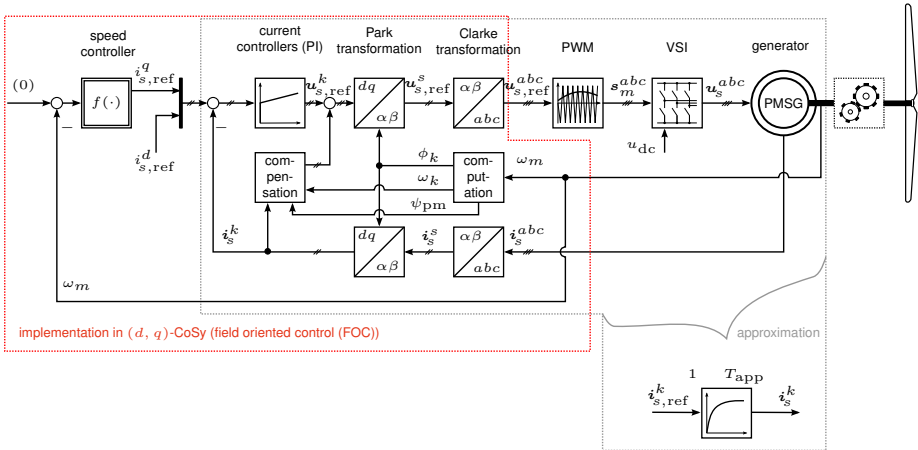
■ Simulation results

■ Résumé: Control (part 1)

Generator side control: Relevant components



Overall control system on the generator side



Outline

5 Control (part 1): Generator side

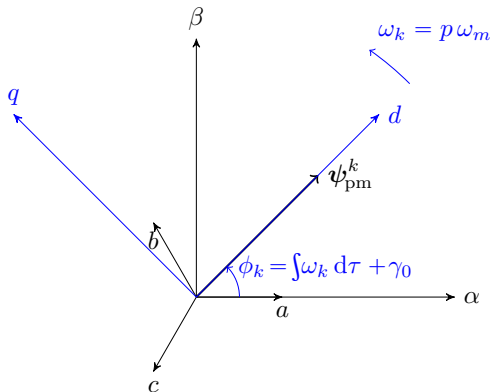
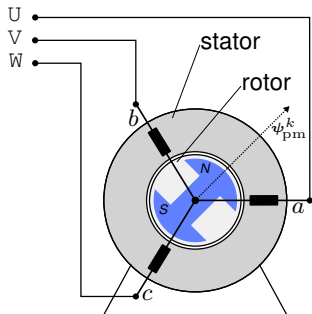
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Field orientation: Basic idea (for $p = 1$)

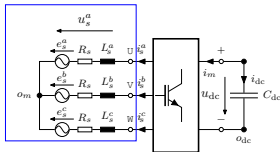


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Generator dynamics (recap.)



Facts (Generator dynamics (in (α, β) -CoSy))

$$\text{stator:} \quad \mathbf{u}_s^s = R_s \mathbf{i}_s^s + \frac{d}{dt} \boldsymbol{\psi}_s^s$$

$$\text{flux:} \quad \boldsymbol{\psi}_s^k = \underbrace{\begin{bmatrix} L_s^d & 0 \\ 0 & L_s^q \end{bmatrix}}_{=: \mathbf{L}_s^k \in \mathbb{R}^{2 \times 2}} \mathbf{i}_s^k + \underbrace{\begin{pmatrix} \psi_{pm} \\ 0 \end{pmatrix}}_{=: \boldsymbol{\psi}_{pm}^k}$$

$$\text{torque:} \quad m_m(\mathbf{i}_s^s, \boldsymbol{\psi}_s^s) = \frac{3}{2} p (\mathbf{i}_s^s)^\top \mathbf{J} \boldsymbol{\psi}_s^s.$$

$$\text{mechanics:} \quad \frac{d}{dt} \omega_m = \frac{1}{\Theta} \left(\frac{m_T(\beta, v_w, \omega_t)}{g_r} + m_m(\mathbf{i}_s^s, \boldsymbol{\psi}_s^s) \right)$$

⇒ Generator dynamics in (d, q) -CoSy,

i.e. $\frac{d}{dt} \mathbf{i}_s^k = \mathbf{f}(\mathbf{i}_s^k, \boldsymbol{\psi}_s^k)$ and $m_m = f(\mathbf{i}_s^k, \boldsymbol{\psi}_s^k)$?

Generator dynamics in field orientation/ (d, q) -CoSy

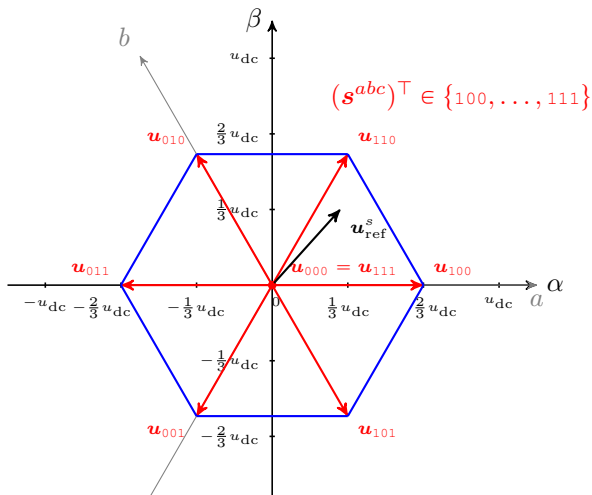
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Outline

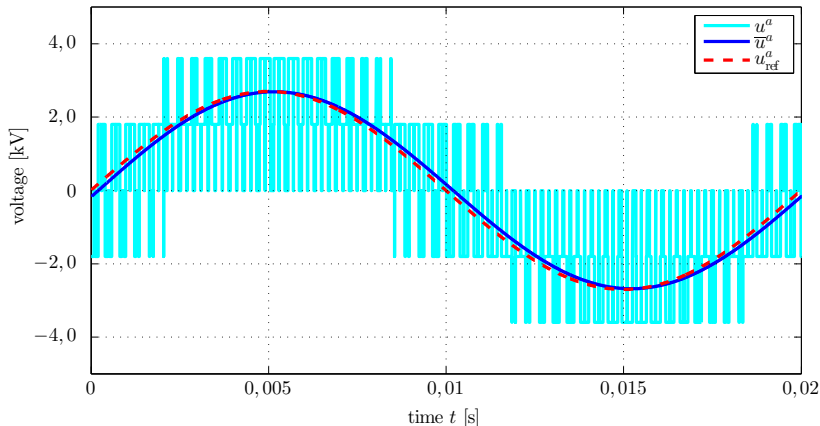
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“Output space”: Voltage hexagon (recap.)

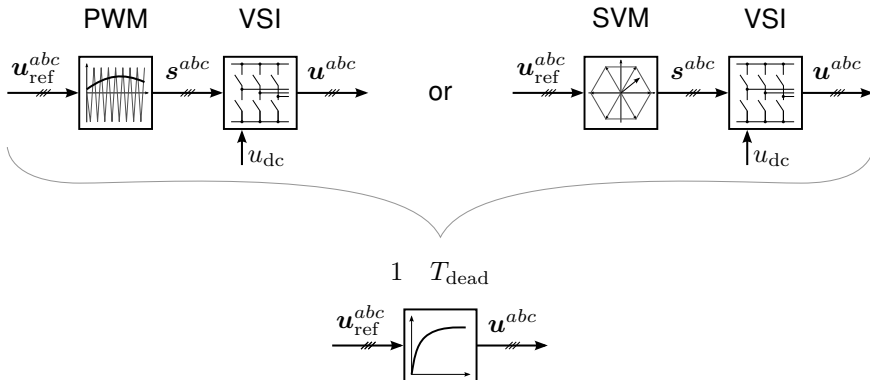


Delayed (average) voltage generation



$$\forall t \geq T_{\text{dead}}: \quad \mathbf{u}^{abc}(t) \approx \bar{\mathbf{u}}^{abc}(t) = \mathbf{u}_{\text{ref}}^{abc}(t - T_{\text{dead}})$$

VSI dynamics (approximation)



Fact (Delay depends on PWM (or space vector modulation))

$$T_{dead} \propto T_{pwm} \implies F_{VSI}(s) := \frac{u^{abc}(s)}{u_{ref}^{abc}(s)} = e^{-sT_{dead}} \approx \frac{1}{1 + sT_{dead}}$$

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Current control (torque generation)

Model-based controller design (in field orientation)

$$\begin{aligned}\frac{d}{dt} i_s^d &= \frac{1}{L_s^d} (u_s^d - R_s i_s^d + \omega_k L_s^q i_s^q) \\ \frac{d}{dt} i_s^q &= \frac{1}{L_s^q} (u_s^q - R_s i_s^q - \omega_k L_s^d i_s^d - \omega_k \psi_{\text{pm}}) \\ m_m &= \frac{3}{2} p (\psi_{\text{pm}} i_s^q + (L_s^d - L_s^q) i_s^d i_s^q) \\ \frac{d}{dt} \omega_k &= \frac{p}{\Theta_m + \frac{\Theta_t}{g_r^2}} \left(m_m(i_s^d, i_s^q) + \frac{m_t(\lambda, \beta)}{g_r} \right)\end{aligned}$$

Next steps:

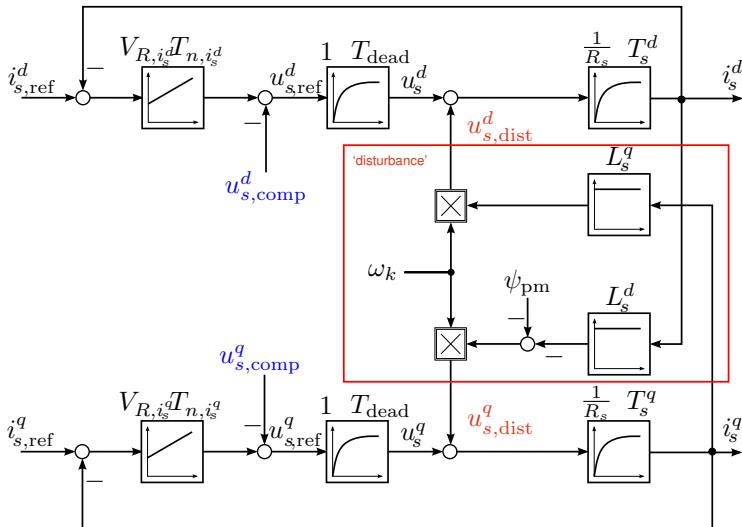
- Block diagram
- Disturbance compensation (feed forward)
- Controller design
- Approximation of torque dynamics

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Current control loop (generator side)

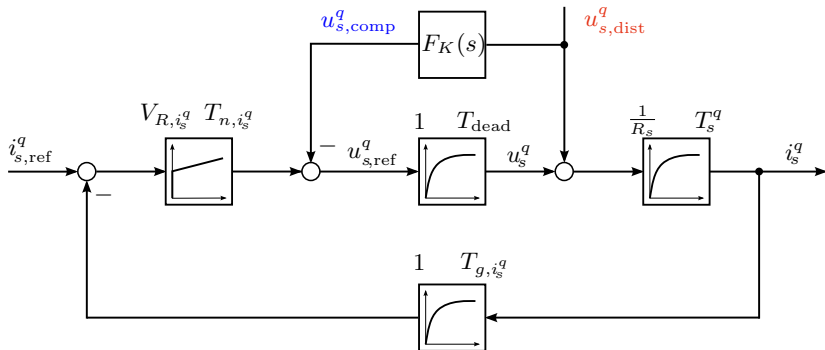


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Current controller design (q -component)



PI controller design (Magnitude Optimum) $\implies$ fast set-point tracking

$$F_{R,i_s^q}(s) = V_{R,i_s^q} \frac{1 + sT_{n,i_s^q}}{sT_{n,i_s^q}}, \quad V_{R,i_s^q} = \frac{T_s^q}{2 \cdot 1/R_s \cdot T_{\text{dead}}} \quad \wedge \quad T_{n,i_s^q} = T_s^q := \frac{L_s^q}{R_s}$$

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Approximation of current control loop

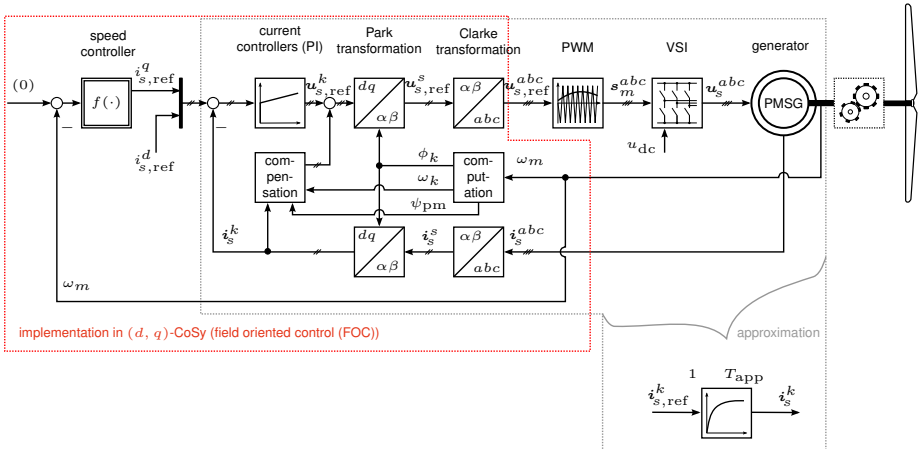
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Overall control system on generator side (regime II)

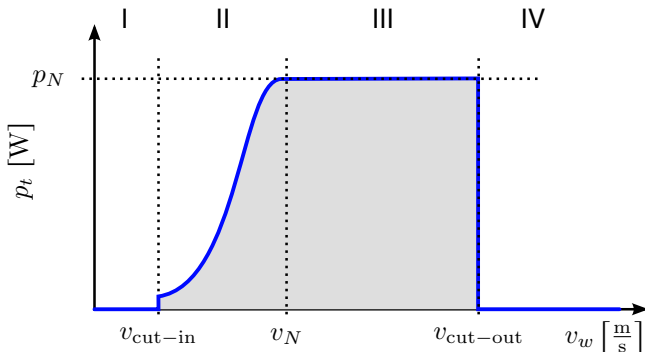


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Operation regimes for different wind speeds



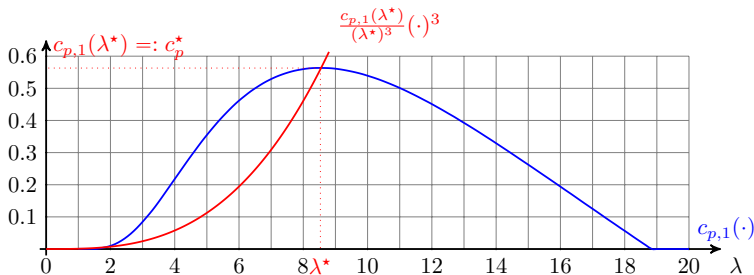
- Regime I: Stand still. No power output, i.e. $p_t = 0$.
- Regime II: Sub-nominal operation. Variable output power, i.e. $0 < p_t < p_n$ (Goal: Maximum power point tracking).
- Regime III: Nominal operation. Output of nominal power, i.e. $p_t = p_n$.
- Regime IV: Stand still (safety shut down), i.e. $p_t = 0$.

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Control objective in regime II



$$\frac{d}{dt} \omega_m = \frac{1}{\Theta} \left(\underbrace{c_0 \frac{c_{p,1}(\lambda)}{\lambda^3}}_{=m_t/g_r} \omega_m^2 + m_{m,\text{ref}} \right), \quad c_0 := \frac{\varrho r_t^5 \pi}{2g_r^3} > 0 \quad \wedge \quad \Theta := \Theta_m + \frac{\Theta_t}{g_r^2}$$

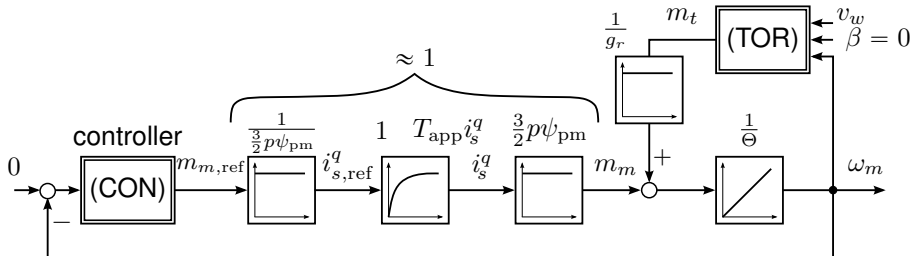
⇒ Control objective: $\lambda \rightarrow \lambda^*$ (or $\omega_m \rightarrow \omega_m^*$) for constant wind $v_w > 0$.

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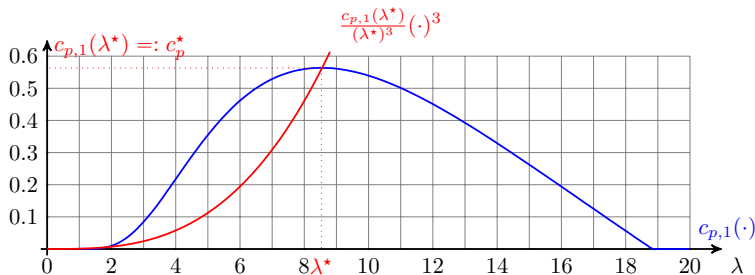
Speed control loop



turbine torque $m_t(\lambda, \omega_m) = c_0 \frac{c_{p,1}(\lambda)}{\lambda^3} \omega_m^2$ where $\lambda = \frac{r_t \omega_m}{g_r v_w}$ (TOR)

generator torque: $m_{m,ref} = -k_p^* \omega_m^2 \approx m_m$ where $k_p^* = c_0 \frac{c_{p,1}(\lambda^*)}{(\lambda^*)^3}$ (CON)

Analysis of speed control loop



$$\frac{d}{dt} \omega_m = \frac{1}{\Theta} \left(\underbrace{c_0 \frac{c_{p,1}(\lambda)}{\lambda^3} \omega_m^2}_{=m_t/g_r} - \underbrace{k_P^* \omega_m^2}_{=m_{m,\text{ref}}} \right) \quad \text{where} \quad k_P^* = c_0 \frac{c_{p,1}(\lambda^*)}{(\lambda^*)^3}$$

$\Rightarrow \lambda \rightarrow \lambda^*$ (or $\omega_m \rightarrow \omega_m^*$) for all $\lambda \in (\lambda_{\min}, \lambda_{\max})$ and $v_w > 0$ (const.) ?

Analysis of speed control loop

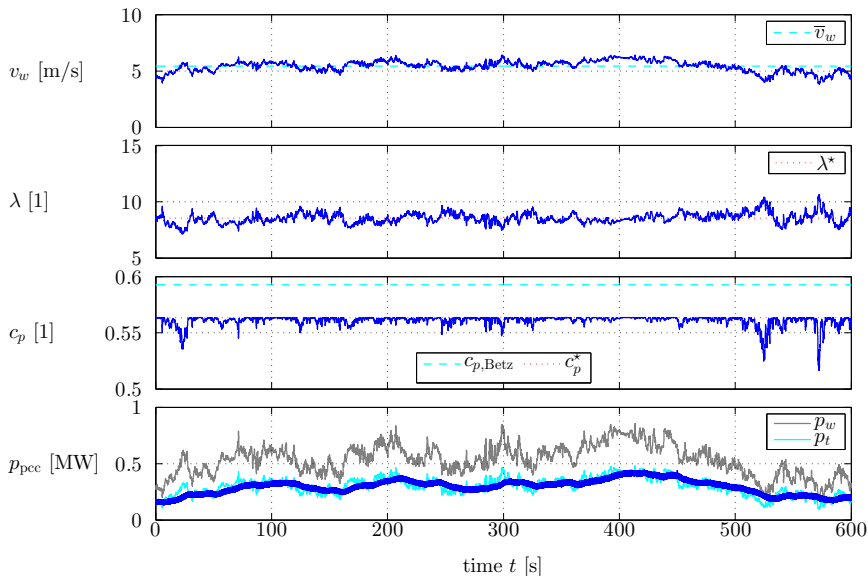
... discussion on whiteboard ...

Outline

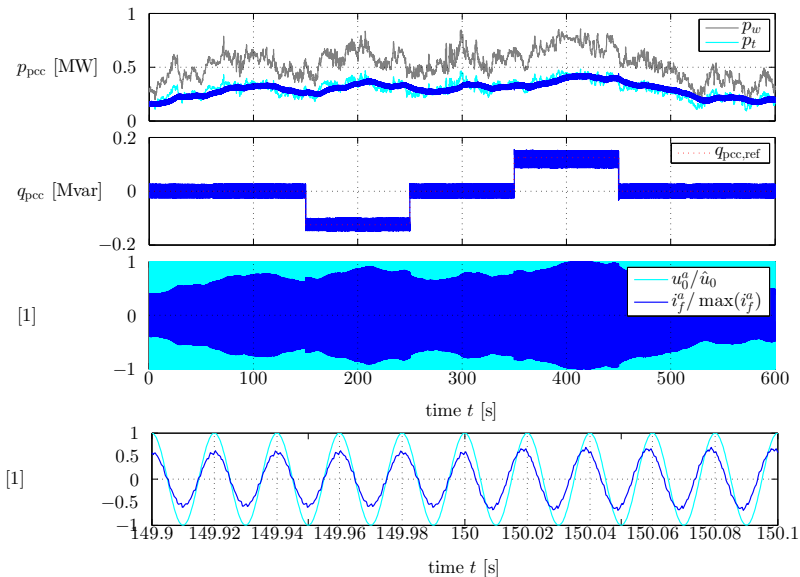
5 Control (part 1): Generator side

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- Field orientation (FOC)
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 - Generator dynamics in field orientation
- VSI dynamics (approximation)
- Current control (torque generation)
 - Current control loop
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 - Operation regimes for different wind speeds
 - Control objective in regime II
 - Speed control loop
- **Simulation results**
- Résumé: Control (part 1)

Simulation results: Generator side control (1)



Simulation results: Generator side control (2)

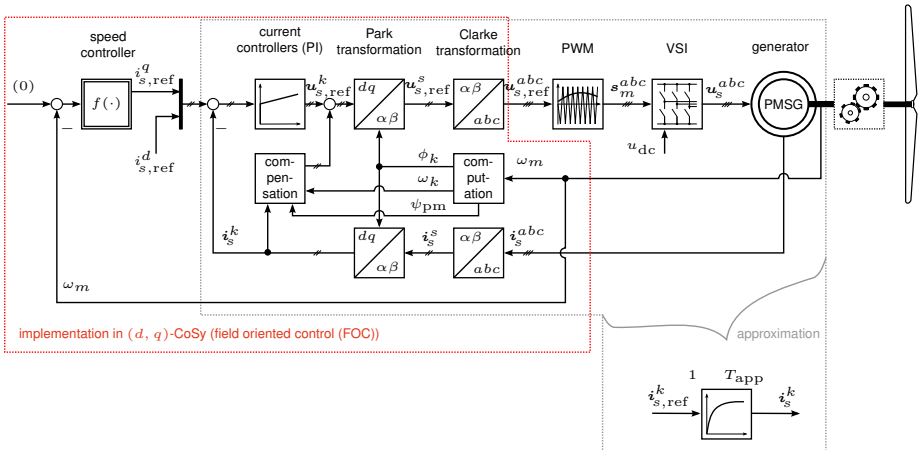


Outline

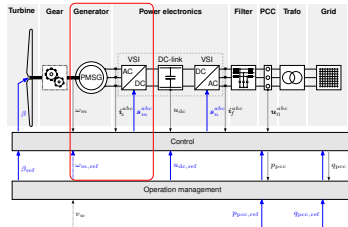
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Résumé: Control (part 1) – Overall control system



Résumé: Control (part 1) – Generator side



Facts (Generator side control (for $L_s := L_s^q = L_s^d \implies T_s := \frac{L_s}{R_s}$))

$$\text{current con.: } \frac{\mathbf{u}_{s,\text{ref}}^k(s)}{\mathbf{i}_{s,\text{ref}}^k(s) - \mathbf{i}_s^k(s)} = V_{R,i_s^k} \frac{1+sT_n, i_s^k}{sT_n, i_s^k}, V_{R,i_s^k} = \frac{T_s R_s}{2T_{\text{dead}}} \wedge T_n, i_s^k = T_s$$

$$\text{Dist. comp.: } \mathbf{u}_{s,\text{comp}}^k = \mathcal{L}^{-1} \left\{ \frac{1+sT_{\text{dead}}}{1+sh} \right\} \begin{pmatrix} \omega_k L_s^q i_s^q \\ -\omega_k L_s^d i_s^d - \omega_k \psi_{\text{pm}} \end{pmatrix}$$

$$\text{current dyn.: } \frac{\mathbf{i}_s^k(s)}{\mathbf{i}_{s,\text{ref}}^k(s)} \approx \frac{1}{1+sT_{\text{app}}}, T_{\text{app}} = 2T_{\text{dead}} \ll 1$$

$$\text{torque gen.: } m_m \approx m_{m,\text{ref}}, \quad (\text{if } i_{s,\text{ref}}^q = \frac{2m_{m,\text{ref}}}{3p\psi_{\text{pm}}} \wedge i_{s,\text{ref}}^d = 0)$$

$$\text{speed con.: } m_{m,\text{ref}} = -k_p^* \omega_m^2 \implies \lambda \rightarrow \lambda^* (\text{if } v_w > 0 \wedge \lambda > \lambda_{\min})$$

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■ VSI dynamics (approximation, recap.)

■ Current control (power control)

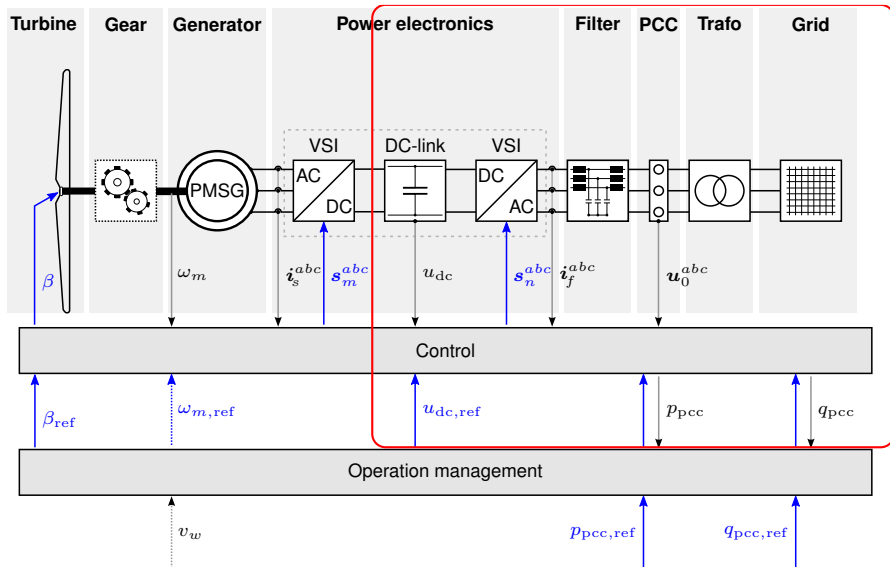
- Current control loop
- Current controller design
- Approximation of current control loop

■ DC-link voltage control (power balance)

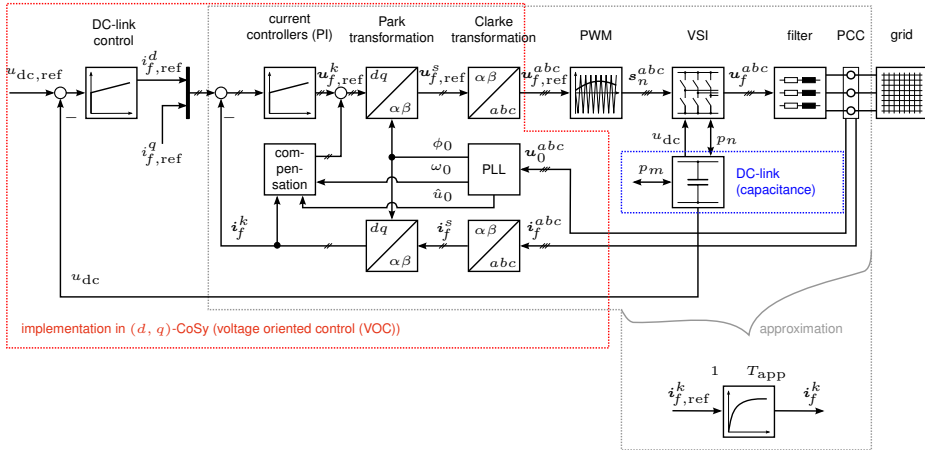
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■ Résumé: Control (part 2)

Grid side control: Relevant components



Overall control system on the grid side



Outline

6 Control (part 2): Grid side

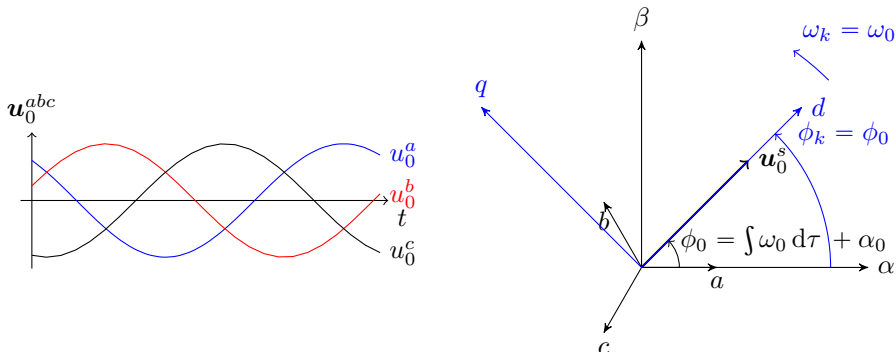
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Voltage orientation: Basic idea (for symmetrical grid)



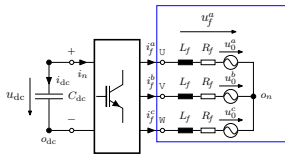
- $u_0^k = \begin{pmatrix} \hat{u}_0 \\ 0 \end{pmatrix} = \mathbf{T}_P(\phi_0)^{-1} u_0^s = \mathbf{T}_P(\phi_0)^{-1} \mathbf{T}_C u_0^{abc}$
- determination of ϕ_0 (and of α_0 , implicitly) via phase-locked loop

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Grid side dynamics (recap.)



Facts (Filter dynamics, power & grid voltage (in (α, β) -CoSy))

filter:
$$\mathbf{u}_f^s(t) = R_f \mathbf{i}_f^s(t) + L_f \frac{d}{dt} \mathbf{i}_f^s(t) + \mathbf{u}_0^s(t)$$

active power:
$$p_{\text{pcc}}(t) = \frac{3}{2} \mathbf{u}_0^s(t)^\top \mathbf{i}_f^s(t)$$

reactive power:
$$q_{\text{pcc}}(t) = \frac{3}{2} \mathbf{u}_0^s(t)^\top \mathbf{J} \mathbf{i}_f^s(t)$$

grid voltage:
$$\mathbf{u}_0^s(t) = \hat{u}_0 \begin{pmatrix} \cos(\phi_0(t)) \\ \sin(\phi_0(t)) \end{pmatrix}$$

⇒ Grid side dynamics in (d, q) -CoSy,

i.e. $\frac{d}{dt} \mathbf{i}_f^k = \mathbf{f}(\mathbf{i}_f^k, \mathbf{u}_0^k)$ and $p_{\text{pcc}} \& q_{\text{pcc}} = \mathbf{f}(\mathbf{i}_f^k, \mathbf{u}_0^k)$?

Grid side dynamics in voltage orientation/ (d, q) -CoSy

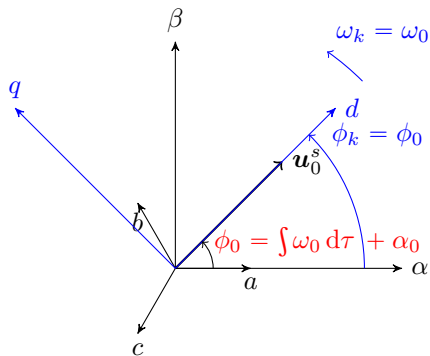
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Outline

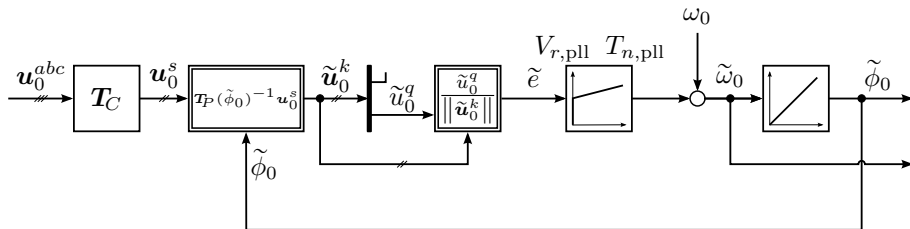
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Grid synchronization (phase-locked loop)



Phase-locked loop (PLL): Block diagram



Goal:

$$\lim_{t \rightarrow \infty} u_0^q(t) = 0 \quad \implies \quad \lim_{t \rightarrow \infty} (\phi_0(t) - \tilde{\phi}_0(t)) = 0$$

Use:

$\tilde{\phi}_0$ & $\tilde{\omega}_0$ for voltage orientation and disturbance compensation

Phase-locked loop (PLL): Analysis and design

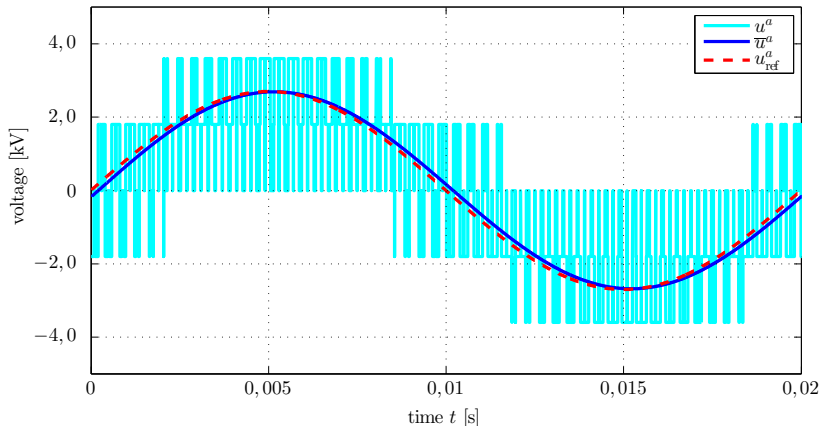
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Delayed (average) voltage generation



Fact (Delay depends on PWM (or space vector modulation))

$$T_{\text{dead}} \propto T_{\text{pwm}} \implies F_{\text{VSI}}(s) := \frac{\mathbf{u}^{abc}(s)}{\mathbf{u}_{\text{ref}}^{abc}(s)} = e^{-sT_{\text{dead}}} \approx \frac{1}{1 + sT_{\text{dead}}}$$

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Current control (power control)

Model-based controller design (in voltage orientation)

$$\begin{aligned}\frac{d}{dt} i_f^d &= \frac{1}{L_f} (u_f^d - R_f i_f^d + \omega_0 L_f i_f^q - \hat{u}_0) \\ \frac{d}{dt} i_f^q &= \frac{1}{L_f} (u_f^q - R_f i_f^q - \omega_0 L_f i_f^d) \\ p_{\text{pcc}} &= \frac{3}{2} \hat{u}_0 i_f^d \quad \& \quad q_{\text{pcc}} = -\frac{3}{2} \hat{u}_0 i_f^q\end{aligned}$$

Next steps:

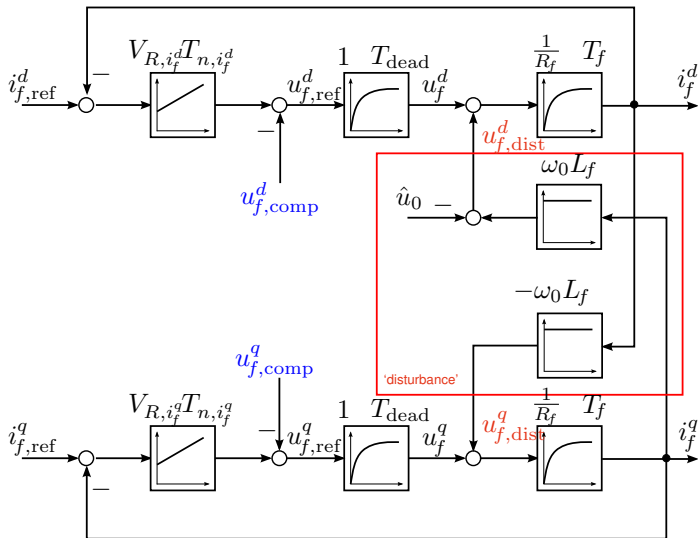
- Block diagram
- Disturbance compensation (feed forward)
- Controller design

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Current control loop (grid side)

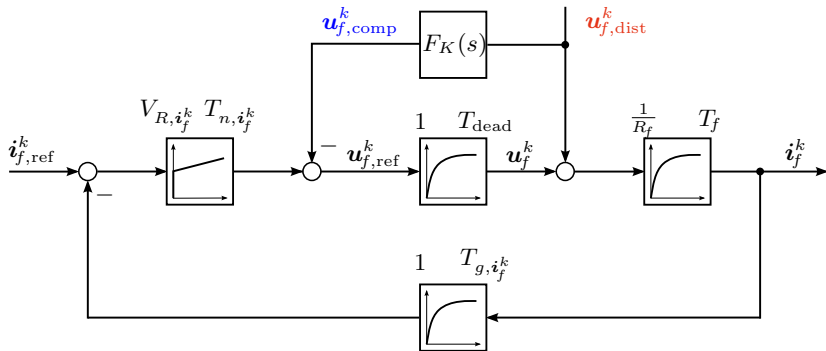


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Current controller design (recap.)



PI controller design (Magnitude Optimum) $\Rightarrow$ fast set-point tracking

$$F_{R,i_f^k}(s) = V_{R,i_f^k} \frac{1 + sT_{n,i_f^k}}{sT_{n,i_f^k}}, \quad V_{R,i_f^k} = \frac{T_f}{2 \cdot 1/R_f \cdot T_{\text{dead}}} \wedge T_{n,i_f^k} = T_f := \frac{L_f}{R_f}$$

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Approximation of current control loop (recap.)

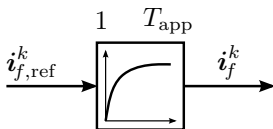
For (ideal 'disturbance' compensation)

$$\mathbf{u}_{f,\text{comp}}^k = \mathcal{L}^{-1} \left\{ \frac{1 + sT_{\text{dead}}}{1 + sh} \right\} \begin{pmatrix} \omega_0 L_f i_f^q - \hat{u}_0 \\ -\omega_0 L_f i_f^d \end{pmatrix} \approx \mathbf{u}_{f,\text{dist}}^k$$

we have

$$F_{CL, i_f^k}(s) = \frac{i_f^k(s)}{i_{f,\text{ref}}^k(s)} = \frac{1}{1 + s2T_{\text{dead}} + s^2 2T_{\text{dead}}^2}$$

$$\approx \frac{1}{1 + sT_{\text{app}}} \quad \text{where} \quad T_{\text{app}} := 2T_{\text{dead}}$$

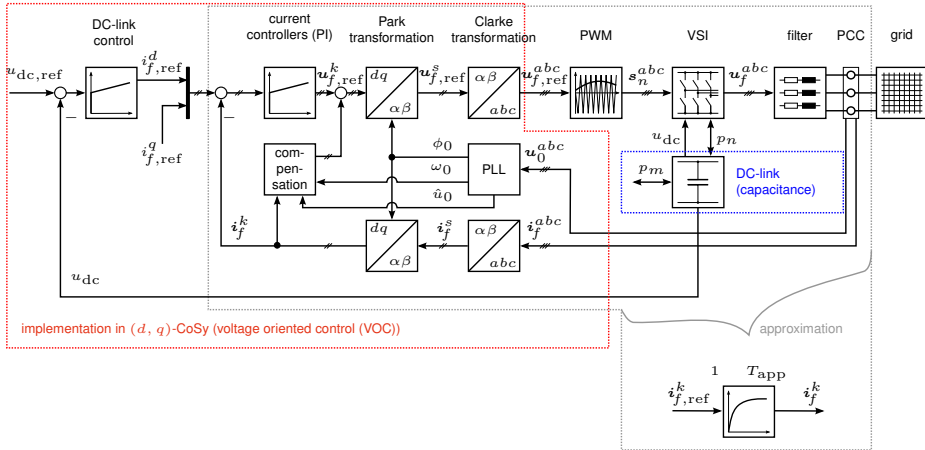


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Overall system for DC-link voltage control

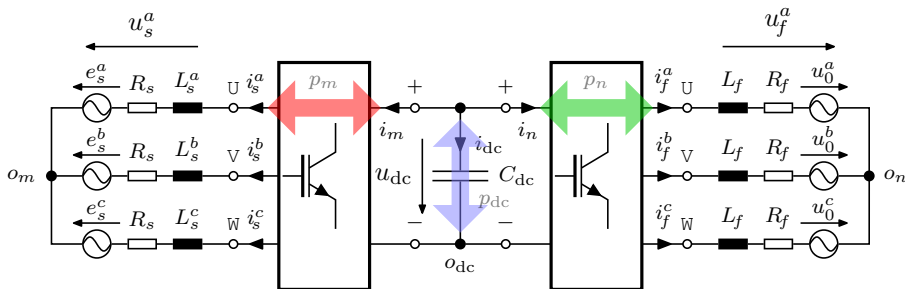


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DC-link dynamics



$$\begin{aligned}
 \blacksquare \quad p_{dc} &= u_{dc} C_{dc} \frac{d}{dt} u_{dc} = -p_m - p_n \implies \frac{d}{dt} u_{dc} = \frac{1}{u_{dc} C_{dc}} (-p_m - p_n) \\
 \blacksquare \quad p_m &= \underbrace{\frac{3}{2} R_s \|\dot{\mathbf{i}}_s^k\|^2}_{p_{m, \text{loss}}} + \underbrace{\frac{3}{2} p (\psi_{pm} \dot{\mathbf{i}}_s^q + (L_s^d - L_s^q) \dot{\mathbf{i}}_s^d \dot{\mathbf{i}}_s^q) \omega_m}_{p_{\text{mech}} = m_m \omega_m} + \underbrace{\frac{3}{2} \frac{d}{dt} (\dot{\mathbf{i}}_s^k)^\top L_s^k \dot{\mathbf{i}}_s^k}_{p_{L_s^k}} \\
 \blacksquare \quad p_n &= \underbrace{\frac{3}{2} R_f \|\dot{\mathbf{i}}_f^k\|^2}_{p_{pcc, \text{loss}}} + \underbrace{\frac{3}{2} \frac{d}{dt} L_f (\dot{\mathbf{i}}_f^k)^\top \dot{\mathbf{i}}_f^k}_{p_{L_f}} + \underbrace{\frac{3}{2} \hat{u}_0 \dot{\mathbf{i}}_f^d}_{p_{pcc}}
 \end{aligned}$$

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Linearization around equilibrium

... discussion on whiteboard ...

Linearization around equilibrium (summary)

$$\frac{d}{dt} u_{dc} = \frac{1}{C_{dc} u_{dc}} \left[-p_m - \frac{3}{2} \left(R_f \left\| \mathbf{i}_f^k \right\|^2 + L_f \left(\frac{d}{dt} \mathbf{i}_f^k \right)^\top \mathbf{i}_f^k + \hat{u}_0 i_f^d \right) \right]$$

$$\Rightarrow \frac{d}{dt} \tilde{u}_{dc} = -\frac{1}{C_{dc} u_{dc}^*} \left[\tilde{p}_m + \frac{3}{2} \left(\left(\hat{u}_0 + 2R_f i_f^{d,*} \right) \tilde{i}_f^d + L_f i_f^{d,*} \frac{d}{dt} \tilde{i}_f^d \right) + \frac{3}{2} \left(2R_f i_f^{q,*} \tilde{i}_f^q + L_f i_f^{d,*} \frac{d}{dt} \tilde{i}_f^q \right) \right]$$

$$\circ \bullet s \tilde{u}_{dc}(s) = -\frac{1}{C_{dc} u_{dc}^*} \left[\tilde{p}_m(s) + \frac{3}{2} \left(\left(\hat{u}_0 + 2R_f i_f^{d,*} \right) + s L_f i_f^{d,*} \right) \tilde{i}_f^d(s) + \frac{3}{2} (2R_f + s L_f) i_f^{q,*} \tilde{i}_f^q(s) \right]$$

transfer function of linearized system:

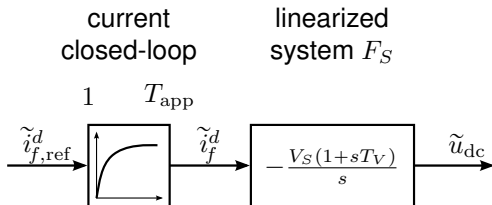
$$\Rightarrow \boxed{\frac{\tilde{u}_{dc}(s)}{\tilde{i}_f^d(s)} = -V_S \frac{1 + T_V s}{s}} \quad \text{where} \quad T_V := \frac{L_f i_f^{d,*}}{\hat{u}_0 + 2R_f i_f^{d,*}}, \quad V_S := \frac{3}{2} \frac{\hat{u}_0 + 2R_f i_f^{d,*}}{C_{dc} u_{dc}^*}$$

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“Structurally varying” system dynamics



$$(S_1) \quad i_f^{d,*} = 0 \implies T_V = 0 \implies \frac{\tilde{u}_{\text{dc}}(s)}{\tilde{i}_{f,\text{ref}}^d(s)} = \frac{V_S}{s(1+sT_{\text{app}})} \quad (IT_1)$$

$$(S_2) \quad i_f^{d,*} > 0 \implies T_V > 0 \implies \frac{\tilde{u}_{\text{dc}}(s)}{\tilde{i}_{f,\text{ref}}^d(s)} = \frac{V_S(1+sT_V)}{s(1+sT_{\text{app}})}$$

(IT₁ + PD, minimum phase)

$$(S_3) \quad i_f^{d,*} < 0 \implies T_V < 0 \implies \frac{\tilde{u}_{\text{dc}}(s)}{\tilde{i}_{f,\text{ref}}^d(s)} = \frac{V_S(1-s|T_V|)}{s(1+sT_{\text{app}})}$$

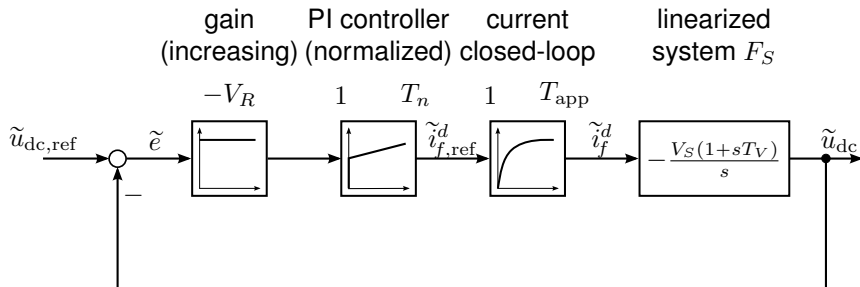
(IT₁ + PD, **non**-minimum phase)

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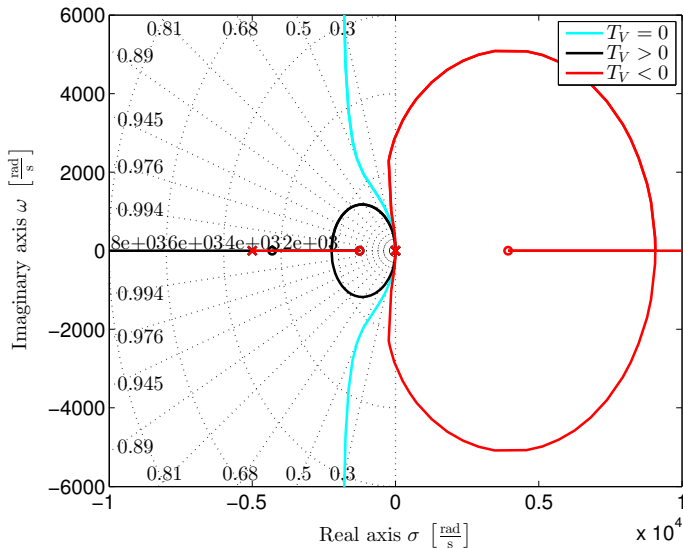
Root loci of linearized system



Open loop of linearized system

$$\frac{\tilde{u}_{dc}(s)}{\tilde{e}(s)} = \frac{V_R V_S (1 + sT_n)(1 + sT_V)}{s^2 T_n (1 + sT_{app})}, \quad \begin{cases} T_{app}, T_n > 0, V_S > 0 \\ T_V \in \mathbb{R} \text{ (depends on equilibrium!)} \\ V_R \rightarrow \infty \text{ increasing gain} \end{cases}$$

Root loci (depending on equilibrium)



Outline

6 Control (part 2): Grid side

- Overview
- Voltage orientation (VOC)
 - Basic idea
 - Grid side dynamics in voltage orientation
 - Grid synchronization
- VSI dynamics (approximation, recap.)
- Current control (power control)
 - Current control loop
 - Current controller design
 - Approximation of current control loop
- **DC-link voltage control (power balance)**
 - DC-link dynamics
 - Linearization around equilibrium
 - “Structurally varying” system dynamics
 - Root loci of linearized system
 - **Stability analysis (Hurwitz polynomial)**
 - Simulation results
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Stability analysis (Hurwitz polynomial)

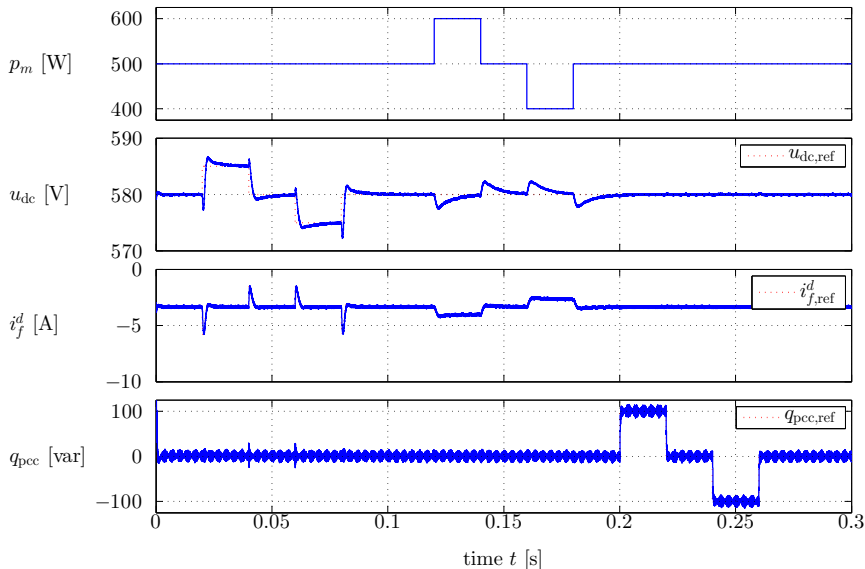
... discussion on whiteboard ...

Outline

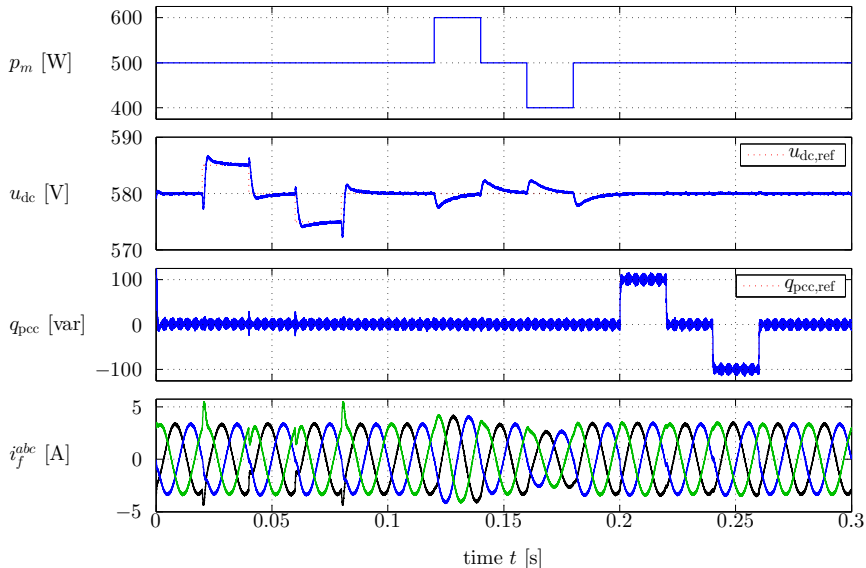
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Simulation results (1): Grid-side control



Simulation results (2): Grid-side control



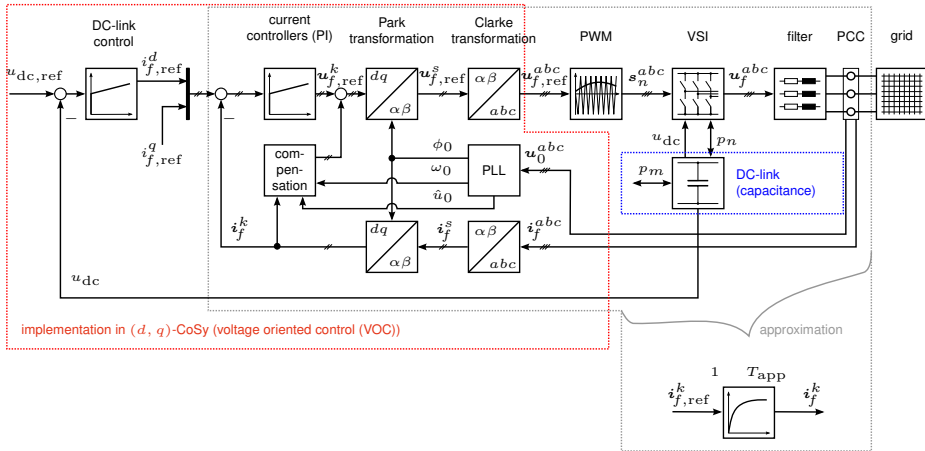
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6 Control (part 2): Grid side

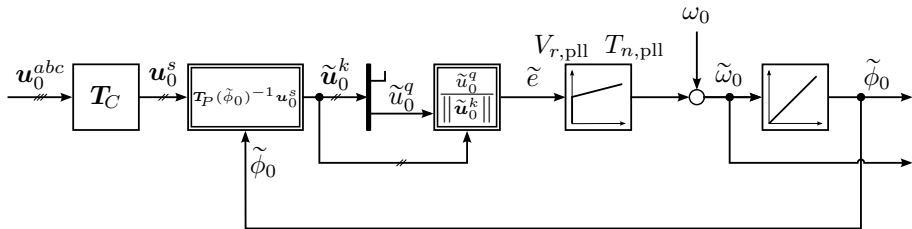
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■ Résumé: Control (part 2)

Résumé: Control (part 2) – Overall control system



Résumé: Control (part 2) – Grid side



Facts (Phase-locked loop (PLL))

PLL controller:
$$\frac{\omega_0(s) - \tilde{\omega}_0(s)}{\tilde{e}(s)} = V_{R,pll} \frac{1 + sT_{n,pll}}{sT_{n,pll}}$$

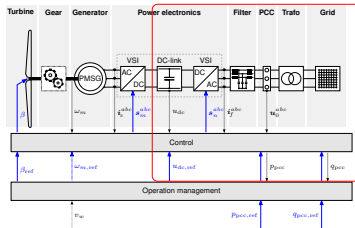
Small signal:
$$\sin(\phi_0 - \tilde{\phi}_0) =: \tilde{e} \approx \phi_0 - \tilde{\phi}_0, \quad (\text{for } \phi_0 - \tilde{\phi}_0 \ll 1)$$

Control loop:
$$F_{CL,pll}(s) = \frac{\tilde{\phi}_0(s)}{\phi_0(s)} = V_{r,pll} \frac{1 + sT_{n,pll}}{1 + sT_{n,pll} + s^2 \frac{T_{n,pll}}{V_{r,pll}}}$$

Tuning:
$$\chi_{\text{desired}}(s) = s^2 T_p^2 + s2T_p + 1 \text{ (e.g. pole placement)}$$

$$\Rightarrow V_{R,pll} = \frac{2}{T_p} \quad \wedge \quad T_{n,pll} = 2T_p, \quad T_p \ll \frac{1}{f_0} = \frac{2\pi}{\omega_0}$$

Résumé: Control (part 2) – Grid side



Facts (Grid side current/power control (for $T_f := L_f/R_f$))

current con.: $\frac{\mathbf{u}_{f,\text{ref}}^k(s)}{\mathbf{i}_{f,\text{ref}}^k(s) - \mathbf{i}_f^k(s)} = V_{R,i_f^k} \frac{1+sT_{n,i_f^k}}{sT_{n,i_f^k}}, V_{R,i_f^k} = \frac{T_f R_f}{2T_{\text{dead}}} \wedge T_{n,i_f^k} = T_f$

Dist. comp.: $\mathbf{u}_{f,\text{comp}}^k = \mathcal{L}^{-1} \left\{ \frac{1+sT_{\text{dead}}}{1+sh} \right\} \begin{pmatrix} \omega_0 L_f i_f^q - \hat{u}_0 \\ -\omega_0 L_f i_f^d \end{pmatrix}$

current dyn.: $\frac{\mathbf{i}_f^k(s)}{\mathbf{i}_{f,\text{ref}}^k(s)} \approx \frac{1}{1+sT_{\text{app}}}, T_{\text{app}} = 2T_{\text{dead}} \ll 1$

active pow.: $p_{\text{pcc}} = \frac{3}{2} \hat{u}_0 i_f^d$ (p_{pcc} controlled via DC-link control)

react. pow.: $q_{\text{pcc}} = -\frac{3}{2} \hat{u}_0 i_f^q$ (for q_{pcc} control set: $i_{f,\text{ref}}^q = -\frac{2q_{\text{pcc,ref}}}{3\hat{u}_0}$)

Résumé: Control (part 2) – Grid side

Facts (DC-link control (linearization, local results))

- Linearized system: $-V_S \frac{1 + T_V s}{s}$, $T_V := \frac{L_f i_f^{d,*}}{\hat{u}_0 + 2R_f i_f^{d,*}} \wedge V_S := \frac{3}{2} \frac{\hat{u}_0 + 2R_f i_f^{d,*}}{C_{dc} u_{dc}^*}$
- Closed-loop system with PI controller $V_R \frac{1+sT_n}{sT_n}$

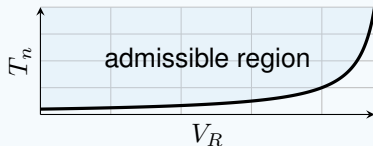
$$F_{CL}(s) = \frac{u_{dc}(s)}{u_{dc,ref}(s)} = \frac{V_R V_S (1 + sT_{app})(1 + sT_V)}{s^3 \underbrace{T_n T_{app}}_{=:a_3} + s^2 \underbrace{T_n (1 + T_V V_S V_R)}_{=:a_2} + s \underbrace{(T_V + T_n) V_S V_R}_{=:a_1} + \underbrace{V_R V_S}_{=:a_0}}$$

Sufficient conditions for **local** stability

$$(B_1) \quad 0 < V_R < \frac{1}{|T_V| V_S}$$

$$(B_2) \quad T_n > \frac{T_{app}}{1 - V_R V_S |T_V|} + |T_V| > 0$$

$$\implies a_0, a_1, a_2, a_3 > 0 \text{ and } a_2 a_1 - a_3 a_0 > 0.$$



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 - Operation regimes (recap.)
 - Power coefficient without pitch system (recap.)
 - Regime II: Speed control loop
 - Regime III: Speed control loop with pitch control
 - Power flow (recap.)
 - Outlook: Future challenges

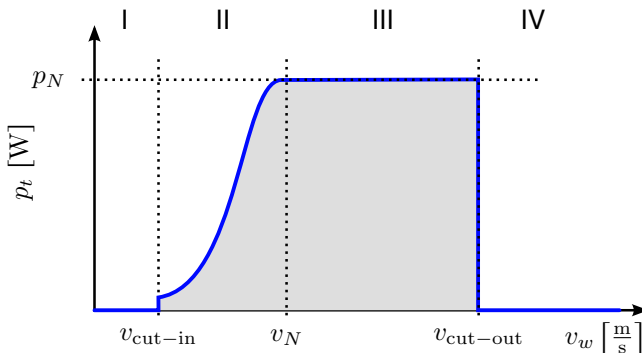
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Operation regimes for different wind speeds (recap.)

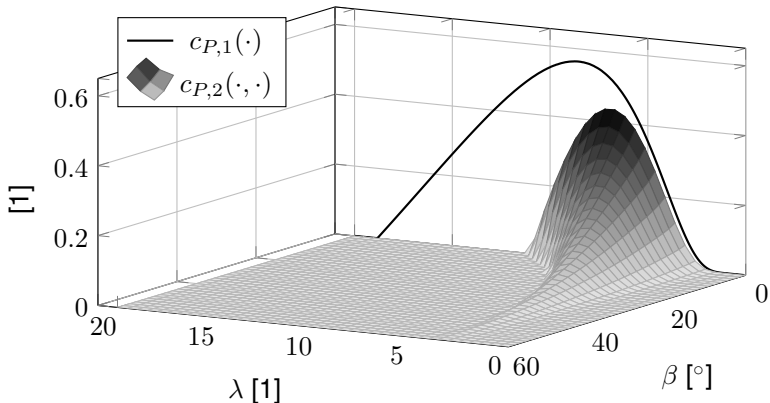


- Regime I: Stand still. No power output, i.e. $p_t = 0$.
- Regime II: Sub-nominal operation. Variable output power, i.e. $0 < p_t < p_{nom}$ (Goal: Maximum power point tracking).
- Regime III: Nominal operation. Output of nominal power, i.e. $p_t = p_{nom}$.
- Regime IV: Stand still (safety shut down), i.e. $p_t = 0$.

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Power coefficient without pitch system (recap.)



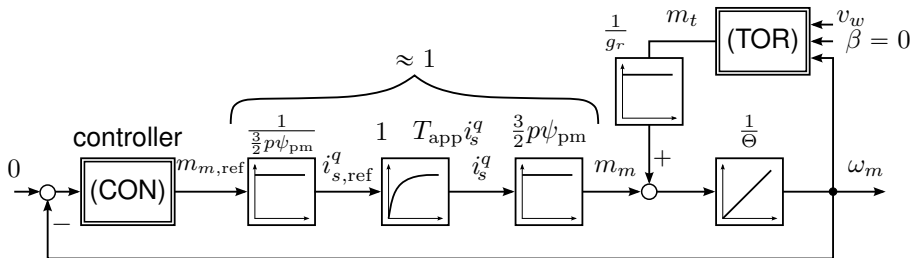
turbine power

$$p_t(t) = c_{p,2}(\lambda, \beta) p_w(t) = c_{p,2}(\lambda, \beta) \frac{1}{2} \rho \pi r_t^2 v_w(t)^3 \leq c_{p,\text{Betz}} \frac{1}{2} \rho \pi r_t^2 v_w(t)^3 \quad (6)$$

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Regime II: Speed control loop



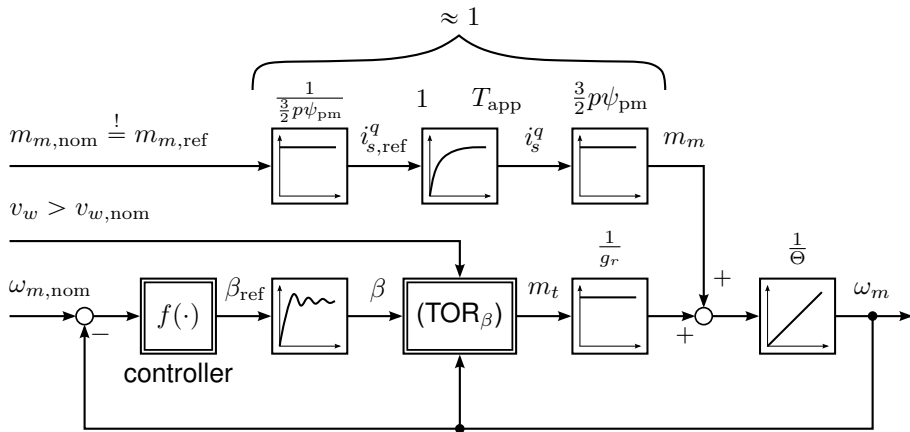
turbine torque: $m_t(v_w, 0, \omega_m) = c_0 \frac{c_P(v_w, 0)}{\lambda^3} \omega_m^2$ where $\lambda = \frac{r_t \omega_m}{g_r v_w}$ (TOR)

generator torque: $m_{m,ref} = -k_p^* \omega_m^2 \approx m_m$ where $k_p^* = c_0 \frac{c_P(\lambda^*, 0)}{(\lambda^*)^3}$ (CON)

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Regime III: Speed control loop with pitch control



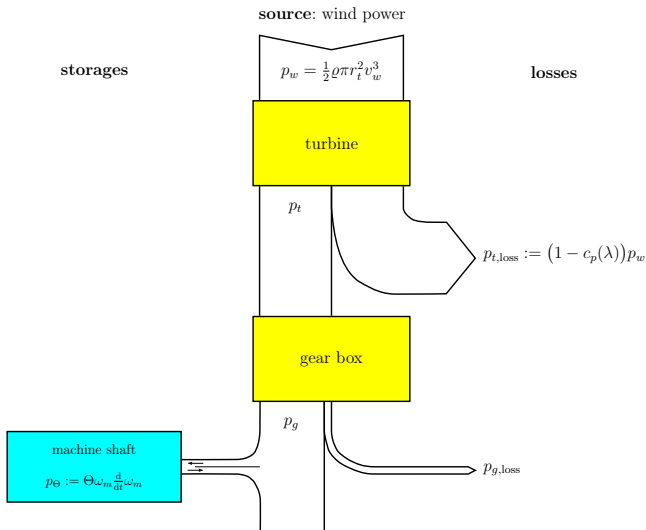
turbine torque:
$$m_t(v_w, \beta, \omega_m) = c_0 \frac{c_P(v_w, \beta)}{\lambda^3} \omega_m^2 \quad \text{where} \quad \lambda = \frac{r_t \omega_m}{g_r v_w (TOR_\beta)}$$

Outline

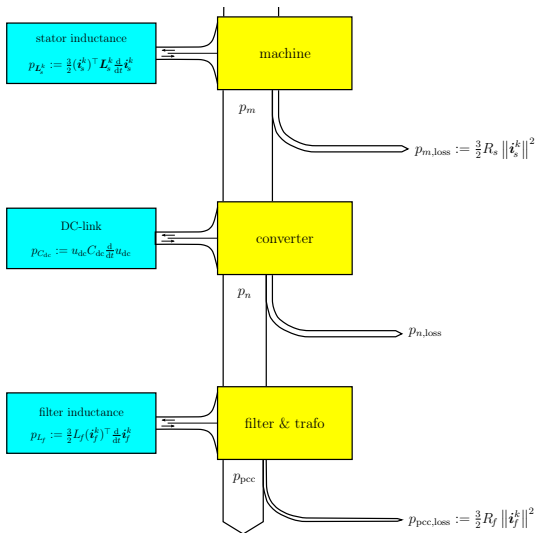
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Power flow (part 1)



Power flow (part 2)



sink: point of common coupling (PCC)

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Future challenges (see also [12])

- Reduction of levelized cost of energy (LCOE)
⇒ economical feasibility of the “Energiewende”?!
 - Increase in reliability (in particular, of control system and power electronics)
 - Robustness
 - System analysis and simulation
 - Reduction of maintenance (condition monitoring / fault detection)
 - Reliable grid integration
 - Plant safety,
 - Grid code requirements (e.g. LVRT, frequency/voltage support)
 - Decentralized generation (e.g. islanding mode/micro grid operation, etc.)
 - Wind farms (grid integration, wake effects, ...)
 - New technologies/configurations
 - New generator topologies
 - New converter topologies (multi-level converters)
 - New gear topologies (“single-stage”)
 - Configurations without or smaller transformer
 - New semiconductors (SiC Mosfet, IGBT/IGCT press-pack, etc.)

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